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7 **Behavioral syndromes in stable social groups: an artifact of external constraints?**

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9 Running title: Social hierarchy and behavioral syndromes

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1 **Abstract**

2 Individuals of many species differ consistently in their behavioral reactions toward  
3 different stimuli, such as predators, rivals, and potential mates. These typical  
4 reactions, described as ‘behavioral syndromes’ or ‘personalities’, appear to be  
5 heritable and therefore subject to selection. We studied behavioral syndromes in 36  
6 male fowl living in 12 social groups and found that individuals behaved consistently  
7 over time. Furthermore, responses to different contexts (anti-predator, foraging, and  
8 territorial) were inter-correlated, suggesting that males exhibited comparable  
9 behavioral traits in these functionally distinct situations. We subsequently isolated the  
10 same roosters and conducted tests in a ‘virtual environment’, using high-resolution  
11 digital video sequences to simulate the anti-predator, foraging, and territorial contexts  
12 that they had experienced outdoors. Under these controlled conditions, repeatability  
13 persisted but individual responses to the three classes of stimuli failed to predict one  
14 another. These were instead context-specific. In particular, production of each type of  
15 vocal signal was independent, implying that calls in the repertoire are controlled by  
16 distinct mechanisms. Our results show that extrinsic factors, such as social position,  
17 can be responsible for the appearance of traits that could readily be mistaken for the  
18 product of endogenous characters.

19

## 1 **Introduction**

2 Animals are typically faced with the challenge of acquiring resources, such as mates or  
3 food, while minimizing associated costs, such as exposure to predators or rivals (Lima  
4 & Dill 1990). An individual's assessment of this trade-off can be captured using  
5 behavioral axes, the most frequently used of which is the shy-bold continuum (Wilson  
6 et al. 1994; Wilson 1998; López et al. 2005). Boldness is often thought to span across  
7 functional contexts, such that an individual's boldness rank in the population will be  
8 similar in situations as distinct as foraging, mating, anti-predator behavior, and the  
9 challenge of novel situations (functional behavioral categories, *sensu* Sih et al. 2003,  
10 2004a,b; Johnson & Sih 2005; López et al. 2005). These typical reactions, described as  
11 behavioral syndromes, temperaments, personalities or coping strategies (Boissy 1995;  
12 van Oers et al. 2005a; Bell 2007), appear to have a genetic basis (van Oers et al. 2004,  
13 2005a) and should therefore be subject to selection. Central to this model is the idea  
14 that behavior should be consistent over time, or repeatable (Dall et al. 2004).

15         One of the implications of behavioral syndromes is that behavioral responses  
16 may not be fully flexible across different contexts. This model is thus a powerful  
17 hypothesis for explaining apparently 'suboptimal', or maladaptive behavior (Bell 2007),  
18 such as boldness when foraging in the presence of predators. This does not mean that  
19 expression of a particular trait need be absolutely constant across contexts, just that  
20 the relative levels (for example, of boldness) of individuals in the population will  
21 remain consistent with one another (Sih et al. 2003; Johnson & Sih 2005). An  
22 important implication of such constrained responses is that they might reflect

1 heritable variation, such that correlated traits are selected together, thus informing  
2 our thinking regarding the evolution of behavior.

3 Another view, suggested by Wilson et al. (1994), is that individual differences,  
4 while repeatable, may have to be context-dependant because any challenge that  
5 influences survival and reproduction will require an adaptive response. Context-  
6 sensitivity occurs when an individual's relative expression level of a given behavioral  
7 trait changes depending on the context. Such a pattern implies that behavioral traits  
8 may be free to evolve independently (Wilson 1998; Réale et al. 2000). Context-specific  
9 individual differences are predicted by frequency-dependent selection models, and  
10 there is considerable evidence, from a wide range of taxa, that responses can be  
11 expressed in this way (e.g., fish; Coleman & Wilson 1998, mammals; Réale et al. 2000,  
12 cephalopods; Sinn & Moltschaniwskyj 2005 and birds; van Oers et al. 2005b).

13 At a phenotypic level, bold behavior is influenced by many factors, including  
14 hormone levels (reviewed in Boissy 1995), food availability (Martín et al. 2003),  
15 predation risk (Martín & López 1999; López et al. 2005), age (Brodie 1993; Sinn &  
16 Moltschaniwskyj 2005), sex (reviewed in Boissy 1995), experience (Frost et al. 2007)  
17 and environmental conditions (Brodie & Russell 1999). Social factors clearly exert a  
18 pervasive influence on human behavior and the study of such phenomena has a long  
19 history (e.g., Mischel 1968). Analogous studies of animals have increased markedly in  
20 recent years (reviewed by Galef & Giraldeau 2001). Evidence that social constraints  
21 interact with individual characteristics is now permeating the field of behavioural  
22 syndromes (Dall et al. 2004, Sih & Watters 2005). For example, the exploratory  
23 behavior of great tits is related to risk-taking behavior (van Oers et al. 2004) and

1 dominance within an experimental dyad (Dingemanse & de Goede 2004). Similarly, the  
2 dispersal rates of lizards are affected by 'sociability', or social personality (Cote &  
3 Clobert 2007) and exploratory behavior has been related to subsequent social rank in  
4 great tits (Verbeek et al. 1999).

5         However, the stability of individual differences across a variety of contexts has  
6 not previously been studied in hierarchy-forming social animals. In addition, despite  
7 the central role of communication in social behavior of all kinds, hypotheses  
8 concerning animal personalities have yet to integrate signaling as a trait.

9         Fowl, *Gallus gallus*, are ideal for investigating the relationship between  
10 behavioural syndromes, particularly as reflected by signaling behavior, and social  
11 position. They live in hierarchical, mixed-sex, social groups that are relatively stable  
12 throughout the year (Collias & Collias 1967, 1996). Food calls and alarm calls of fowl  
13 are referential signals (Evans, 1997) produced in response to specific stimuli (discovery  
14 of food; approach of a particular type of predator) and sufficient to evoke appropriate  
15 reactions from conspecifics, even without other cues. Recent work suggests that  
16 referential signaling may be relatively widespread (e.g., tufted capuchins; Di Bietti  
17 2003, lemurs, Macedonia 1990, suricates; Manser 2001; Manser et al. 2001, ravens;  
18 Bugnyar et al. 2001). We now have quite a detailed understanding of the cognitive  
19 mechanisms underpinning some of these systems, but much less is known about how  
20 they evolved.

21         In the present study, we explored the effect of social factors on the expression  
22 levels of several behavioral traits by contrasting behavior in a semi-natural  
23 environment with that in a highly controlled 'virtual environment'. Our particular

1 interest is in communication, an aspect of behavior that has been relatively neglected  
2 in previous work in this area. Here we focused on calls associated with three  
3 functionally distinct contexts (foraging, anti-predator, and territorial) and measured  
4 individual variation in production under both naturalistic and controlled conditions,  
5 assessing whether there are phenotypic correlations in signaling behavior. Specifically,  
6 we measured the propensity of roosters to crow (a territorial call produced exclusively  
7 by males; Collias & Collias 1967), aerial alarm call (a signal that warns group members  
8 of approaching avian predators, produced by males when they have a conspecific  
9 audience; Evans et al. 1993a) and food call (a signal produced predominantly by males,  
10 advertising the discovery of food to females; Evans & Marler 1994; Evans & Evans  
11 1999). These three vocalizations allowed us to test whether a rooster's vocal behavior  
12 was correlated across contexts.

13         After observing focal males in naturalistic groups housed in large aviaries, we  
14 isolated them for one month to remove social constraints (Parker & Ligon 2002) and  
15 then re-tested them individually, using high-definition digital video stimuli simulating  
16 the three contexts. If expression of behavioral traits is socially constrained by the  
17 dominance hierarchy, then such an effect should be clearly apparent in comparisons  
18 between these two settings. Our objectives were to assess: 1) the repeatability of male  
19 behavior under semi-natural and controlled conditions, 2) whether signaling behavior  
20 across contexts is intercorrelated or whether it is expressed in a context-specific  
21 manner, and 3) the effect of social factors.

22

23

## 1 **Methods**

### 2 **Outdoor observations**

3 Subjects were 36 male and 36 female golden Sebright bantam chickens (*Gallus gallus*  
4 *domesticus*), a strain behaviorally similar to the ancestral red jungle fowl (Evans &  
5 Marler 1995). Individuals were divided into 12 groups of 3 males and 3 females each, a  
6 composition matching that described for red jungle fowl in the wild (Collias & Collias  
7 1967), and observed under semi-natural conditions during the 2005/2006 and  
8 2006/2007 breeding seasons. Groups were formed by simultaneously releasing the 6  
9 birds into 1 of 4 large (10 m x 20 m) outdoor aviaries. We observed three cohorts of  
10 four groups each. Observation times were standardized within each aviary for a given  
11 cohort to control diel variation in behaviour, but randomized across cohorts.

12         Aviaries provided birds with an unobstructed view of their surrounding  
13 environment. Each contained a coop fitted with perches for roosting, *ad libitum* food  
14 and water, areas of fresh wood mulch to encourage foraging, native plants for cover,  
15 and an awning affording shelter from the sun (see electronic supplementary material,  
16 Figure 1). Overt aggression between males was invariably brief (less than 3 min) and  
17 terminated when one bird signaled subordinate status by turning away. Birds were  
18 given 1 week prior to observation to establish stable social structure, acclimate to the  
19 surroundings, and habituate to humans. All groups formed linear hierarchies  
20 comprising an alpha, beta, and gamma male.

21         We observed each group for 40 min per day over a 12-day period. Observations  
22 used continuous recording of a focal animal and were conducted between 0705 and  
23 1005 h or between 1620 and 1920 h, to correspond with the periods of greatest

1 activity. During each group's daily session, 2 of the 3 males were observed  
2 simultaneously. Selection of focal males and assignment of observer (XJN or DRW)  
3 were both randomized. Observers sat on either side of one end of the aviary, and  
4 scored behavior using JWatcher™ 1.0 (Blumstein et al. 2000) on a Macintosh laptop  
5 computer. We recorded the number of crows, representing a territorial context, aerial  
6 alarm calls, representing an antipredator context, and food calling bouts, representing  
7 a foraging context. Vocalizations separated by more than 5 s were scored as separate  
8 bouts. Each male was observed on 8 occasions (total 320 min).

9 Data were analyzed in SPSS v. 11 (SPSS Inc). For each behavior, we assessed  
10 repeatability by examining intra-individual correlations (Spearman's Rho, 2-tailed)  
11 across the 8 days of observation and summarized repeatability using Cronbach's alpha  
12 estimate of reliability (Cronbach 1951) across the 8 days of observation. This approach  
13 was adopted because our data were not normally distributed, precluding the use of  
14 parametric statistics traditionally used to assess repeatability (Lessells & Boag 1987).  
15 We then calculated individual average call rates and tested for correlations among  
16 them using  $\log_{10}+0.5$  transformed data.

17

## 18 **Laboratory experiment**

### 19 **Housing**

20 Indoor housing and feeding conditions were as in Evans and Evans (1999). Briefly,  
21 roosters were housed singly in metal cages (l x w x h: 1.0 x 1.0 x 0.5 m) fitted with  
22 wooden perches, with a deep layer of bedding material (shredded paper) on the floor  
23 to facilitate the expression of natural behavior. Food and water were continuously



1 available. At the end of the laboratory experiment, the birds were returned to outdoor  
2 aviaries.

3

#### 4 **Context tests**

5 We isolated males for 1 month to remove social constraints (Parker & Ligon 2002) and  
6 then tested them in a 'virtual environment', using high-definition digital video stimuli  
7 to reproduce each of the three functional contexts observed outside. We adopted a  
8 repeated-measures randomized-block design, in which each male experienced the  
9 contexts in a random order at 24 h intervals. Males were given a second trial for all  
10 contexts exactly 1 week after the first, making a total of 6 trials per male.

11 Each trial began with 5 min in which we measured baseline behavior. In the  
12 anti-predator and foraging contexts, we presented a high-definition video female after  
13 1 min because food calling and aerial alarm calling both have pronounced audience  
14 effects (Marler et al. 1986; Evans & Marler 1991, 1992, 1994). In the territorial context,  
15 no audience was necessary, so the test male was shown a video of an empty cage.

16 Stimuli were presented at the end of the baseline period. A computer-  
17 generated soaring hawk (4 s) simulated the antipredator context (see Evans et al.  
18 1993a; electronic supplementary material, Figure 2). Live mealworms delivered from a  
19 concealed hopper provided the foraging context. To evoke territorial behavior we  
20 presented 5 min of high-definition video showing a rival male engaged in aggressive  
21 behavior.

22 Test males had 2 min following stimulus presentation. In the anti-predator and  
23 foraging contexts, the audience hen remained present, while in the territorial context

1 the stimulus male disappeared, leaving the empty cage on the screen (see below for  
2 details). For the foraging and anti-predator contexts 'before' was defined as the 4 min  
3 in which an audience hen was present prior to stimulus onset. In the foraging context  
4 'during' was defined as the 2 min following stimulus onset and in the anti-predator  
5 context 'during' was defined as the 30 s time bin beginning with the stimulus onset.  
6 For the territorial context, 'before' was defined as the 5 min of empty cage preceding  
7 stimulus onset and 'during' as the 5 min in which the stimulus male was present on the  
8 screen. To avoid habituation, test males experienced a different audience hen, hawk,  
9 and male opponent in each trial.

10 The only behaviors common to all three contexts were crowing and walking. In  
11 addition to these spontaneous behaviors, we scored specific responses evoked by the  
12 stimuli. In the anti-predator context, we measured level of crouching on a scale from 0  
13 to 5 (see Evans et al. 1993a for details) and number of aerial alarm calls. In the foraging  
14 context, we scored total time tidbitting (a stereotyped head and neck movement  
15 associated with food calling; Evans & Evans 1999), number of food call pulses, latency  
16 to begin food calling and latency to tidbit. To count food calls, we digitized test session  
17 videorecordings using a Canopus ADVC-110 (sound track 44.1 kHz, 16 bits), generated  
18 a normalized oscillogram with Peak Pro 5.2 (Bias Inc.) and then scrolled through the  
19 sound waveform while simultaneously watching a time-locked image of the  
20 corresponding video frame. In the territorial context, we measured crow rate, latency  
21 to crow and latency to aggressive display (defined as head bobbing with hackle  
22 feathers raised), as well as total time spent engaged in aggressive behavior.

1 Behavior was scored using JWatcher™ 1.0 (Blumstein et al. 2000) for each time  
2 period (before and during) and then converted to rates to facilitate comparisons  
3 across periods of unequal duration. Data were tested for normality using Kolmogorov-  
4 Smirnov tests and analyzed in SPSS v.11. We used ANOVA to test whether previous  
5 rank in outdoor social groups affected behavior in individual laboratory tests. To  
6 determine repeatability in the laboratory setting we used Lessells & Boag's (1987)  
7 equation on baseline behavior (locomotion and crowing) across all 6 trials. We also  
8 used Spearman correlations to examine the consistency of stimulus-specific behavior  
9 across stimulus presentations. Response variables for each context were subsequently  
10 simplified using PCA, the factors from which were used in correlation analyses  
11 comparing behavior between functional contexts and between the laboratory and  
12 outdoor settings. We used an alpha level of 0.05 throughout.

13

#### 14 *Playback stimuli*

15 Our experimental design required high-quality audio and video recordings of fowl  
16 engaged in natural behavior. Footage of hens was used to provide a 'virtual' audience  
17 to potentiate male calling in the foraging and anti-predator contexts, while that of  
18 males was used to provide a simulated opponent in the territorial context. This  
19 approach allowed uniquely sensitive tests for predictive relations between different  
20 types of signaling behavior by controlling variation in audience behavior.

21 Four females (one for each of two trials in the foraging and anti-predator  
22 contexts) and two males (one for each trial in the territorial context) were recorded in  
23 a sound-attenuating chamber (see Evans & Evans 1999 for details) for approximately

1 one hour apiece. Roosters were confined within a wire cage (l x w x h: 0.6 x 0.5 x 0.8  
2 m) with a glass front and a wood floor covered by an artificial grass mat. Territorial  
3 behavior (threat posture with raised hackles and crowing) was elicited by the male's  
4 own reflection in the glass. This had the advantage of being frontally-directed, so that  
5 during playback the male was apparently confronting the test male. Females were  
6 recorded in the same cage as males. Since we wished to obtain relaxed behavior  
7 (standing with occasional contact calls), we substituted a front panel of black-painted  
8 open wire mesh. The cage was lit by two broad-spectrum incandescent lamps  
9 (Aspherics®, model DLH4) placed 1.5 m apart and 1.0 m from the front.

10 We used a Sony HDV high-definition 3-CCD camcorder (model HVR-Z1E)  
11 mounted on a tripod at bird eye-height. Focal length was adjusted so that the image  
12 appeared life-sized on the plasma screen subsequently used for playback. To avoid  
13 motor noise, we used a Sennheiser microphone (model MKH 40) placed away from the  
14 camcorder, 0.3 m to one side of the cage. The frequency response of this system was  
15 flat ( $\pm 1$  dB) over the avian hearing range. High-definition digital video is a new  
16 standard which provides substantially improved resolution compared with previous  
17 studies (1080 lines; c.f. 576 lines for PAL DV and 240 lines for VHS). Spatial detail,  
18 including the facial features known to mediate individual recognition in fowl (Guhl &  
19 Ortman 1953) was hence much better reproduced than has been possible in the past.

20 Audio and video signals were transferred digitally using an IEE1394 'firewire'  
21 interface and edited with Final Cut Pro (version 4.5) on a Macintosh computer. In  
22 assembling playback sequences, we minimized artifacts by applying a 4-frame cross-  
23 dissolve transition between successive clips. Similarly, we used a 1 s cross-dissolve to

1 avoid a startle response when the image of a conspecific replaced that of an empty  
2 cage, and again at the end of the stimulus sequence. Completed high-definition  
3 sequences were exported to tape for playback.

4 Male stimuli were edited such that the simulated opponent was engaged in  
5 non-aggressive behavior for 2 min, followed by 3 min of escalating aggression. These  
6 sequences were comprised of 5 min of footage of the male's empty cage, followed by  
7 a 5 min sequence of the stimulus male and 2 min of empty cage, for a total duration of  
8 12 min.

9 Audience hen sequences were designed so that hens were walking about and  
10 apparently peering toward the subject male. These comprised 1 min of the empty  
11 cage, followed by a 6 min sequence in which the hen was present, to create a total  
12 duration of 7 min.

13 In the foraging context, the stimulus was four mealworms. These were  
14 delivered by a remote-controlled hopper (see Marler et al. 1986 for details) 4 min  
15 following the appearance of the audience hen. The hen then remained on screen for a  
16 further 2 min, ensuring that males experienced food under conditions known to  
17 potentiate calling and tidbitting (Evans & Marler 1994).

18 Predator trials were identical to foraging trials except that the stimulus was a  
19 computer-generated raptor presented on a monitor (Lowe, model 8672 2P, 100 Hz  
20 refresh rate) mounted overhead (see Evans et al. 1993a for details). This consisted of a  
21 black silhouette that made four alternating passes across a white background at a  
22 speed of 8.8 body lengths per second. Four different versions of the stimulus were  
23 used in random order to minimize subject habituation. Stimuli subtended 4.5° at the

1 subject's eye (see Evans et al. 1993a for details) and varied with respect to the corner  
2 of the monitor from which they originated (top left, bottom left, top right, bottom  
3 right).

4

#### 5 *Video Playbacks*

6 We tested three cohorts of 12 males each between October and December 2006. To  
7 minimize diel variation in responsiveness, each male experienced all three simulated  
8 contexts at the same time of day, between 0730 – 1230. Playbacks were conducted in  
9 a sound chamber (see Evans & Evans 1999 for details). Males were placed into a wire  
10 cage (l x w x h: 1.1 x 0.5 x 0.8 m) with a wood floor covered by an artificial grass mat.  
11 As in numerous previous studies (e.g., Evans & Marler 1991, 1992, 1994; Evans et al.  
12 1993a,b), trials began once the subject bird recovered from handling and resumed  
13 normal relaxed behavior such as preening, walking and crowing. The cage was lit by  
14 two incandescent lights placed 1.6 m apart and 1.0 m in front. Responses were  
15 recorded onto VHS tape using a Panasonic video camera (model WV-CL320) and a  
16 Sennheiser microphone (model MKH 40) connected to a Panasonic videocassette  
17 recorder (model AG-7750).

18 High-definition sequences of audience hens and stimulus males were played  
19 directly from a Sony HDV 1080i tape deck to a Sony flat panel plasma display (model  
20 PFM - 42X1; 105.8 cm measured diagonally), which was placed facing the subject's  
21 cage 30 cm from one end. We selected this distance based upon characteristics of the  
22 fowl visual system (Dawkins & Woodington 1997); it allowed males to approach as  
23 they would a conspecific, but not close enough to resolve individual pixels, which

1 would likely have compromised the realism of the simulation. Like the TFT panels used  
2 in recent successful mate-choice experiments with quail (*Coturnix japonica*; Ophir and  
3 Galef 2003), plasma displays offer clear advantages over the CRT monitors used in the  
4 first video playback experiments with birds (Evans & Marler 1991). Principal among  
5 these is the relative absence of flicker, as only the pixels that change from one video  
6 field to the next are selectively refreshed. The video soundtrack was broadcast from a  
7 Nagra DSM loudspeaker-monitor concealed beneath the centre of the display. Hawk  
8 animations were controlled by Final Cut Pro 3, running on a Macintosh G3 computer,  
9 and were converted to an analogue signal using a Canopus ADVC110.

10

## 11 **Results**

### 12 **Outdoor observations**

13 Rooster behavior was repeatable across the 8 days of observations, especially for  
14 aerial alarm calling ( $\alpha = 0.878$ ) and crowing ( $\alpha = 0.829$ ) (Table 1). Food calling was also  
15 repeatable ( $\alpha = 0.611$ ), although appreciably less than the other two vocalizations  
16 (Table 2).

17 Rooster behavior also correlated across contexts (Figure 1a-c). Aerial alarm  
18 calling was strongly correlated with both crowing (Pearson correlation:  $r = 0.706$ ,  $P <$   
19  $0.0001$ ) and food calling ( $r = 0.460$ ,  $P = 0.005$ ), though the latter were not correlated  
20 with each other ( $r = 0.232$ ,  $P = 0.173$ ).

21

22

## 1 **Laboratory studies**

2 Roosters responded to 96.3% of stimulus presentations and in all cases exhibited  
3 behavior typical of that toward a real (non-video) stimulus. Our virtual environment  
4 was hence successful in simulating natural events.

5 Baseline behavior consisted primarily of crowing and walking. The repeatability  
6 of these behaviors was high (crowing = 0.866,  $F_{1,215} = 6.593$ ; walking = 0.930,  $F_{1,215} =$   
7 3.730). Previously held rank (in the outdoor groups) had no effect on the food call rate  
8 in the food context (one way ANOVA:  $F_{1,35} = 0.497$ ,  $p = 0.613$ ), the aerial alarm call rate  
9 in the anti-predator context ( $F_{1,35} = 0.081$ ,  $p = 0.922$ ), or crowing rate in the territorial  
10 context ( $F_{1,35} = 1.341$ ,  $p = 0.276$ ). However, Spearman correlations for stimulus-specific  
11 behaviors during presentations were poor (crowing,  $r = 0.169$ ,  $p = 0.33$ ; alarm calling,  $r$   
12  $= -0.225$ ,  $p = 0.19$ ), with the exception of food calling ( $r = 0.379$ ,  $p = 0.02$ ).

13 We ran PCA on the stimulus-specific behaviors evoked in each context to  
14 reduce the data to a single factor (Table 3). Factors were then compared with each  
15 other and with their equivalent behavior from the outdoor observations to evaluate  
16 the consistency of behavior across contexts in a social and a non-social situation.

17 Remarkably, behavior in each functional context had no predictive utility for  
18 that in any of the others (Fig. 1d-f). This finding is in striking contrast with the patterns  
19 apparent when the males were in social groups.

20 Comparisons between equivalent behavior in groups and in individual tests  
21 similarly revealed no significant correlations. There was no relation between the  
22 territorial factor and crow rate (Fig. 2a), between the foraging factor and food calling  
23 (Figure 2b), or between the anti-predator factor and aerial alarm calling (Figure 2c).



1           Finally, we compared vocalization rates in the indoor and outdoor settings  
2 directly. There were no correlations between the indoor and outdoor settings for  
3 crowing (Pearson correlation:  $r = -0.016$ ,  $P = 0.925$ , Fig. 2d), food calling ( $r = 0.079$ ,  $P =$   
4  $0.649$ , Fig. 2e) and aerial alarm calling ( $r = 0.160$ ,  $P = 0.352$ , Fig. 2f).

5

## 6 **Discussion**

7 Our results suggest that signaling of male fowl is not inter-correlated between  
8 functional contexts, but is instead context-specific. In the outdoor groups, calling rates  
9 had predictive utility for other contexts, but this relationship vanished when we  
10 assessed the same males under controlled conditions in the absence of a dominance  
11 hierarchy. Furthermore, comparisons of individual propensity to express territorial,  
12 anti-predator and food-related behavior in the outdoor and laboratory settings failed  
13 to reveal any correlations. Taken together, these results suggest that vocal behavior  
14 apparent under naturalistic conditions likely reflects the operation of social  
15 constraints, rather than that of endogenous factors.

16           In laboratory tests, we found that individual behavior prior to stimulus  
17 presentations was highly repeatable, demonstrating that behavioral consistency was  
18 detectable when present, although this broke down during stimulus presentation,  
19 possibly due to undersampling, as the duration of these events was necessarily brief.  
20 In addition, biologically relevant responses were evoked in almost every trial, verifying  
21 the effectiveness of video stimuli for simulating both social companions and predators  
22 in this system (Evans & Marler 1991; Evans et al. 1993b; c.f. D'Eath 1998).  
23 Nevertheless, we were unable to detect any relation between signaling and associated

1 behavior across different functional contexts. This pattern was in complete contrast  
2 with that obtained when males were in social groups.

3 We conclude that our results provide support for the cautionary notion that  
4 “even highly reversible ‘states’ can appear as ‘traits’ in environments that reinforce  
5 individual differences” (Wilson et al. 1994). In this case, the crucial environmental  
6 factor was likely dominance, which has long been known to have pervasive effects on  
7 the behavior of fowl (Schjelderup-Ebbe 1935; Bayly et al. 2006).

8 As originally conceived, the term behavioral syndrome referred simply to a  
9 suite of correlated behaviors, either within a context, or between contexts (Sih et al.  
10 2004a). Despite this, current usage often implicitly assumes the broader meaning of  
11 between contexts, and hence is regularly referred to as ‘personality’ (e.g., Dall 2004;  
12 Dall et al. 2004). In the latter sense, behavior in one context can have the power to  
13 predict that exhibited in another, thus providing a mechanism to explain why  
14 responses can sometimes appear suboptimal (see Introduction). The exciting  
15 evolutionary implications that have stimulated much recent work explicitly require  
16 that the constraints responsible for between-context predictive relations be heritable  
17 in nature. We suggest that this usage, that of behaviors having powerful constraining  
18 effects on other behaviors such that they reduce behavioral plasticity between  
19 contexts, be referred to as behavioral syndromes in the *strong* sense.

20 In contrast if we restrict ourselves to the identification of correlative relations  
21 among measured behaviors, remaining neutral about causality, then we are doing little  
22 more than a data reduction exercise. While this may be useful, it is at best an  
23 incremental advance, offering benefits similar to those afforded by a host of well-

1 established techniques such as PCA, Factor Analysis or Multidimensional Scaling. In  
2 particular, a purely descriptive approach offers few insights into the evolution of  
3 behavior. We suggest that this usage be referred to as behavioral syndromes in the  
4 *weak* sense.

5         A recent review of the literature on behavioral syndromes urges researchers to  
6 move away from the study of model organisms under controlled conditions, the better  
7 to evaluate the distribution and functional significance of this phenomenon (Sih et al.  
8 2004b). While this will clearly be an important step, the present study demonstrates  
9 that individual differences documented in natural social groups can be challenging to  
10 interpret.

11         Our results also have implications for the evolution of signaling behavior. The  
12 food calls and alarm calls of fowl are referential signals (Evans 1997); they are  
13 produced in response to specific stimuli (discovery of food; approach of a particular  
14 type of predator) and are sufficient to evoke from companions the full suite of  
15 appropriate responses (food search, Evans & Evans 1999, 2007; adaptive escape  
16 behavior, Evans et al. 1993a). This type of call system was first described in vervet  
17 monkeys (Struhsaker 1967; Seyfarth et al. 1980) 30 years ago. More recent work  
18 suggests that it may be relatively widespread. For example, referential signaling is also  
19 present in other cercopithecines (Zuberbühler 2000, 2001), tufted capuchins (Di Bietti  
20 2003), lemurs (Macedonia 1990), at least one non-primate mammal (suricates; Manser  
21 2001; Manser et al. 2001) and several other species of birds including ravens (Bugnyar  
22 et al. 2001), yellow warblers (Gill and Sealy 2004) and black-capped chickadees  
23 (Templeton et al. 2005). Advances have been made in characterizing the cognitive

1 mechanisms underpinning these systems, but remarkably little is known about the  
2 selective regimes that have produced them.

3         Studies of audience effects and sensitivity to androgen levels reveal that the  
4 referential signals of fowl each have distinct properties. Food calling is potentiated by  
5 hens and inhibited by a rival male (Marler et al. 1986), while any conspecific is an  
6 adequate audience for aerial alarm calls (Evans & Marler 1991, 1992); ground alarm  
7 calling has no audience effect at all (Evans 1997). Similarly, aerial alarm calling is  
8 testosterone-dependent, while food-calling is not (Gyger et al. 1988). Our finding that  
9 the calling responses of males to functionally important events are not correlated,  
10 once social constraints are removed, is wholly consistent with these previous reports.  
11 The emerging pattern thus suggests that signaling has not been selected as a global  
12 trait, but rather that signals are decoupled across contexts. Comparative studies will  
13 be necessary to identify the specific social and ecological factors responsible for each  
14 call system.

15

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7

1 **Table 1:** Spearman correlations for crowing (below diagonal) and alarm calling (above  
 2 diagonal) across 8 days in outdoor social groups.

Day	1	2	3	4	5	6	7	8
1		0.310	0.512**	0.449**	0.126	0.567**	0.491**	0.252
2	0.346*		0.265	0.333*	0.447**	0.398*	0.229	0.252
3	0.540**	0.329*		0.577**	0.390*	0.545**	0.427**	0.682**
4	0.520**	0.244	0.613**		0.676**	0.488**	0.652**	0.438**
5	0.214	0.366*	0.521**	0.168		0.466**	0.304	0.431**
6	0.330*	0.381*	0.399*	0.291	0.331*		0.522**	0.393*
7	0.595**	0.240	0.508**	0.428**	0.467**	0.381*		0.422*
8	0.769**	0.402*	0.498**	0.572**	0.127	0.283	0.462**	

3 \* $p < 0.05$ , \*\*  $p < 0.01$  (2-tailed).

4

1 **Table 2:** Spearman correlations for food calling across 8 days in outdoor social groups.

Day	1	2	3	4	5	6	7	8
1	1.0	-	-	-	-	-	-	-
2	0.156	1.0	-	-	-	-	-	-
3	0.195	0.165	1.0	-	-	-	-	-
4	0.102	0.190	0.326	1.0	-	-	-	-
5	0.421*	0.146	0.237	0.201	1.0	-	-	-
6	0.447**	0.150	0.219	0.343*	0.336*	1.0	-	-
7	0.220	-0.107	0.100	0.133	0.222	0.120	1.0	-
8	-0.037	0.166	0.046	0.181	0.238	0.321	0.080	1.0

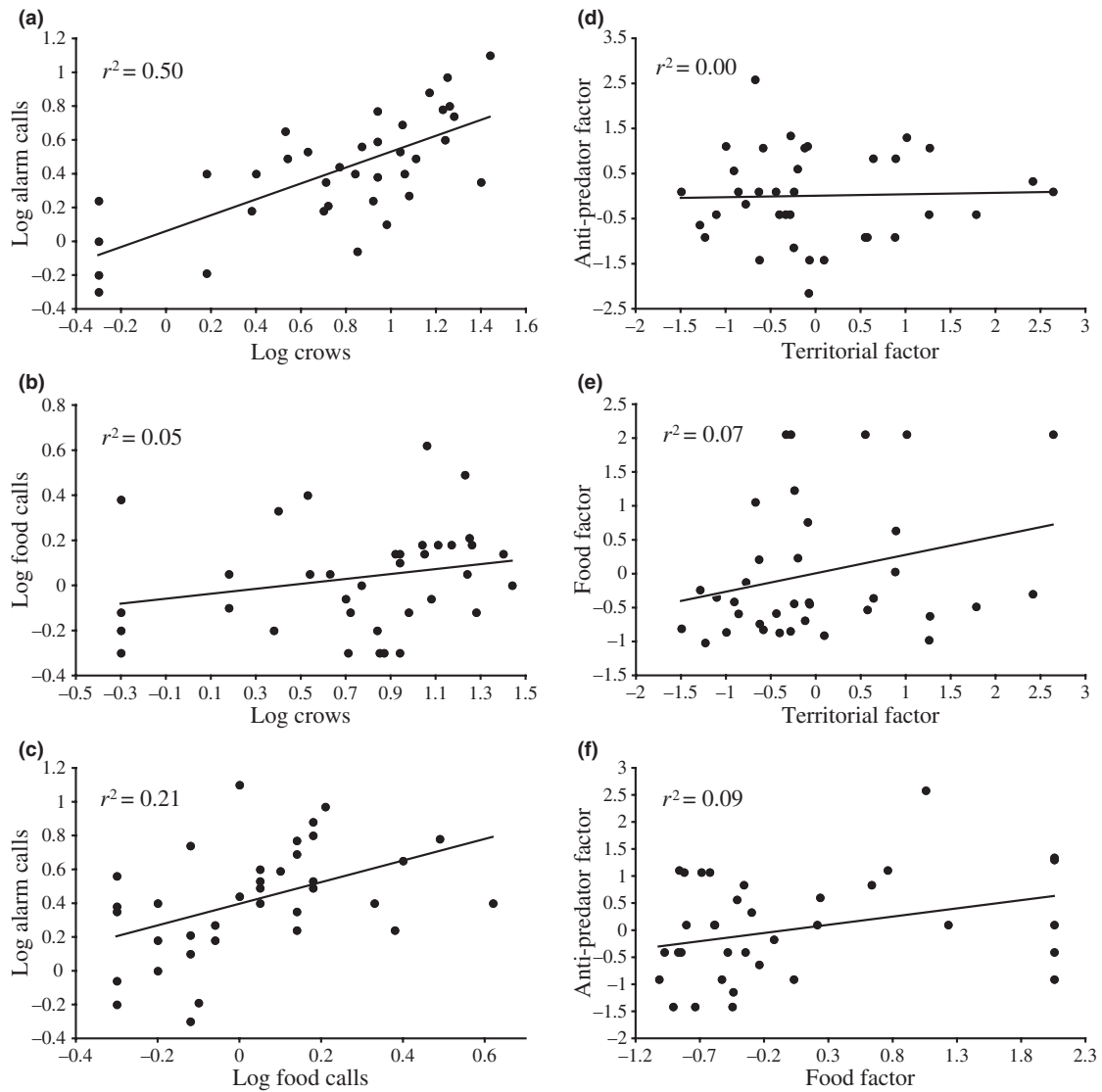
2 \*  $P < 0.05$ , \*\*  $P < 0.01$  (2-tailed).



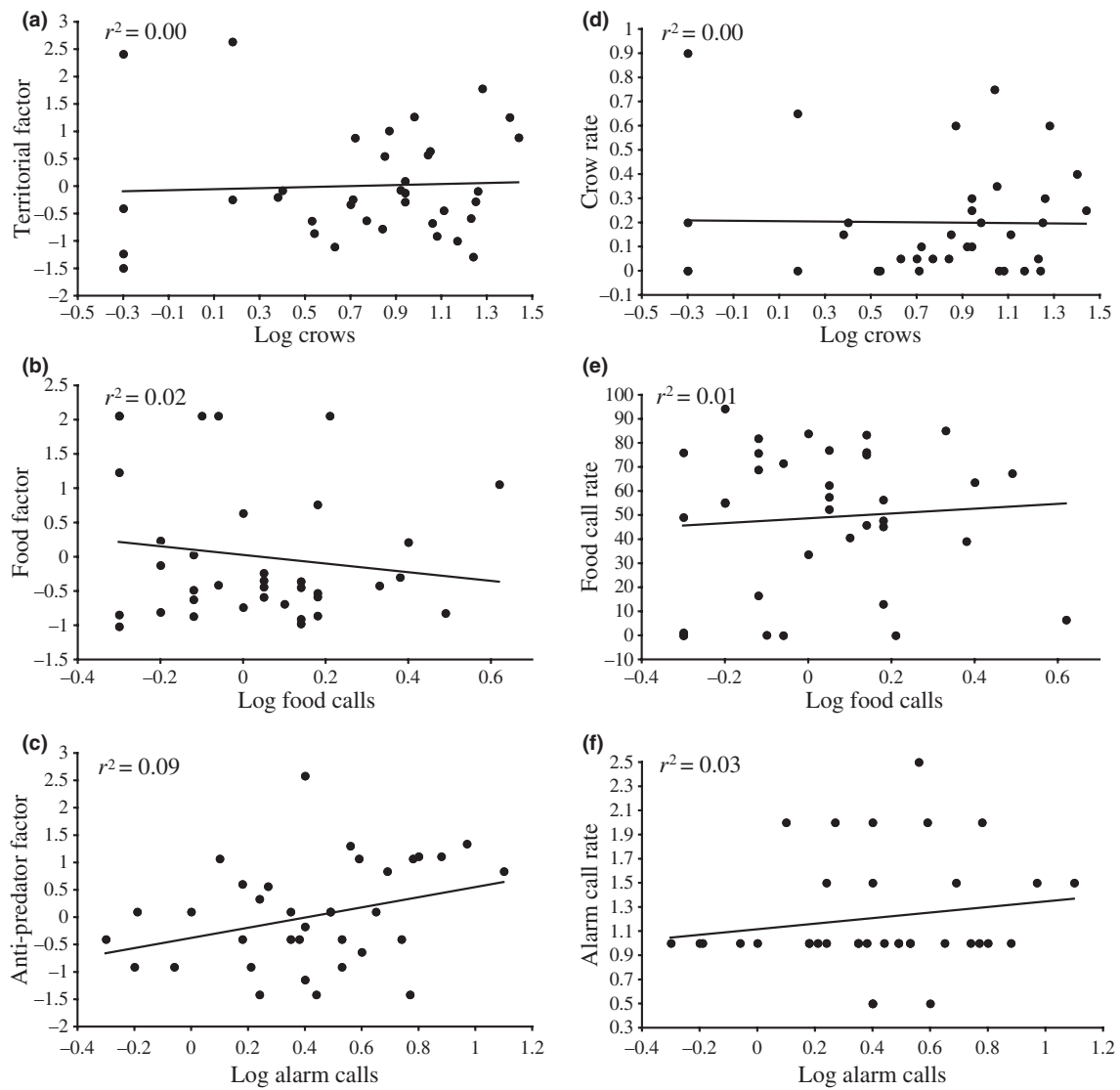
**Table 3:** Unrotated PCA factor loading scores for behaviors seen in the laboratory during each of three contexts.

Behavior	Territorial	Behavior	Foraging	Behavior	Anti-predator
Crow rate	0.831	Food call rate	-0.848	Aerial alarm call rate	0.723
Aggression (s)	-0.740	Tidbit (s)	-0.770	Crouch level	0.723
Crow latency	-0.730	Tidbit latency	0.962		
Aggression latency	0.843	Food call latency	0.843		
Eigenvalue	2.480	Eigenvalue	2.948	Eigenvalue	1.046
Variance explained (%)	62.01	Variance explained (%)	73.71	Variance explained (%)	52.32

## Figures



**Fig. 1:** Regression plots of behavior in the anti-predator, foraging, and territorial contexts in outdoor social groups (a-c) and for the same males tested individually under controlled conditions (d-f).



**Fig. 2:** Regression plots comparing behavior in the territorial context (a, d), foraging context (b, e) and anti-predator context (c, f) in outdoor social groups (abscissa) and when tested individually (ordinate). Comparisons use factors from Principal Components Analysis summarizing all behavioral responses (left) and each call type (right).

## Electronic Supplementary Material Figures



**Fig. 1:** Outdoor setting. Four large aviaries, each containing a group of three male and three female *Gallus gallus*. Aviaries were fitted with a coop, plants for cover, and an awning affording shelter from the sun.



**Fig. 2:** Virtual environment. Setup used for individual tests in the anti-predator context. High-definition video audience hen is presented to subject male inside cage (not shown) while a raptor crosses a monitor overhead.