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7 Functional morphology of Richardson's ground squirrel (*Spermophilus richardsonii*)
8 alarm calls: the meaning of chirps, whistles and chucks.

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24 Repetitive alarm vocalizations of Richardson's ground squirrels (*Spermophilus*
25 *richardsonii*) vary in terms of the acoustic structure of their primary syllables and the
26 inclusion of brief, lower amplitude, frequency-modulated elements trailing those
27 syllables which we term "chucks". Chucks are included in calls of both males and
28 females and increase in prevalence with the proximity of the caller to the alarm-evoking
29 stimulus. Further, chuck presence is not independent of primary syllable type: chucks
30 follow primary syllables that have constant frequency and diminishing amplitude
31 producing a "whistle", but do not trail primary syllables with diminishing frequency and
32 non-descending amplitude spectra ("chirps"). Playbacks to free-living squirrels of
33 repeated alarm calls having whistle- or chirp-like primary syllables and factorially
34 combining those with chuck presence or absence revealed that chirp-like syllables
35 elicited greater vigilance from call recipients during signal propagation. The addition of
36 chucks to the end of primary syllables of either type, however, increased initial vigilance
37 duration, and both the proportion of time devoted to vigilance during and after signal
38 reception. Chucks thus promote increased and lasting vigilance on the part of call
39 recipients. Beyond enhancing vigilance, however, the inclusion of frequency-modulated
40 chucks and chirps facilitates the orientation of receivers to the signaler. Multiple
41 acoustic parameters of Richardson's ground squirrel alarm vocalizations thus interact to
42 communicate information regarding several aspects of a predator encounter. Receivers
43 utilize such information to their advantage, affording greater attention to calls that
44 would be more readily located by predators, and hence are more costly for signalers to
45 produce.
46

47 Alarm signals warn conspecific and sometimes allospecific individuals of potential
48 danger posed by predators. Considerable diversity exists, however, in the nature of the
49 information conveyed by these signals. Specific attributes of predators may be encoded,
50 such that referential information allows signal recipients to respond in a manner that
51 best suits certain predator types or characteristics (referential alarm signaling: Seyfarth
52 et al. 1980; Cheney & Seyfarth 1988; Pereira & Macedonia 1991). Information regarding
53 the situation imposed by the encounter may also supplement or take the place of
54 referential information (situationally-specific alarm signaling: Ficken 1989; Blumstein
55 1995; Blumstein & Arnold 1995) as is the case where signals convey response urgency
56 (Warkentin et al. 2001).

57 Decoding the information conveyed in a given signal affords insight into the biology
58 of the organism, and into the basic economics by which natural selection operates in
59 refining communication (Marler 1955; Klump & Shalter 1984). Such insights are
60 garnered, however, only via comprehensive consideration of both the circumstances
61 surrounding variation in signal production and documentation of the response to such
62 signals, thereby addressing the perception of the signal by potential receivers (Evans et
63 al. 1993; Macedonia & Evans 1993).

64 Davis (1984) reported productional specificity in the alarm calling system of
65 Richardson's ground squirrels, wherein squirrels produced short "chirps" in response to
66 aerial predators, and longer "whistles" that were often repeated in response to
67 terrestrial predators. Warkentin et al. (2001) noted, however, that such productional
68 specificity could result from the more imminent threat imposed by faster approaching

69 avian versus terrestrial predator types, and revealed that Richardson's ground squirrels
70 encode the extent of threat imposed by predators via variation in the rate of repetitive
71 calling. Differing spectral properties of the syllables underlying repetitive calls may thus
72 act to verify or even refine information regarding response urgency. Indeed, Macedonia
73 & Evans (1993) and Blumstein (1995, 1999) similarly concluded that referential signaling
74 is unlikely to be exhibited by ground-dwelling squirrels (but see Slobodchikoff et al.
75 1991).

76 If the spectral variability in Richardson's ground squirrel alarm calls does not provide
77 functional referentiality, why does such pronounced variation exist, and what, if any,
78 information does such variation encode? Davis's dichotomy of whistles and chirps
79 drastically under-represents the many parameters of Richardson's ground squirrel alarm
80 vocalizations that show spectral variation (Koepl et al. 1978). Among these, we sought
81 to understand the function of the brief, relatively low amplitude, frequency-modulated
82 elements, which we termed "chucks" that often follow the offset of primary syllables in
83 repeated alarm vocalizations (see Koepl et al. 1978 Fig. 5H).

84 While lower amplitude elements in some cases represent echoes of preceding
85 louder components, the elaboration of fine structure within a vocalization may enhance
86 signal transmission or expand information content (Owings & Hennessy 1984; Bradbury
87 & Vehrencamp 1998). Roosters (*Gallus gallus*) often incorporate a relatively brief, but
88 intense broadband pulse of sound immediately before the first syllable of a repeated
89 alarm call, which functions to alert receivers to the subsequent call (Gyger et al. 1987;
90 Bayly & Evans 2003). Similarly, male Túngara frogs (*Physalaemus pustulosus*) append

91 one or more broadband "chucks" to the end of their tonal advertisement call, which
92 increase the effectiveness of the signal in terms of attracting females (Rand & Ryan
93 1981) and act as honest indicators of male body size (Ryan 1985).

94 Both broadband and frequency-modulated sounds are more readily locatable than
95 signals that are restricted to a narrow frequency range (Bradbury & Vehrencamp 1998).
96 Temporally segregated trailing elements may also facilitate localization of the signaler.
97 In harbor seals (*Phoca vitulina*), clicks following grunt vocalizations provide discrete
98 temporal cues that allow localization of the signal source based on interaural differences
99 in their time of arrival (Terhune 1974). The inclusion of such elements in alarm
100 vocalizations may thus increase the signaler's risk of predation (Ryan et al. 1982), which
101 in turn would select for honest signaling (Bradbury & Vehrencamp 1998).

102 We used Richardson's ground squirrel alarm vocalizations recorded in the context of
103 previous research (Hare 1998) to describe the spectral properties of chucks, and
104 examine the contextual correlates of their inclusion in repeated calls. Further, we
105 conducted a factorial playback experiment to determine how natural primary syllable
106 attributes and chucks interact in affecting the alarm responses of the squirrels.

107

108

METHODS

109 General Methods

110 Research involved the characterization of signals and analysis of contextual
111 elements underlying signal production from recordings made in the context of previous
112 alarm communication studies (Hare 1998; Hare & Atkins 2001; Sloan & Hare 2004,

113 Warkentin et al. 2001; Wilson & Hare 2004). Alarm calls used in those studies were
114 elicited by presenting free-living juvenile Richardson's ground squirrels (Michener &
115 Koepl 1985) with a model predator: a tan-coloured Biltmore hat (32.5 x 19.5 cm brim x
116 13 cm high). The use of models is common in studies of antipredator calling behaviour
117 as models allow greater contextual control than do natural predator encounters
118 (MacWhirter 1992; Hare 1998). All presentations and call recordings were made by JFH
119 while wearing the same outer clothing to minimize any confounding effects of the
120 observer (see Slobodchikoff et al. 1991). Recording methods followed those described in
121 Hare (1998). Subjects that had not previously been presented with the predator model
122 (hat) were approached to within 15 m. The hat was tossed from hip level with a flip of
123 the wrist to within 1-8 m of the intended subject at an angle of 0-30° relative to a line
124 between the observer and the subject (but never directly over the subject). In all cases,
125 calling did not begin until after the hat landed on the ground. For each recording
126 session, the time of day, position of the recording on the tape, locations of the
127 microphone, predator model, and subject at the outset of recording, and the behaviour
128 of the subject coinciding with the presentation of the model (particularly whether the
129 subject faced the model while calling) were recorded. Only sessions in which juveniles
130 faced the predator model while calling were used in subsequent analysis and playbacks,
131 thus decreasing the probability of spurious responses to the experimenter or other
132 elements in the squirrels' environment.

133 We conducted additional fieldwork from 8 April through 14 July 2004 on free-
134 living Richardson's ground squirrels occupying mowed lawns at the Assiniboine Park Zoo

135 (49° 52' N, 97° 14' W) in Winnipeg, Manitoba. Juvenile squirrels were live-trapped using
136 National or Tomahawk traps baited with peanut butter, permanently marked with metal
137 ear tags (National Band & Tag Company #1005) and given unique marks on their dorsal
138 pelage with hair dye (Clairol Hydrience™ 52, Black Pearl). Experimenters wore the same
139 outer clothing each day to habituate the squirrels to our appearance. All work involving
140 animals conformed to the guidelines for the ethical use of animals in research set forth
141 by the Canadian Council on Animal Care and those outlined under the Animal Behaviour
142 Society's guidelines for the treatment of animals in behavioural research and teaching.

143

144 **Spectral Analysis of Call Structure**

145 Preliminary examination of the spectral properties of juvenile Richardson's ground
146 squirrel alarm calls recorded by Hare at sites across southern Manitoba between 1994
147 and 1998 (see Hare 1998) revealed that in addition to primary syllable attributes, calls
148 could be categorized according to the presence or absence of a relatively low amplitude
149 acoustic element that trailed the offset of primary syllables (ca. -20 dB relative to
150 primary) within repetitive calls, after a brief (ca. 10 - 40 msec) intervening silence (Fig.
151 1). We refer to these elements as "chucks" (although they lack the overlap in time with
152 the primary syllable, increased amplitude, and abundant and powerful harmonics of
153 Túngara frog chucks; Ryan 1985) as their audible effect is to harshen the offset of each
154 syllable, interjecting a pulsatile beat into the end of each utterance.

155 Of the 34 juvenile Richardson's ground squirrel repeated calls selected for their high
156 signal-to-noise ratio and used in playback studies by Sloan & Hare (2004) and Wilson &

157 Hare (2003), 14 included at least some syllables accompanied by chucks. To avoid
158 problems associated with pseudoreplication (Machlis et al. 1985) in describing chucks, a
159 single syllable/chuck pair was sampled arbitrarily from each calling individual. We used
160 Canary™ 2.04 to parameterize the spectral properties of those chucks, measuring their
161 duration, latency and frequency at onset relative to the offset of the preceding primary
162 syllable, frequency at offset and harmonic structure (Fig. 1). All spectra were generated
163 using an FFT size of 256 points and Hamming windowing. As both males and females
164 issued chucks in some of their calls, we also compared each acoustic parameter of male-
165 versus female-produced chucks using Mann-Whitney U-tests.

166 We tested for an association between chuck presence and both the general
167 frequency and amplitude characteristics of the primary syllables contained in 32 of the
168 34 repeated calls with the highest signal-to-noise ratio. We employed Fisher's exact
169 tests on contingency tables examining the presence or absence of chucks relative to
170 primary syllable frequency type (categorized from spectra as chirps with frequency
171 descending over time or whistle-like with constant frequency) and amplitude type
172 (categorized from spectra as descending, ascending, bi-peaked, or multi-peaked, though
173 calls of the latter 3 types were relatively rare and thus pooled into a category called
174 "other" for the purpose of contrasts with descending amplitude calls). To ensure that
175 these association tests were not subject to bias introduced via the arbitrary selection of
176 a single syllable from within each call, contingency tables were formed considering both
177 the attributes of the preceding syllable relative to chuck presence, and the attributes of
178 the majority of syllables ($\geq 75\%$) relative to chuck presence in the entire call sample.

179 Further, we used logistic regression to test for any association between the rate at
180 which syllables were produced (estimated from the time taken to produce the first 5
181 syllables in the call) and the inclusion of chucks within those calls.

182

183 **Context of Chuck Production**

184 We reviewed field notes documenting contextual elements associated with the
185 production of the 34 calls used in our studies, including the sex of the caller, distance of
186 the caller from the predator model, distance of the caller from the
187 observer/microphone, date (day within year), time of day, wind speed (an ordinal
188 ranging from 0 - calm, to 3 - very windy), and cloud cover (an ordinal ranging from
189 0- clear to 2 - total overcast). We subjected data on the sex of the caller versus chuck
190 presence or absence to contingency table analysis using a Fisher's exact test. The
191 remaining contextual data were analysed using logistic regression to determine whether
192 the environmental parameters measured affected the propensity of individuals to
193 include chucks in their repeated calls.

194

195 **Playback Trials - Call Perception**

196 To determine how alarm call recipients perceive chucks, to ascertain whether chucks
197 exert an effect on receivers independent of the primary syllables they accompany, and
198 to test for any differential effect of those two general primary syllable types, we
199 examined responses of juvenile Richardson's ground squirrels to playbacks of recorded
200 calls. Playback trials were conducted when both wind and potential public interference

201 were minimal between 0700 and 2055 hours CST from 5 through 14 July 2004 following
202 a factorial design. Each of 60 subjects received a single 5-syllable playback (3 sec
203 intersyllable latency) of one of four possible call types formed via the manipulation of
204 two syllable attributes: primary syllable type (whistle-like with constant frequency and
205 descending amplitude versus chirps with descending frequency and multi-peaked
206 amplitude within each syllable) and chuck presence (present versus absent). Because
207 the rate at which syllables are uttered in repeated calls significantly affects the vigilance
208 responses of call recipients (Warkentin et al. 2001), we held intersyllable latency
209 constant among call types. Thus calls including chucks and calls composed of whistle-like
210 primary syllables were of longer duration than those without chucks and those
211 composed of chirps. Calls were constructed on Canary™ 2.04 via the repetition of single
212 syllables derived from unique juvenile callers (within the 34 calls above) recorded at
213 sites other than the zoo. Calls having primary syllables with constant frequency,
214 diminishing amplitude and incorporating a chuck were described by Davis (1984) as
215 "whistles", while those having primary syllables with diminishing frequency, multi-
216 peaked amplitude and lacking a chuck fall within the call types Davis described as
217 "chirps". The two artificial call types in our experiment - primary syllables of constant
218 frequency and diminishing amplitude with no chuck, and primary syllables having
219 diminishing frequency but multi-peaked amplitude with a chuck - were created by
220 deleting chucks from the whistles used above and appending those chucks to the
221 aforementioned chirps respectively. Whistles serving as the source of chucks were

222 matched to chirps receiving those chucks so as to minimize the difference in the onset
223 frequencies of the primary syllables.

224 Field playbacks of alarm calls followed the general methods described in Hare &
225 Atkins (2001). Upon identification of a previously untested squirrel, we approached the
226 prospective subject to within 15 - 25 m and set up the playback apparatus, including a
227 minidisc player (Sony MZ-N707), Sony XM-2025 audio amplifier and a Genexxa Pro LX5
228 loudspeaker. The playback system collectively reproduced frequencies ranging from 85
229 Hz to 22 kHz. While the peak sound pressure level (SPL) of playback exemplars of all four
230 call types diminished with distance from the source, no significant difference in SPL
231 (measured with a Realistic™ 33-2050 sound level meter, A weighting, fast response) was
232 detected at either 15 or 25 m from the speaker during a series of SPL measurement
233 trials conducted over similar terrain at a remote site (Table 1). Videotaping (via a tripod-
234 mounted Sony DCR-TRV120 camcorder) commenced when squirrels began to forage
235 and continued from 30 sec prior to call playback (pre-playback) until 30 sec
236 post-playback. Calls were arbitrarily assigned to subjects, though the order in which calls
237 of the four possible types were presented was randomized. Playbacks of different callers
238 within a given day were performed at least 50 m apart from one another, or if within
239 the same general area, were staged at least one hour apart.

240 Vigilant Richardson's ground squirrels elevate their head above the horizontal plane.
241 Thus postural responses to alarm calls provide an assay of vigilance in call recipients
242 (Holmes 1984; Hare 1998; Hare & Atkins 2001). Using a stopwatch and the video record,
243 we quantified responsiveness to alarm calls as the initial vigilance duration of call

244 recipients (the time from the initial expression of vigilance after the first syllable of the
245 playback to any reduction in vigilance posture) and as the total proportion of time spent
246 vigilant (including any posture in which the head is elevated above the horizontal plane;
247 see Hare 1998) during the playback and post-playback periods. In addition, to assess
248 whether certain call parameters facilitate localization of the signaler, we quantified the
249 orientation of call recipients relative to the signal source. We estimated the angular
250 deviation of the subject squirrel's nose over the majority ($\geq 75\%$) of the playback period
251 in 5 degree increments from the speaker, which itself was consistently positioned 9 m to
252 the right of the observers and at roughly the same distance as the observers were to the
253 call recipient. Data were coded from videotape by observers who were blind to the
254 treatment conditions for each trial, but were provided with the time code for the onset
255 and offset of the pre-playback, playback and post-playback periods. Data from three
256 trials (one constant frequency chuck present and two constant frequency chuck absent)
257 were excluded from the analysis, however, because of loud natural calling during the
258 playback period that could have affected the response of call recipients.

259 We used two-factor analysis of variance (the parametric assumptions of normality
260 and homogeneity of variance were met, all $P > 0.05$) to test for effects of primary
261 syllable type, chuck presence and their interaction on initial vigilance duration, the
262 proportion of time call recipients engaged in vigilance during the playback and post-
263 playback periods, and orientation relative to the signal source. Miscellaneous grouping
264 factors including: time of trial (0700 - 2055 hours CST), date (187th - 196th day within
265 year), wind speed (0 - 11.4 kph), temperature (13.1 - 29.6°C), relative humidity (35 -

266 86%), cloud cover (0 - 100%), the angle of the speaker relative to the recipient (0 - 45°),
267 the distance between the speaker and the call recipient (6.3 - 24.1 m), the number of
268 natural callers heard during the playback (categorized as none or one, few, or many),
269 and caller sex were balanced across chuck presence versus absence and primary syllable
270 type (all $P > 0.05$) and thus do not confound the interpretation of receiver responses.
271 Statistical analyses were performed on Statview™ 5.01 and differences were considered
272 significant where $P \leq 0.05$.

273

274

RESULTS

275 **Spectral Properties of Chucks**

276 A spectrographic representation of a chuck along with its preceding primary syllable is
277 shown in Fig. 1. While thirty-two high-quality calls were initially examined, all 14 calls
278 recorded in 1994 and 1995 were omitted from further spectral analysis because of
279 potential biases introduced by year, variation among study populations, or the
280 microphone used to record calls in those years. Indeed, chucks were observed in only 2
281 of 14 calls (14.3%) recorded in 1994 and 1995 with the parabolic microphone (Dan
282 Gibson P-650), but were present in 12 of 18 calls (66.7%) recorded with the shotgun
283 microphone (Audio-Technica AT815B) in 1997 and 1998 despite the fact that the same
284 experimenter, wearing the same outer clothing, presented the same call-eliciting model
285 in the same way in all of those years. In the 12 chuck-containing calling bouts recorded
286 in 1997 and 1998, chucks followed 50 to 97% of the primary syllables sampled (a
287 proportion of 0.87 ± 0.04 of the syllables, mean \pm SE), trailed primary syllables by a

288 latency of 10.2 to 40.7 msec (23.2 ± 2.5 msec), and had a duration of 8.7 to 37.8 msec
289 (21.0 ± 2.6 msec). The onset frequency of the chuck was 1.13 to 5.07 KHz (2.84 ± 0.34
290 KHz) below the offset frequency of the preceding syllable, and chucks themselves were
291 invariably frequency modulated from a higher frequency at their onset (range: 4.48 to
292 7.53 KHz, mean \pm SE: 6.13 ± 0.23 KHz) to a lower frequency at their offset (range: 2.74 to
293 4.63 KHz, mean \pm SE: 3.52 ± 0.19 KHz). Frequency within chucks thus declined anywhere
294 from 1.33 to 4.67 KHz (mean \pm SE: 2.61 ± 0.27 KHz) at a rate of 0.13 ± 0.004 KHz/msec
295 (mean \pm SE), and all chucks exhibited a pattern of declining amplitude over their
296 duration. No harmonics or sub-dominant carriers were detected in any of the chucks
297 recorded in 1997 or 1998.

298

299 **Contextual Correlates of Chuck Production**

300 Signaler attributes

301 Female and male juveniles had an equal propensity to include chucks in their
302 repeated calls (seven females produced repeated calls with chucks and four produced
303 calls without chucks whereas five males produced repeated calls with chucks and two
304 produced calls without chucks: Fisher's exact test, $P = 1.0$). Females and males also
305 incorporated chucks into a similar proportion of their syllables (Table 2: $Z_c = 0.49$, $P =$
306 0.62). Further, no significant differences were detected between male- and female-
307 produced chucks in terms of their maximum frequency, minimum frequency, change in
308 frequency from onset to offset, the rate of change in frequency, the difference in their
309 onset frequency relative to the offset frequency of the preceding syllable, the latency

310 from the primary syllable to chuck onset or chuck duration (all $P \geq 0.22$; see Table 2).
311 The statistical power of these contrasts is limited, however, by the small samples of
312 male- and female-produced calls.

313

314 Influence of primary syllables

315 The presence of chucks was significantly correlated with both the general amplitude
316 and frequency attributes of the primary syllables found within repeated calls. Chucks
317 were more likely to be present when either the preceding syllable (Fisher's exact test, P
318 = 0.01) or the majority of syllables in the call (Fisher's exact test, $P = 0.00$) decreased in
319 amplitude from onset to offset (Table 3). Chucks were also more likely to be present
320 when either the preceding syllable (Fisher's exact test, $P = 0.00$) or the majority of
321 syllables in the call (Fisher's exact test, $P = 0.00$) had constant as opposed to a
322 descending fundamental frequency from their onset to offset (Table 4). The rate at
323 which syllables were uttered had no effect, however, on the likelihood of chucks
324 accompanying those syllables (Logit(P) = 0.95 -1.0x, $X^2_1 = 0.02$, $P = 0.89$, $R^2 = 0.001$).

325

326 Environmental factors

327 Only the distance between the predator model and the signaler had a significant
328 influence on whether repeated calls included chucks (Table 5). The likelihood of calls
329 incorporating chucks increased as the model was positioned in closer proximity to
330 the caller.

331

332 Playback Trials - Chuck Versus Primary Syllable Effects

333 The inclusion of chucks in repeated calls significantly increased the initial vigilance
334 duration of call recipients and the total proportion of time devoted to vigilance during
335 and immediately after the playback (Table 6). Whereas the proportion of time devoted
336 to vigilance was significantly greater for chirp-like (decreasing frequency and multi-
337 peaked amplitude) primary syllables during the playback, and squirrels tended to
338 prolong initial vigilance in response to chirp-like syllables, primary syllable type did not
339 affect the proportion of time devoted to vigilance after the playback (Table 6). Further,
340 primary syllable type did not interact with chuck presence for any of the vigilance
341 response measures (Table 6).

342 Unlike vigilance proper, orientation of call recipients to the signal source was
343 unaffected by either chuck presence or primary syllable type, though a significant
344 interaction was apparent such that chuck presence increased orientation to the source
345 when paired with whistle-like primary syllables but not chirp-like syllables (Table 6).
346 Orientation of the head to the signal source was most pronounced for chirp-like primary
347 syllables without chucks (chirps *sensu* Davis 1984) and less so for whistle-like syllables
348 with chucks (whistles *sensu* Davis 1984), chirp-like syllables with chucks and whistle-like
349 syllables without chucks in that order (Table 6).

350

351

DISCUSSION

352 We investigated the function of chucks, chirps and whistles that comprise the audible
353 alarm vocalizations of Richardson's ground squirrels. The likelihood of chucks being
354 incorporated into repeated alarm calls increased with proximity to the call-eliciting
355 stimulus. Further, the broadcast of chucks increased both the initial vigilance duration
356 and exerted a tonic effect (Schleidt 1973; Owings et al. 1986), prompting squirrels to
357 devote a greater proportion of their time to vigilance once the alarm signal had ceased.
358 Thus chucks appear to heighten the perception of threat by call recipients, lending
359 credence to the message conveyed by their preceding primary syllables. In that sense,
360 calls incorporating chucks are treated as more reliable indicators of threat, and are
361 afforded greater attention by signal recipients, just as juvenile Richardson's ground
362 squirrels attend to more reliable signalers (Hare & Atkins 2001) and signals that
363 temporally convey the extent of threat with greater certainty (Sloan & Hare 2004).

364 Our playback results also reveal, however, that the two primary syllable types
365 differed in their salience to receivers over the short term. Chirp-like primary syllables
366 elicited greater vigilance responses than whistle-like primary syllables during their
367 broadcast, though that difference did not persist into the post-playback period. The
368 observed difference in response to chirps versus whistles may be explained by Davis's
369 (1984) finding that chirps tend to be produced in response to avian predators and
370 whistles in response to terrestrial predators. Avian predators typically appear suddenly,
371 stoop on prospective prey and retreat to cover. Thus they present an immediate but
372 transitory threat, which would require immediate and pronounced response.

373 Consistent with that interpretation, we found that in natural calls, chucks trailed
374 syllables with constant frequency and descending amplitude, producing whistles, but
375 not primary syllables uttered as chirps. Chucks then, may be incorporated into whistles
376 in cases where predators present an immediate threat, but omitted where the caller
377 perceives a lesser threat. In our playback experiment, receivers oriented more directly
378 to the source when chucks were left in whistles than when chucks were appended to
379 chirps. Because squirrels can enhance their safety in the face of terrestrial predators by
380 monitoring the location of the predator (Lima & Dill 1990), the inclusion of chucks in
381 calls issued to terrestrial predators may result from selection favoring localization of the
382 signaler, whose calls may serve in part as a pronouncement of vigilance, but ultimately
383 benefit the signaler by warning others of the predator's presence (Sherman 1977).
384 Indeed, by discriminating among individual callers (Hare 1998), and estimating the
385 distance of the predator from the signaler via perception of the rate of repetitive calling
386 (Warkentin et al. 2001), receivers that could locate the signaler in space could infer their
387 distance from the predator based on alarm vocalizations alone, perhaps even
388 integrating information from multiple signalers to pinpoint the position of the
389 presumptive predator within the colony. The persistence of vigilance beyond the end of
390 the repeated call where chucks are present likely reflects receiver's attempts to visually
391 locate the predator that elicited the signal.

392 Whereas the retention of chucks where primary syllables had constant frequency
393 enhanced orientation toward the signal source, the most direct orientation to the
394 source was observed for chirps which lacked chucks altogether (Table 6). It is likely that

395 the highly frequency modulated nature of the chirps, along with the high response
396 urgency such syllables convey, promote this pronounced orientation to the signal
397 source. Monitoring positional changes of a predator imposing an imminent threat may
398 not be practical, though it would prove selectively advantageous if alarm signals given in
399 that context provided information allowing receivers to orient their evasive response
400 accordingly. Diminution of the orientation response when chucks are appended to the
401 chirps, or when chucks are deleted from whistles, may reflect potentially conflicting or
402 incomplete information in those two artificial call types respectively.

403 Whereas uttering whistles containing chucks would serve squirrels encountering
404 terrestrial predators, avian predators sometimes perch, or even land on the ground,
405 within or in close proximity to a colony, resuming their attack from those positions. It is
406 not surprising then that the level of productional specificity reported by Davis (1984) is
407 not absolute: whistles are sometimes given to aerial predators and chirps to terrestrial
408 predators. Indeed both the chirps and the whistles used in our study were elicited by
409 tossing the same tan-coloured hat toward squirrels in the field (see Hare 1998).

410 Contrary to Davis then, Richardson's ground squirrels appear to use chirps and whistles
411 to communicate different information - chirps for immediate threat and whistles
412 incorporating chucks for more tonic threats that should be tracked independent of
413 taxonomic affiliation - rather than using spectrally distinct calls to represent different
414 predator classes per se. Further experimentation employing live, or at least life-like
415 models of terrestrial and avian predators is necessary, however, to address the extent

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441

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Table 1. Peak sound pressure level (mean \pm SE dB) of the four Richardson's ground squirrel call types (n = 15 exemplars/ call type) at 15 and 25 m from the loudspeaker.

Distance From Speaker	Call Type				ANOVA $F_{3,56}, P$
	Whistle-like		Chirp-like		
	With Chuck	No Chuck	With Chuck	No Chuck	
15 m	62.7 \pm 1.0	61.0 \pm 0.8	60.3 \pm 0.5	60.4 \pm 0.8	2.05, 0.12
25 m	58.5 \pm 0.8	58.0 \pm 0.6	57.8 \pm 0.5	58.1 \pm 0.6	0.22, 0.88

528 Table 2. Comparison of chuck parameters (mean \pm SE) in male- versus
 529 female-produced Richardson's ground squirrel alarm calls.

530

531

Sex of Caller

532

Call Attribute	Male (N = 5)	Female (N = 7)	Z_c	P
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533

Proportion of syllables	0.85 \pm 0.09	0.90 \pm 0.02	0.49	0.62
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534

with a chuck

535

Chuck duration (msec)	22.5 \pm 4.4	19.9 \pm 3.5	0.65	0.52
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536

Latency to chuck (msec)	20.9 \pm 3.4	24.8 \pm 3.6	0.73	0.46
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537

Minimum frequency (KHz)	3.18 \pm 0.15	3.76 \pm 0.29	1.22	0.22
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538

Maximum frequency (KHz)	5.86 \pm 0.41	6.31 \pm 0.28	0.89	0.37
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539

Frequency change (KHz)	2.68 \pm 0.35	2.56 \pm 0.42	0.57	0.57
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540

Frequency rate change	0.13 \pm 0.01	0.13 \pm 0.01	0.41	0.68
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541

(KHz / msec)

542

Frequency drop from primary	3.40 \pm 0.64	2.45 \pm 0.32	1.06	0.29
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543

offset to chuck onset (KHz)

544

545 Table 3. Amplitude type of preceding primary syllables and majority ($\geq 75\%$) of primary
 546 syllables in calls with and without an accompanying chuck (note: "other"
 547 includes bi-peaked, multi-peaked and ascending) in Richardson's ground
 548 squirrel alarm calls.

549

		<u>Preceding Syllable Amplitude Type</u>		<u>Majority Amplitude Type</u>		
		Descending	Other	Descending	Other	
552	Chucks	Yes	8	4	12	0
553	Present?	No	0	6	0	6

554

555 Table 4. Frequency type of preceding primary syllables and the majority ($\geq 75\%$) of
 556 syllables in calls with and without an accompanying chuck in Richardson's
 557 ground squirrel alarm calls.

558

		<u>Preceding Syllable Frequency Type</u>		<u>Majority Frequency Type</u>		
		Descending	Constant	Descending	Constant	
561	Chucks	Yes	0	12	0	12
562	Present?	No	6	0	6	0

i63 Table 5. Summary of contextual influences on chuck production in Richardson's ground squirrel alarm calls.

i64

i65 Logistic Likelihood

i66 Variable	Logit(P) =	Chi-Square	P	Correct Predictions	Effect Size (R^2)
i67 Date	15.07 - 0.08x	2.18	0.14	70%	0.08
i68 Time	4.45 - 0.39x	0.75	0.38	60%	0.03
i69 Cloud Cover	0.91 - 0.39x	0.43	0.51	65%	0.02
i70 Wind Speed	0.67 - 0.13x	0.03	0.87	65%	0.00
i71 Caller/Observer Distance	4.79 - 0.68x	2.95	0.09	69%	0.12
i72 Caller/Hat Distance	5.90 - 2.50x	11.40	0.00	85%	0.44

Table 6. The influence of primary syllable type, chuck presence and their interaction on the vigilance responses of Richardson's ground squirrel call recipients. Results are shown as mean \pm SE sec (N).

Dependent Variable	Call Type				Significance Tests		
	Whistle-like		Chirp-like		1° Syllable	Chuck	Interaction
	With Chuck	No Chuck	With Chuck	No Chuck	$F_{1,53}, P$	$F_{1,53}, P$	$F_{1,53}, P$
Initial Vigilance Duration (sec)	7.4 \pm 3.1 (14)	2.2 \pm 0.6 (13)	12.9 \pm 3.7 (15)	6.6 \pm 1.7 (15)	3.4, 0.07	4.6, 0.04	0.0, 0.83
Total Vigilance (Playback)	0.6 \pm 0.1 (14)	0.4 \pm 0.1 (13)	0.7 \pm 0.1 (15)	0.6 \pm 0.1 (15)	6.5, 0.01	7.2, 0.01	0.0, 0.89
Total Vigilance (Post-playback)	0.5 \pm 0.1 (14)	0.5 \pm 0.1 (13)	0.7 \pm 0.1 (15)	0.4 \pm 0.1 (15)	0.2, 0.68	4.5, 0.04	3.7, 0.06
Orientation to Source (* $F_{1,54}$)	74.3 \pm 12.1 (15)	100.0 \pm 17.3 (14)	84.3 \pm 14.3 (14)	54.0 \pm 9.0 (10)	1.8*, 0.18	0.0*, 0.86	4.4*, 0.04

583

584

585

586

587 Frequency (kHz)

588

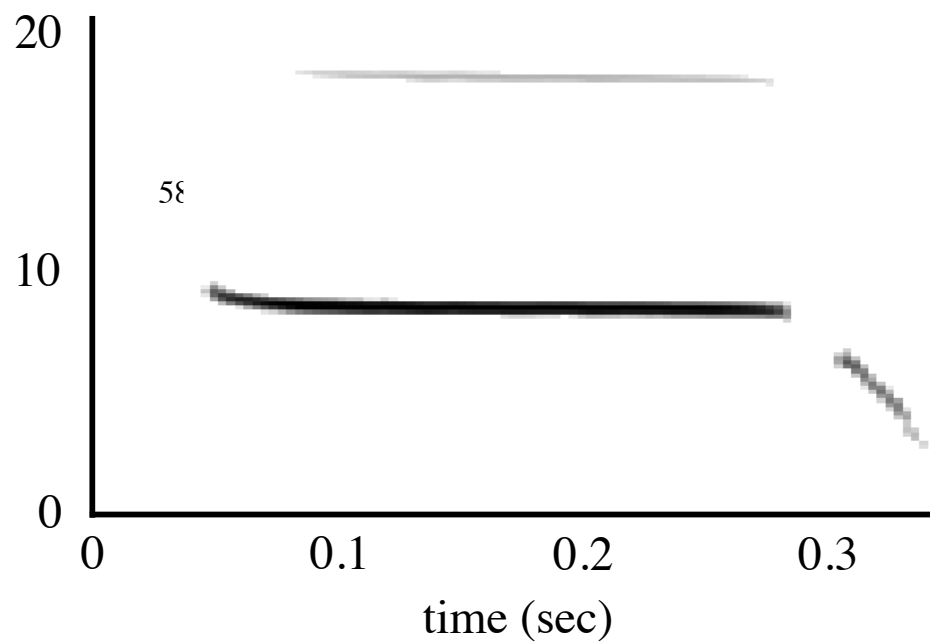
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594 Figure 1. Spectrographic representation of the frequency versus time domain of a

595 Richardson's ground squirrel "whistle" (*sensu* Davis 1984) with a "chuck"

596 trailing the primary syllable.