## Bindings in Colored Petri Nets

by

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#### Abstract

Performance analysis of systems is an important part of system evaluation. If the analyzed system exists, performance analysis can be based on system's measurements (using some sort of instrumentation). If the analyzed system does not exist (as is the case of system upgrading, improvement or design), the approach is to build a (mathematical) model of the system and to use this model for performance analysis. For systems exhibiting concurrency, resource sharing or synchronization of activities, Petri nets are very often used as the modeling formalism. In colored Petri nets, one of nontrivial tasks is to find bindings, i.e. mapping of free variables used in arc expressions to specific colors. Bindings are needed to determine state transitions of a system, therefore, are needed in all analyses of system's behavior. A heuristic approach is proposed which enhances the efficiency of finding bindings in colored Petri nets. Also, performance analysis is used to compare the proposed approach with some other approaches to finding bindings and some remarkable improvements are shown through this analysis.

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# Chapter 1

# Introduction

Petri nets are a powerful graphical formalism for the modeling and analysis of systems, especially for systems which exhibit synchronization and concurrency [1, 2, 3]. There are several classes of Petri nets with different properties and different applications. The basic version of Petri nets is known as place/transition nets. The three main components of such nets are places, which represent conditions of a system (drawn as circle or ellipses), transitions, which represent the events (drawn as bars or rectangles), and arcs, which connect places to transitions and transitions to places. This basic model can be extended in several different ways. Petri nets with time [4, 5] include the duration of modeled activities. Colored Petri nets [6, 7, 8, 9] provide a simple representation of complex Petri nets by eliminating replication of similar parts. In colored Petri nets tokens have attributes (called colors), and these attributes can represent a wide range of information associated with tokens. In particular, colors can be used to "fold" similar components of large models (e.g., individual processors of a multiprocessor system) with colors indicating specific components and simplify the structure of the models.

In colored Petri nets, the process of checking if transitions are enabled is more sophisticated than in basic Petri nets because it may involve some relationships between colors of tokens in the input (and output) places. For example, a token with attribute "a" in one place may require two tokens with the same attribute in another input place for a transition to fire. Such relationships are described by so called arc expressions. Usually arc expressions are parameterized by using free variables, so they describe the same relationships for different colors. To fire a transition then, all free variables must be associated with specific colors. Such an association of colors to free variables is called binding. An efficient method of finding all possible bindings in colored Petri nets is an important aspect of any approach to the analysis of colored nets.

This thesis proposes a heuristic approach to finding bindings in a colored Petri net model. The proposed appraoch is based on an observation that most arc expressions are very simple, so an efficient handling of such expressions can improve the performance of the analysis of colored nets. A performance analysis of some examples is provided to evaluate efficiency of the analysis. A comparison of the performance of the proposed approach with some other approaches is shown for several examples. Also, some other improvements are discussed to simplify the process of finding bindings. The proposed approach has been implemented in Java and uses MySQL database as its data structure.

#### 1.1 Related Work

Some proposed solutions to the binding problem are overviewed in this section. The approach proposed in [10], uses unfolding of colored nets to eliminate arc expressions and to simplify the checking if a transition is enabled. However, the method cannot be used for all colored Petri nets. In [11] a unification technique (the process of finding assignments under which two algebraic terms are equivalent is often referred to as *unification*) is used to calculate enabled transition instances for the algebraic nets (another kind of high-level Petri nets). Some optimization techniques are considered, however some limitations are that variables on input arcs may not be multiset-valued, and all data types must have finite domains. Stochastic Well-Formed Nets (SWNs) are discussed in [12] where an optimized model for computation of *firing sets* is proposed. It is also pointed out that the number of transitions that are affected by the firing of a transition is much smaller than the number of the enabled transitions for a given marking. Hence, this technique can reduce the execution time to find the list of enabled transitions. A simulation has been done according to the presented algorithm and performance improvement has been reported for some specific examples. In [13] an efficient data-structures and algorithms are used to improve the performance of a simulator for colored Petri nets. Only disabled transitions are considered which are discovered during the search for an enabled transition, and the locality principle for an occurring transition is used in order to minimize the changes of enabling status of other transitions. A partial binding method as well as some other optimization techniques are used in [14] to find complete bindings. First the partial bindings are determined for each arc expression and then all partial bindings are merged into complete bindings. During the partial binding test, the number of assignments to free variables decreases. This approach is described in greater details in Chapter 3.

## 1.2 Notation

The notation used in algorithms in this thesis is summarized in an example called Algorithm 0.

Algorithm 0. An algorithm with all notations used in this thesis.
$c := \mathbf{RED}$
for all $x$ in X do
Print $x$
end for
$select := \mathbf{true}$
for all $x$ in X while select do
if $x = c$ then
Print x, "is equal to <b>RED</b> "
$select := \mathbf{false}$
else
Print x, "is not equal to <b>RED</b> "
end if
end for

The assignment operator is ":=" and "=" is used as relational equating operator. "for all x in X" specifies a for loop which iterates x over all elements of the set X. In Algorithm 0, the result of the first loop is to print all elements of the set X. The next for loop "for all x in X while *select*" prints all elements of X until an element is equal to **RED** then the *select* becomes false, and the for loop ends. All if statements end with end if and all for statement end with end for.

## 1.3 Outline

This thesis is organized as follows: Chapter 2 presents the basic concepts of Petri nets and Colored Petri nets. It also formally introduces the concept of binding. Chapter 3 discusses the proposed approach as well as some other approaches to finding the bindings. An evaluation analysis and performance analysis for some examples is given in Chapter 4. Chapter 5 discusses some of the possible extensions, limitation and future works.

# Chapter 2

## Petri Nets

This chapter introduces some basic concepts of Petri nets and colored Petri nets. It also introduces the notion of bindings in colored Petri nets and the concept of reachable markings for a Petri net.

## 2.1 Petri Nets

Petri-nets, proposed by Carl A.Petri in 1962 [15], became one of the most popular formalisms for the description of systems in which the communication between components, synchronization of component operations and resource sharing are essential. Graphically Petri nets are bipartite directed graphs, i.e., directed graphs with two types of vertices called places and transitions. These two types of vertices represent (in a very general sense) conditions (places) and events (transitions). For this reason Petri nets are sometimes called condition-event systems. An event can occur only when some conditions are satisfied (e.g., a car can start its engine if the battery is charged and the start button is pressed), the transition modeling such an event is connected with input places representing these conditions. i.e., "battery is charged" and "start button is pressed" in this example. An occurrence of an event results in some other conditions that become satisfied (e.g., "the engine is running"), so the transition representing this event will also be connected to some (output) places. Occurrences of events are also called *firings* of (the corresponding) transitions.

Formally, a Petri net  $\mathcal{N}$  (also called net structure [16]) is defined as a finite directed bipartite graph,  $\mathcal{N} = (\mathbf{P}, \mathbf{T}, \mathbf{A})$ , where  $\mathbf{P}$  is a finite set of places,  $\mathbf{P} = \{p_1, p_2, ..., p_n\}$ ,  $\mathbf{T}$  is a finite set of transitions,  $\mathbf{T} = \{t_1, t_2, ..., t_m\}$ , and  $\mathbf{A}$  is a set of directed arcs connecting places with transitions and transitions with places,  $\mathbf{A} \subseteq (\mathbf{P} \times \mathbf{T}) \cup (\mathbf{T} \times \mathbf{P})$ . Sometimes the set of arcs is defined in two parts,  $\mathcal{N} = (\mathbf{P}, \mathbf{T}, \mathbf{A}_1, \mathbf{A}_2)$ where  $\mathbf{A}_1 \subseteq \mathbf{P} \times \mathbf{T}$  and  $\mathbf{A}_2 \subseteq \mathbf{T} \times \mathbf{P}$ . It is convenient to define the input and output sets for each place  $p \in \mathbf{P}$ , and each transition  $t \in \mathbf{T}$ :

$$Inp(t) = \{ p \in \mathbf{P} \mid (p, t) \in \mathbf{A} \}, \qquad (2.1)$$

$$Inp(p) = \{t \in \mathbf{T} \mid (t, p) \in \mathbf{A}\}, \qquad (2.2)$$

$$Out(t) = \{ p \in \mathbf{P} \mid (t, p) \in \mathbf{A} \}, \qquad (2.3)$$

$$Out(p) = \{t \in \mathbf{T} \mid (p,t) \in \mathbf{A}\}.$$
(2.4)

The dynamic behavior of net models is represented by the so called *tokens* which are associated with places of nets. Any assignment of tokens to places is called a marking function,  $m : \mathbf{P} \to \{0, 1, ..\}$ , or simply a marking in a net  $\mathcal{N}$ . For each  $p \in \mathbf{P}, m(p)$  specifies the number of indistinguishable tokens assigned to place p by m. A marked net  $\mathcal{M}$  is defined as a pair  $\mathcal{M} = (\mathcal{N}, m_0)$  where  $\mathcal{N}$  is a Petri net and  $m_0$  is the initial marking function,  $m_0 : \mathbf{P} \to \{0, 1, ...\}$ . In a marked net  $\mathcal{M}$ , a transition t is enabled by a marking m if and only if its all input places contain at least one token, i.e.,

$$\forall \ p \in Inp(t) : m(p) > 0. \tag{2.5}$$

If a transition is enabled, an event represented by this transition can occur (i.e., the transition can fire), and such occurrence changes the marking function m into the marking m' for which:

1

$$\forall p \in \mathbf{P} : m'(p) = \begin{cases} m(p) + 1, & \text{if } p \in Out(t) - Inp(t); \\ m(p) - 1, & \text{if } p \in Inp(t) - Out(t); \\ m(p), & \text{otherwise.} \end{cases}$$
(2.6)

It is said that m' is *directly reachable* from m by firing transition t, in notation:  $m \stackrel{t}{\rightarrow} m'$ . A marking  $m_j$  is said to be *generally reachable* from marking  $m_i$  in  $\mathcal{M}$ , notation  $m_i \stackrel{*}{\rightarrow} m_j$ , if it is reachable from  $m_i$  by a sequence of directly reachable markings. The set  $\mathbf{M}(\mathcal{M})$  of reachable markings of a marked net  $\mathcal{M}$  is the set of all markings that are generally reachable from the initial marking  $m_0$  (including  $m_0$ ):

$$\mathbf{M}(\mathcal{M}) = \{ m \mid m_0 \stackrel{*}{\to} m \}.$$
(2.7)

The reachability graph (or the graph of reachable markings) of  $\mathcal{M}$ ,  $\mathcal{R}(\mathcal{M})$ , a directed, arc-labeled graph  $\mathcal{R}(\mathcal{M}) = (\mathbf{V}, \mathbf{E}, l)$ , in which vertices are reachable markings,  $\mathbf{V} = \mathbf{M}(\mathcal{M})$ , arcs represent the direct reachability relation,  $(m_i, m_j)$  $\in \mathbf{E} \Leftrightarrow m_i \xrightarrow{t} m_j$ , and l is a labeling function which assigns subsets of transitions to elements of  $\mathbf{E}$ ,  $l(m_i, m_j) = \{t \in \mathbf{T} \mid m_i \xrightarrow{t} m_j\}$ . A marked net  $\mathcal{M}$  is *bounded* if there exist a positive number of k such that:

$$\forall \ m \ \forall \ p: \ m(p) \le k. \tag{2.8}$$

k is called the bound of  $\mathcal{M}$ . If the bound is equal to 1, the net is called *safe* [1].

It is straightforward to observe that if the set  $\mathbf{M}(\mathcal{M})$  is finite, the net is bounded; if  $\mathbf{M}(\mathcal{M})$  is infinite, the net is unbounded.

Petri net properties such as *boundedness* [17], *liveness* [18], *reversibility* or *home* state [19], persistence [20] and other can be used to check some characteristics of the modeled systems [21, 22]. For example, liveness is closely related to the absence of deadlock, an important aspect of operating systems [23].

#### 2.2 Colored Petri Nets

In colored Petri nets, tokens have attributes (called colors) and these attributes can represent a wide range of information associated with tokens (such as integers for representing number of items in a store or warehouse). In particular, colors can be used to "fold" similar components of large models (e.g., individual processors of a multiprocessor system) where colors indicate specific components and simplifying the structure of the models. However, the analysis of colored Petri nets is much more complex than that of ordinary Petri nets. In Figure 2.2 the three subnets representing the processors in Figure 2.1 are "folded" into one subnet, while the processors are represented by three colored tokens which are quite independent from each other with the exception of accessing memory. If more than one processor accesses memory at the same time, the original priorities are taken into account - the details are discussed in Chapter 4.



Figure 2.1: The regular Petri net model of three shared memory system.



Figure 2.2: The colored Petri net model of three shared memory system.

#### 2.2.1 Colored Markings

In a colored Petri net, a marking of a place is a collection of colored tokens, which is conveniently represented by a function  $a : \mathbb{C} \to \{0, 1, ...\}$ , often called a bag. A bag is a generalization of a set that allows multiple occurrences of the same element.

An alternative representation of bags, assuming some ordering of colors in the set  $\mathbf{C}$ , is in the form of vectors:

$$[n_1, n_2, \dots] \tag{2.9}$$

where  $n_i = g(c_i), i = 1, 2, ...$ 

Moreover, there is one more popular notation for bags, in which the nonzero elements are written in a form of (linear) expression, for example, if  $C = \{blue, green, black, red\}$  and if m(p) is:

$$\forall c \in \mathbf{C} : m(p) = \begin{cases} 1, & \text{if } c = red; \\ 2, & \text{if } c \in \{blue, green\}; \\ 0, & \text{otherwise.} \end{cases}$$
(2.10)

Then this marking can be written as: 1red + 2blue + 2green.

Similarly, in colored nets a marking function m is a mapping:

$$\mathbf{C}: \mathbf{P} \to \mathbf{C} \to \{0, 1, ...\}$$
(2.11)

i.e., it assigns a bag of colored tokens to each place p in  $\mathbf{P}$  (the associativity of the mapping operator  $\rightarrow$  is from right to left, so  $A \rightarrow B \rightarrow C$  is the same as  $A \rightarrow (B \rightarrow C)$ ).

#### 2.2.2 Colored Nets

There are several slightly different definitions of colored Petri nets in the literature [6, 9], however, the basic concepts are the same for all these definitions. For this thesis, a colored Petri net  $\mathcal{N}$  is defined as  $\mathcal{N} = (\mathbf{P}, \mathbf{T}, \mathbf{A}, \mathbf{C}, \mathbf{V}, a)$  where:  $(\mathbf{P}, \mathbf{T}, \mathbf{A})$  is a Petri-net structure;  $\mathbf{C}$  is a set of attributes called colors;  $\mathbf{V}$  is a set of free variables; a is an arc function which assigns bags of colors to arcs of  $\mathcal{N}$ ,  $a : \mathbf{A} \to (\mathbf{C} \cup \mathbf{V}) \to \{0, 1, ...\}$ ; these bags are in the form of expressions labeling the arcs and they determine the numbers and specific colors of tokens which are used for firing the transitions.

The definition of colored Petri nets, with arc expressions, should be accompanied by some explanations. The arc expressions are actually parameterized bags of colors, with free variables used as parameters. Free variables provide a mechanism of using the same combination of tokens for different colors. There is an implied process of association of free variables with specific colors which is called binding. Formally, binding is a mapping of free variables to colors. A convention used in colored Petri nets is that each binding is restricted to a single transition, i.e., the meaning of free variables in all expressions of arcs incident with the same transition is the same, but the same free variable in arc expressions associated with different transitions may have different bindings. A transition is enabled only if there is a complete binding, i.e., all free variables can be assigned to colors.

#### 2.2.3 Bindings

In colored Petri nets, a transition t is enabled by a marking m if there is such an assignment of colors to free variables in arc expressions associated with t, that the numbers of (colored) tokens in input places of t are not smaller than the values of corresponding arc expressions, i.e., there is a function  $b : \mathbf{V}(t) \to \mathbf{C}$  such that:

$$\forall \ p \in Inp(t) : m(p) \ge subst(a(p,t),b)$$
(2.12)

where subst(a(p, t), b) is the expression associated with arc (p, t) in which free variables are replaced by the values of b.

For example, in Figure 2.3,  $m(p_i) = 2white + 1black + 1gray$ , and  $m(p_j) = 2black + 2white + 2gray$ . For this marking, there are two possible bindings. (1) x = white, y = black, z = gray and (2) x = white, y = gray, z = black. After t's firing, the marking of  $p_k$  becomes 1white + 1gray for the first binding, or 1white + 1black for the second binding. Therefore, the transition t is enabled and can fire with one of these two bindings and these are two different markings reachable from m.



Figure 2.3: Occurrences of colored nets.

A marking m' is reachable from m by firing t with binding b if:  $\forall p \in \mathbf{P}: m'(p) = \begin{cases} m(p) + subst(a(t, p), b), & \text{if } p \in Inp(t) - Out(p); \\ m(p) - subst(a(p, t), b), & \text{if } p \in Out(t) - Inp(p); \\ m(p) + subst(a(t, p), b) - subst(a(p, t), b), & \text{if } p \in Inp(t) \cap Out(p); \\ m(p) & \text{otherwise.} \end{cases}$ (2.13)

#### 2.3 Summary

This chapter has introduced the basic concepts of ordinary Petri nets and colored Petri nets (CPNs). Formal definitions have been provided and bindings in colored Petri nets also have been discussed.

# Chapter 3

# Finding Bindings in Colored Petri Nets

An efficient method of finding bindings in colored Petri nets is essential for any behavioral analysis of a colored net. An overview of approaches to finding all possible bindings is presented in this chapter. It is assumed that predicates (or conditions associated with transition) are transformed to arc expressions, so they are not considered explicitly.

## 3.1 Potential Transitions

Finding bindings in colored net is a rather intricate process, which can be made more efficient by eliminating steps which cannot be successful. Selecting potential transitions eliminates - from the further process - all transitions which cannot be enabled. For a marking m, the set of *potential transitions*, (denoted as  $\mathbf{T}_{P}(m)$ ), contains those transitions whose input places are all marked and colors of the marked places can be assigned to their arc expressions, so:

$$t \in \mathbf{T}_P(m) \Leftrightarrow \forall p \in Inp(t) \exists c \in \mathbf{C}(p) : nzn(m(p)) \ge card(\mathbf{V}(a(p,t)))$$
 (3.1)

where  $\mathbf{C}(p)$  is the set of colors of tokens assigned to p by m, nzn(m(p)) is the number of nonzero elements in bag m(p) and  $card(\mathbf{V}(a(p,t)))$  is the number of free variables in the arc expression a(p,t).

In other words, if an input place of a transition is not marked, then there is no need to check this transition for bindings. Also, places which are marked but they do not have enough colored tokens to satisfy the binding assignment of their arc expressions are not good candidates to be considered for the *enabling test*.

Algorithm 1 outlines the procedure of finding the set of potential transitions.

```
      Algorithm 1 Finding potential transitions for marking m.

      \mathbf{T}_P(m) := \emptyset

      for all t in T do

      check := true

      for all p in Inp(t) while check do

      if nzn(m(p)) < card(\mathbf{V}(a(p,t))) then

      check := false

      end if

      end for

      if check then

      \mathbf{T}_P(m) := \mathbf{T}_P(m) \cup \{t\}

      end if

      end if
```

### 3.2 The "Brute Force" Approach

For a given marking m, the "brute force" approach systematically checks all possible assignments of colors to free variables in order to find the bindings for which a transition is enabled. So, if the set of free variables for a transition t is  $\mathbf{V}(t) = \{v_1, ..., v_k\},\$ 

the approach can be as follows:

```
Algorithm 2 Brute force algorithm to find possible bindings for the transition t at marking m.
```

```
for all v_1 in \mathbf{C}(m,t) do
  for all v_2 in \mathbf{C}(m, t) do
     if v_2 \neq v_1 then
        for all v_3 in C(m, t) do
          if v_3 \neq v_2 and v_3 \neq v_1 then
             for all v_k in \mathbf{C}(m, t) do
                if v_k \neq v_{k-1} and ... and v_k \neq v_1 then
                  for all p in Inp(t) do
                     check if t is enabled by m
                  end for
                end if
             end for
          end if
        end for
     end if
  end for
end for
```

where  $\mathbf{C}(m,t)$  is the set of colors of tokens in input places of transition t for marking m:  $\mathbf{C}(m,t) = \bigcup_{p \in Inp(t)} \mathbf{C}(m,p)$  and  $\mathbf{C}(m,p)$  is the set of colors of tokens in place p in marking m:

$$\mathbf{C}(m,p) = \{ c \in \mathbf{C} \mid m(p)(c) > 0 \}$$

$$(3.2)$$

In Algorithm 2, the number of **for** loops corresponds to the number of free variables in all arc expressions associated with transition t. All **if** conditions check whether the color assigned to each variable is not the same as colors assigned to the other variables. This procedure is applied to all potential transitions for a given marking m. For example, in Figure 2.3,  $a(p_j, t)$  is "x + 2z" and  $a(p_i, t)$  is "2x + y". The algorithm will assign x = black and y = white and z = gray in one of its iterations which is an unsuccessful assignment for  $a(p_i, t)$ . There are five other assignments for this example. Two of the six assignments enable the transition t, i.e. one is x = white, y = black, z = gray and the other is x = white, y = gray, z = black.

Although this approach finds all possible successful assignments, it can be quite time consuming if several free variables are used. The brute force approach is used in Chapter 4 as the basis for performance comparison with other approaches to finding the bindings. For the performance analysis of this approach the operation counts are considered as the comparison analysis in Chapter 4.

#### 3.3 Partial Bindings

The partial binding approach proposed in [14], first finds a partial binding for each arc expression and then merges the partial bindings into complete bindings. During the partial binding test, the number of assignments to free variables decreases. In fact, each of the variables is processed sequentially and before the verification of other variables. This method processes all expressions specified for the selected transition and finds all valid assignments of colors to variables. This process ends when all free variables have been checked.

The partial binding process assigns all colors of the input place p of the transition t to the variables of arc expression associated with this place and transition.

In [14] the partial binding principle has been used as well as some other techniques for preprocessing and ordering the colored Petri net structure. As mentioned earlier, partial binding sets of a transition need to be *compatible*. Two bindings,  $b_1$  and  $b_2$ , are compatible, which is denoted as  $Compatible(b_1, b_2)$ , when

$$\forall v \in \mathbf{V}(t) : b_1(v) \neq \perp \land b_2(v) \neq \perp \Rightarrow b_1(v) = b_2(v).$$
(3.3)

Where  $\mathbf{V}(t)$  is the set of free variables associated with transition t (i.e., used in all arc expressions incident with t), and  $\perp$  indicated that the value is undefined.

A combined binding, denoted as  $Combine(b_1, b_2)$ , of two compatible partial binding defined as:

$$\forall v \in \mathbf{V}(t) : Combine(b_1, b_2)(v) = \begin{cases} b_1(v), & \text{if } b_1(v) \neq \bot \\ b_2(v), & \text{if } b_2(v) \neq \bot \\ \bot, & \text{otherwise.} \end{cases}$$
(3.4)

Moreover, merging of two partial binding sets  $\mathbf{B}_1$  and  $\mathbf{B}_2$ , denoted as  $Merge(\mathbf{B}_1, \mathbf{B}_2)$ , is defined as:

$$Merge(\mathbf{B}_1, \mathbf{B}_2) = \{Combine(b_1, b_2) \mid b_1 \in \mathbf{B}_1 \land b_2 \in \mathbf{B}_2 \land Compatible(b_1, b_2)\}.$$

$$(3.5)$$

Algorithm 3 shows the process of finding bindings and merging partial bindings. This algorithm searches for all input arc expressions associated with transition t and does the process of partial binding test for each color c in the color set  $\mathbf{C}(m,p)$  by calling the function *PartialBindings*(e, c).  $\mathbf{C}(m, p)$  contains the colors for place pat marking m. *PartialBindings*(e, c) performs the successful assignment of colors to variables in the expression e. All partial bindings are stored in  $\mathbf{B}'$  and are merged with binding set  $\mathbf{B}$ .  $\mathbf{B}(m, t)$  is the set of bindings for transition t and marking m. *PartialBindings*(e, c) is the partial binding function with assigns the colors c to the variables of arc expression e and returns a binding.

**Algorithm 3** Partial binding algorithm to find possible bindings for the transition t at marking m

```
\begin{split} \mathbf{B} &:= \emptyset \\ & \mathbf{for \ all } p \ \mathbf{in } Inp(t) \ \mathbf{do} \\ & \mathbf{B}' := \emptyset \\ & e := a(p,t) \\ & \mathbf{for \ all } c \ \mathbf{in } \mathbf{C}(m,p) \ \mathbf{do} \\ & \mathbf{B}' := \mathbf{B}' \cup \{PartialBindings(e,c)\} \\ & \mathbf{end \ for} \\ & \mathbf{B} := Merge(\mathbf{B},\mathbf{B}') \\ & \mathbf{end \ for} \end{split}
```

Figure 3.1 shows a single transition of a colored Petri net. Algorithm 3 is performed for this example to find bindings as follows. To get the complete bindings, first the partial bindings are created for place  $p_1$  with marking 2black+2white+2grayand with the arc expression "2x + 2gray". The gray tokens are used by the constant part of the arc expression so the two partial bindings are:

$$b_1 = (x = black, y = \bot).$$
$$b_2 = (x = white, y = \bot).$$

For place  $p_2$  with the arc expression "x + y", there are six partial bindings:

$$b'_{1} = (x = black, y = white).$$
  

$$b'_{2} = (x = black, y = gray).$$
  

$$b'_{3} = (x = white, y = black).$$
  

$$b'_{4} = (x = white, y = gray).$$
  

$$b'_{5} = (x = gray, y = black).$$
  

$$b'_{6} = (x = gray, y = white).$$

Therefore, the compatible bindings of these two sets of bindings,  $\{b_1, b_2\}$  and  $\{b'_1, b'_2, b'_3, b'_4, b'_5, b'_6\}$ , are:  $\mathbf{B}' = \{(x = black, y = white), (x = white, y = black)\}$ . The next arc expression is "x + gray" with one partial binding because the gray token is explicitly

required by the arc expression so the free variable can only have the value *black*:

$$b_1'' = (x = black, y = \perp).$$

Consequently, the final merged set of bindings is:  $\mathbf{B} = \{(x = black, y = white)\}.$ 



Figure 3.1: A part of a colored Petri net example.

### 3.4 The Proposed Approach

The proposed approach is based on an observation that many arc expressions are very simple (are linear). If the types of arc expressions (for some nets guard expressions are also considered[10]) are different, the implementation of transition enabling and firing algorithms might change significantly[12]. One of the approaches would be to unify different patterns that can cover all possible arc expressions.

For linear arc expressions, a specialized approach can be used to process such expressions more efficiently than general arc expressions. Therefore, arc expressions are divided into 2 classes: 1) Linear expressions: written as a sum of colors or free variables, possibly associated with an integer coefficient indicating the number of tokens of a respective color:

expr ::= count | expr + count count ::= color | integer color | var | integer var |

A logical function linear(expr) returns true if the argument expr is a linear expression. For example; linear(2x + y) returns true.

2) General expressions (e.g. functions, conditions etc).

Algorithm 4 shows the process of finding all possible assignment of colors to free variables for a transition  $t \in \mathbf{T}_P(m)$ . As before  $\mathbf{V}(t)$  is the set of free variables for transition t. For each variable v in  $\mathbf{V}(t)$ , place p' is sought whose arc expression contains v ( $v \in \mathbf{V}(a(p,t))$ ). In this way the number of checks whether a color can be assigned to a variable is reduced. Then, for all colors of the places that are associated with the transition t ( $c \in \mathbf{C}(m,t)$ ) and for all places whose arc expressions contain the variable v ( $p \in Inp(t, v)$ ) a checking is performed to investigate valid assignments. If an expression is linear (linear(a(p,t))) then this checking is based on the number of colors and the coefficients of the selected variable. Otherwise, the substitution of variables and colors is checked to investigate its validity. For example, in Figure 2.3  $m(p_1)$  is 2white + 1black + 1gray and the arc expression  $a(p_1, t)$  is "2x + y".  $m(p_1)(black)$  is 1 and if the color black is assigned to the variable x, the result of this substitution will be 2black + y. As  $subst(a(p_1, t), black)(black)$  is 2, this assignment is unsuccessful. This process ends with a set of the valid assignments of colors to variables in  $\mathbf{S}$  as a collection of pairs (variable, color).

**Algorithm 4** Finding Bindings for transition t and marking m

```
\mathbf{S} := \emptyset
for all v in \mathbf{V}(t) do
  Success := true
  First := true
  for all p \in Inp(t, v) do
     if First then
       First := false
       p' := p
     end if
     for all c in C(m, p') while Success do
       if linear(a(p',t)) and m(p')(c) < num(a(p',t),v) then
         Success := false
       else if \neg linear(a(p',t)) and m(p')(c) < subst(a(p',t),c)(c) then
          Success := false
       end if
       for all p in Inp(t, v) while Success do
         if linear(a(p,t)) and num((a(p,t),v) > m(p)(c) then
            Success := false
         else if \neg linear(a(p,t)) and m(p)(c) < subst(a(p,t),c)(c) then
            Success := false
         end if
       end for
       if Success then
         \mathbf{S} := \mathbf{S} \cup \{(v, c)\}
       end if
     end for
  end for
end for
```

In Algorithm 4 it is assumed that all colors of the marking m are ordered with respect to the numbers of tokens assigned by m in descending order; the variables of linear expressions are also ordered based on their coefficients in descending order. This helps to reduce the number of checks performed. Inp(t, v) is the set of input places of t such that arc expressions a(p, t) contain the variable v; num((a(p, t), v)is the coefficient of the variable v in the arc expression a(p, t). Success is used to indicate a successful assignment of a color to a variable. First is used to select the first place whose arc expression contains variable v and which contains sufficient number of tokens. Figure 3.2 illustrates this process for a transition with all arc expressions that are linear.



Figure 3.2: A part of a colored Petri net example.

The set of all free variables for transition t is  $V(t) = \{a, b, c\}$ . Let the first variable be a. The first arc expression which contains this variable is  $(p_1, t)$ . The colors available in all places are sorted based on the numbers of tokens so that  $p_1$ contains  $\{2black, 2gray, 1white\}, p_2$  contains  $\{2black, 2gray, 2white\}$  and  $p_3$  contains  $\{1black, 1white\}$ . A comparison based on the number of each color and the coefficient of variables is made to find possible assignments. This helps to reduce the number of comparisons since the coefficient of a variable might be greater than the number of colored tokens which means that the rest of the color set will not be compared. Then for all places whose arc expressions contain a (i.e. "2a + b", "2b + a"), all possible colors available in all input places will be assigned to the selected variable (which is a for the first iteration). Two colors, black and gray, could be associated with the variable a. So, at the end of the first iteration over the first variable, the pairs (a, black) and (a, gray) are added to **S**. The variable b is considered next and the first place whose arc expression contains the variable is selected  $(p_1$  in this example). Again, for all colors in  $p_1$  the algorithm checks for valid assignment. The first color is black (as it has sorted). The other arc expression containing variable b is "b + c". The first assignment is (b, black), however, b can not be assigned to gray as there is no gray in  $p_3$ . The added sets to the **S** after the second iteration are (b, black) and (b, white). Consequently, after the last iteration  $\mathbf{S} = \{(a, black), (a, gray), (b, black), (b, white), (c, black), (c, white)\}$ ).

In this example two bindings are created in **S**.  $b_1$  is: a = gray, b = black and c = white.  $b_2$  is a = gray, b = white and c = black. For the performance analysis of this approach the operation counts are considered as the comparison analysis in Chapter 4.

#### 3.5 Summary

In this chapter, the proposed approach for finding enabled transitions and their binding(s) has been discussed. The counts of basic steps are introduced which are used in chapter 4 for comparisons of different approaches.

## Chapter 4

# Performance Analysis of the Proposed Approach

This chapter compares the properties of the proposed approach with some other ones. Several examples (small and large models) are used to compare the performance of finding possible bindings and new markings. The analysis is performed by software in the Java programming language combined with databases for the data structures of colored Petri nets.

### 4.1 Model Analysis

All algorithms for finding bindings in colored Petri nets depend on many factors such as the size of net models, the number of enabled transitions at each marking, complexity of arc expressions and many other elements which might have significant effects on analysis efficiency. Several popular examples were used to check the influence of some factors that affect the efficiency of the analysis of colored nets.

#### 4.1.1 Example 1: Dinning Philosophers

The dinning philosopher example is one of popular examples used to illustrate synchronization and concurrency of processes. It has been proposed by Dijkstra[24]. As is known, philosophers follow a cycle of two operations, thinking and dinning. Their favorite food is spaghetti and to eat spaghetti in a proper way two forks are needed. However, there are only five forks, so, the philosophers share the forks at a round dinning table and only those philosophers who have two forks can eat their spaghetti. Usually the forks are placed (on the dinning table) between philosophers, so each philosopher has its right and left fork. Figure 4.1 shows a colored net model of this example.



Figure 4.1: Colored Petri net model of five dinning philosophers.

In this example philosophers are represented by colors a, b, c, d and e. The

forks are represented by colors A, B, C, D and E. The two functions, lf(x) and rf(x) assign the left and right fork to each philosopher x, so, as shown in Table 4.1, lf(a) = A, rf(a) = B, lf(b) = B, rf(b) = C, and so on; i.e., fork A is shared by philosophers a and e, fork B by philosophers a and b, and so on.

Philosopher x	lf(x)	rf(x)
a	А	В
b	В	С
с	С	D
d	D	Е
е	Е	А

Table 4.1: Left and right forks for each philosophers.

In Figure 4.1, place  $p_4$  represents available (i.e. not used) forks. Place  $p_1$  represents philosophers who are waiting to get their forks and eat, place  $p_2$  philosophers who are eating and place  $p_3$  philosophers who are thinking. The initial marking in Figure 4.1 represents a situation where all forks are available, philosophers b and eare thinking, while the remaining three philosophers (a, c and d) are ready to eat.

Transition  $t_1$  corresponds to fetching the two forks in order to start eating. The free variable x, representing a philosopher, is used in the functions lf(x) and rf(x)which associate the forks with each philosopher. For the initial marking shown in Figure 4.1, there are three possibilities of firing  $t_1$ , with x corresponding to a, or to cor to d. Consequently, there are 3 next marking functions created by firing  $t_1$  in  $m_0$ . Transition  $t_2$  represents end of eating and depositing the left and right forks to  $p_4$ , and beginning of the thinking phase.

Transition  $t_3$  correspond to the end of thinking and readiness for eating (if the forks are available). For the marking shown in Figure 4.1, there are two possible firing of  $t_3$ , one corresponding to philosopher b, and the other to philosopher e.

For the initial marking showed in Figure 4.1, there are 5 next markings, each of which creates a number of subsequence markings, and so on. The net shown in Figure 4.1, has 152 reachable markings (including the initial marking).

The number of markings for different number of philosophers (from 3 to 7) is shown in Figure 4.2. It can be easily shown that the number of markings grows exponentially with the number of philosophers.



Figure 4.2: The number of reachable markings for dinning philosophers as a function of the number of philosophers.

To compare the performance of different approaches to finding the bindings, the

number of required common steps can be used. The checking if a transition is enabled is used as the basis of performance comparison. Tables 4.2 and 4.3 show the number of checks for the brute force approach and the proposed approach.

Table 4.2: The number of checks for finding possible bindings for marking  $m_0$  with brute force approach and the proposed approach.

For $m_0$	Number of Checks
Brute force approach	8
Proposed approach	5

Table 4.3: The number of checks for finding possible bindings for all markings with brute force approach and the proposed approach.

For all markings	Number of Checks
Brute force approach	780
Proposed approach	620

The arc expressions in this example are quite simple so the number of checks in brute-force approach is fairly low. However, the efficiency of the proposed approach is showing an improvement in the whole number of checks over the running of the program to find all possible markings of the net.

An analysis for different number of philosophers is performed in Figure 4.3 to show the trend of the total number of checks as a function of the number of dinning philosophers.



Figure 4.3: The performance of the proposed approach and the brute-force approach as a function of the number of dinning philosopher.

As it can be seen in Figure 4.3, the number of checks for the brute-force approach is growing similarly to the number of checks for the proposed approach.



Figure 4.4: The ratio of performance of the brute-force approach to the proposed approach as a function of the number of philosophers.

Figure 4.4 shows the ratio of these two number of checks (the brute-force approach over the proposed approach) as a function of the number of philosophers.

#### 4.1.2 Example 2: Multithreaded Shared Memory System

In this example, several multithreaded processors are connected to a shared memory, so only one of these processors can access the memory at any time. Figure 4.5, shows a colored Petri net model of multithreaded shared memory system with four multithreaded processors.



Figure 4.5: Colored Petri net model of shared memory system with four processors.

Processors are represented by colors A, B, C and D. Initially all processors are in the place  $P_{roc}$ . If there are available threads in  $P_{ready}$ , the execution can begin by firing  $T_{sel}$ . Execution of consecutive instructions of the selected thread is performed in the loop  $P_{nxt}$ ,  $T_{run}$ ,  $P_{end}$  and  $T_{nxt}$ . If  $T_{end}$  is chosen for firing rather than  $T_{nxt}$  the execution of the thread ends and a request to access the shared memory is placed in  $P_{smem}$  where it waits for availability of local memory by  $P_{memory}$ . Also, a token is deposited in  $P_{csw}$  to perform context switching by firing  $T_{csw}$ . Accessing shared memory  $(T_{smem})$  is controlled by priorities of processors. If a processor with higher priority requires the memory then processors with lower priorities cannot access the memory until the processor with higher priority finishes its memory access. Table 4.4 shows the priority list of this multithreaded shared memory example. The highest priority is denoted by 1, the lowest by 4. Therefore, the processor A has higher priority than processors B, C and D. The processor Bhas higher priority than processors C and D and so on.

Table 4.4: Priority list of processors.

processor	Priority
А	1
В	2
С	3
D	4

For the initial marking showed in Figure 4.5, there are 4 next markings, each of which creates a number of subsequence markings, and so on. For the net shown in this figure, there are 1296 reachable markings (including the initial marking).

The number of markings for different numbers of processors (from 2 to 5) is shown in Figure 4.6. It can be shown that the number of markings grows exponentially as a function of the number of processors.



Figure 4.6: The number of reachable markings for multithreaded shared memory as a function of the number of processors.

The performance for different number of processors is shown in Figure 4.7.



Figure 4.7: The performance of the proposed approach and the brute-force approach as a function of the number of processors.

As it can be seen in Figure 4.7, the number of checks required by the brute-force

approach is significantly greater than that for the proposed approach. Figure 4.8 shows the ratio of these two numbers of checks (for the brute-force approach to the proposed approach) as a function of the number of processors. The results indicate that the performance of the proposed method actually improves as the models become more complex, although more evidence is needed supporting this observation.



Figure 4.8: The ratio of performance of the brute-force approach to the proposed approach as a function of the number of processors.

#### 4.1.3 Example 3: Extreme Example

In the previous examples the differences between the two approaches were rather limited because of the simple arc expressions as well as simple input places associated with transitions. Therefore, to show the differences between these approaches, an extreme example has been created only with a single transition. Figure 4.9 shows this special example.



Figure 4.9: Colored Petri net model of an extreme example for one transition.

There are 5 different input places associated with transition t. There are 40 different colors in  $\mathbf{C}(t)$ .  $p_1$  contains 20 tokens with colors denoted 1 to 20.  $p_2$  contains four different colors denoted 1, 4, 5 and 16.  $p_3$  contains three different colors denoted 1, 3 and 5.  $p_4$  contains 30 different colors denoted 1 to 30 and  $p_5$  contains 40 different colors denoted 1 to 40. There is only 1 token of each color all places.

Performance analysis has been performed by three different approaches described in Chapter 3, i.e. the brute force approach, the partial binding approach and the proposed approach. In Table 4.5 the best result of each approach is shown. As it can be clearly seen the number of marking produced for this example is 152 and the result of the proposed approach is much better than the brute-force approach and almost 3 times better than the partial binding approach.

Approach	Number of bindings	Number of checks
Proposed approach		240
Partial binding	152	605
Brute-force		20880

Table 4.5: Performance analysis of the special extreme case with three different approaches

## 4.2 Summary

The performance of several net models has been compared. These results indicate that practical implementations of the proposed approach is showing an improvement of the proposed approach with the other approaches for complex cases.

## Chapter 5

# **Concluding Remarks**

A heuristic approach for finding bindings in colored Petri nets is proposed. In this thesis the results of performance analysis presented in Chapter 4 show that the gains of the proposed approach are more significant for larger and more complex nets. In this thesis, the performance evaluation is based on the numbers of steps needed for finding the bindings. However, consideration of execution time for different approaches may be more relevant for colored net models. The execution time is mostly dependent on the implementation techniques, the interpretation of the net models and the Petri net structure [25]. It is expected that these ideas will be further developed in future work.

#### 5.1 Implementation

All performance results were obtained by a simple net analyzer implemented in the Java programing language combined with the MySQL database. A colored Petri net structure is defined in the MySQL database and the Java program simply uses the information of a net to perform enabling test for finding bindings and creating new markings. The approaches are developed following the algorithms presented in Chapter 3.

#### 5.2 Future Work

As mentioned earlier, Petri net structures have a significant effect on the efficiency of analysis. Unfolding is one of the approaches which transform a colored Petri net model into a regular Petri net model. As the specification of regular Petri nets is simpler than colored Petri nets, the process of finding all markings is more straightforward. So, an analysis of the unfolding approach versus the presented approaches is one of the possible future projects. This helps to estimate how a net structure can affect the overhead of the unfolding process. Also, the performance analysis of the approaches according to the net structure will be considered. Net unfolding, however, can be a challenging task.

Unbounded colored net models are challenging because the unfolding process is quite impossible. In order to make the proposed approach working for some unbounded net models, similar and repetitive markings should be stored and compared with other markings to prevent repetitive process of finding bindings. This can help to reduce the overhead of enabling test process. If similar markings (similar patterns) can have same effect for enabling test then the pattern matching of markings could help to find bindings easier. *Memoization* is one of the possible techniques which can be used to save time at the expense of space. This technique stores the results corresponding to some set of specific inputs [26]. This can help to reduce the overhead of calling a function (i.e. firing of a transition and producing markings) based on inputs that have been calculated before.

Moreover, as the analysis presented in Chapter 4 are all based on number of the computational steps, a better comparison can be based on the execution time specifically for the binding process (not for the whole part of the program, as it may cause other effects on execution time). Execution time is depended on many parameters such as the net structure, the implementation techniques and also different interpretation of net models (i.e. how inputs, actions and code are associated with the net elements). So, a standard implementation should be considered to estimate and analyze the execution time accurately.

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