

Greater Reach for your Research: Author's Rights & the Shifting Landscape of Scholarly Communication

Lisa Goddard & Shannon Gordon
Memorial University Libraries
February 19th, 2010

University Environment



- **Copyright is automatically granted to author upon the creation of an original work in a tangible medium.**
- **Most universities have agreements stating that faculty own copyright in their scholarly works.**

Copyrights



- **reproduce**
- **distribute**
- **publicly display**
- **publicly perform**
- **prepare derivative works**

Transferring Rights



- **assign ownership of copyright**
- **grant permission via license**

all rights? exclusive? perpetual?

Publisher Agreements



- **Academic authors traditionally transfer exclusive, full copyrights to the publishers of the journals in which their articles appear.**
- **Many disadvantages to this approach...**

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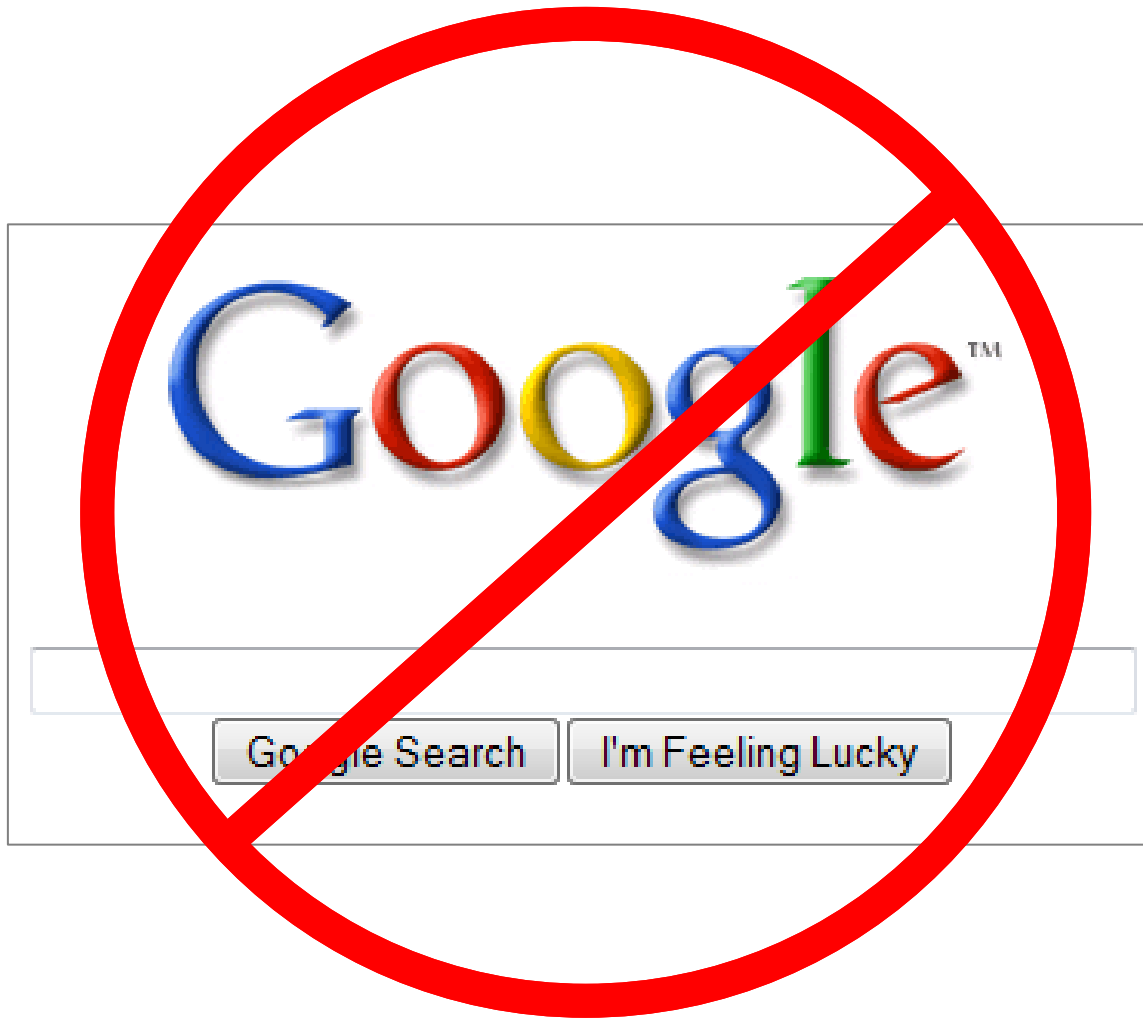
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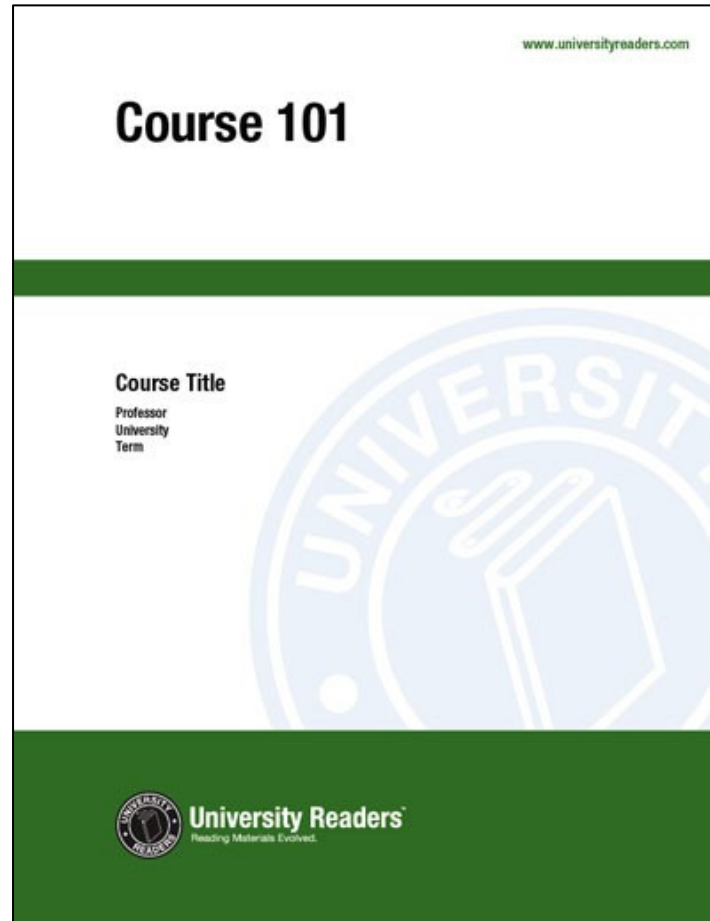
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No Course Packs



No Course Websites



The screenshot shows the MIT OpenCourseWare website for the course 12.086 / 12.586 Modeling Environmental Complexity. The page includes a navigation bar with links for Home, Courses, Donate, About OCW, Help, and Contact Us. A search bar is also present. The main content area features the course title, a 'DONATE NOW' button, and a section titled 'WHY I DONATE' with a quote from Auwal Dewa, a student from Nigeria. Below this, there is a 'Support OCW' section with another 'DONATE NOW' button. The course description and features are also visible.

MIT OPEN COURSEWARE
MASSACHUSETTS INSTITUTE OF TECHNOLOGY

SIGN UP FOR OCW NEWS

Home Courses Donate About OCW Help Contact Us

Enter search keyword GO > Advanced Search

Home > Courses > Earth, Atmospheric, and Planetary Sciences > Modeling Environmental Complexity > Course Home

12.086 / 12.586 Modeling Environmental Complexity

As taught in: Fall 2008

Level:
Undergraduate / Graduate

Instructors:
Prof. Daniel Rothman

Course Features
Course Description
Technical Requirements

WHY I DONATE

“I believe in making knowledge available and accessible to help improve humanity as a whole.”

Auwal Dewa
Student
Nigeria

Read more

Support OCW

Keep OCW going and growing.

>>> DONATE NOW

Course Features

- > Lecture notes
- > Assignments (no solutions)

Course Description

This course provides an introduction to the study of environmental phenomena that exhibit both organized structure and wide variability—i.e., complexity. Through

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- Carbon capture and storage on A

Academic C.V.

Milan Ilnyckyj, B.A. (British Columbia), M.Phil (Oxford).

Email: milan.ilnyckyj@wadh.oxon.org
C.V.: <http://www.sindark.com/about-me/academic-cv/>

Citizenship: Canadian

Academic and Professional Qualifications:

2005-2007
M.Phil in International Relations, Oxford University.
(Supervisor: Andrew Hurrell)

Distinctions earned in: quantitative methods (2005), history (2007), and international law (2007).
Thesis: [Expertise and Legitimacy: The Role of Science in Global Environmental Policy-Making.](#)

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No Sharing with Colleagues

A screenshot of the Microsoft Outlook interface. The window title is "Inbox - Microsoft Outlook". The menu bar includes "File", "Edit", "View", "Go", "Tools", "Actions", and "Help". The toolbar contains icons for "New", "Print", "Delete", "Reply", "Reply to All", "Forward", "Send/Receive", and "Search address books". The left sidebar shows the "Mail" folder structure, with "Inbox (35)" selected. The main pane displays "Inbox (Search Results)" for the search term "adrian ho". A table lists search results with columns for "From", "Subject", "Received", and "Size". The selected email is from Heather Morrison with the subject "[cla-oa] Recognizing opportunities: conversational openings to promote positive scholarly communication change". The email body contains a forwarded message from cla-oa-bounce@freelists.org on behalf of Heather Morrison, dated Thu 2/11/2010 12:31 AM. The body text includes a link to an article: <http://crln.acrl.org/content/71/2/83.full> and the sender's email address: hgmorris@sfu.ca.

No Linking from Others



Notes

(1) RDA is now scheduled for release in June 2010. The RDA Element and Value Vocabularies are available for viewing and use at: <http://metadataregistry.org/rdabrowse.htm>.

References

[Baker] Baker, Thomas. Private email to the authors, December 9, 2009.

[CILIP-BL Committee on RDA] CILIP-BL Committee on RDA. Available at: <http://www.slainte.org.uk/aacr/index.htm>.

[DBpedia] DBpedia. Available at: <http://dbpedia.org/About>.

[DCAM] Dublin Core Abstract Model. Available at: <http://dublincore.org/documents/abstract-model/>.

[DCMI] Dublin Core Metadata Initiative. Available at: <http://dublincore.org/>.

[DCMI/JSC Meeting] Data Model Meeting, British Library, London, 30 April-1 May 2007. Available at: <http://www.bl.uk/bibliographic/meeting.html>.

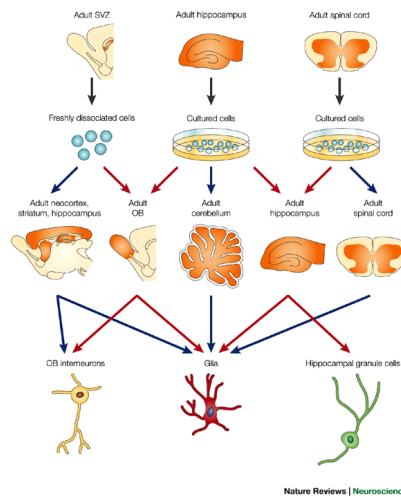
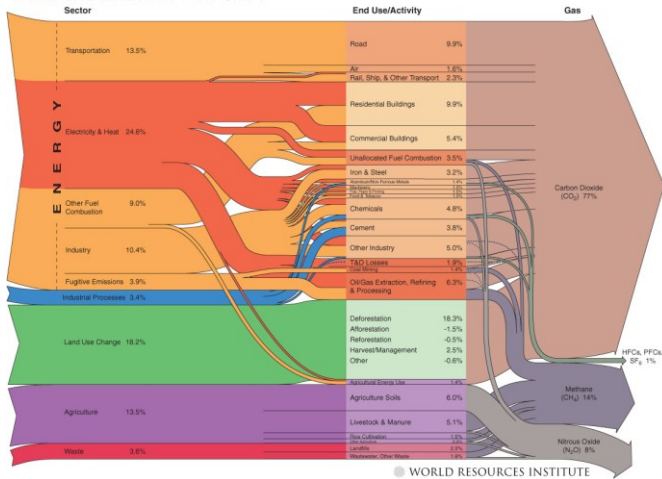
[DCMI/RDA TG] DCMI/RDA Task Group Wiki. Available at: <http://dublincore.org/dcmirdataskgroup/>.

[DCMI Glossary] Available at: <http://dublincore.org/documents/2001/04/12/usageguide/glossary.shtml>.

No Reuse



World GHG Emissions Flow Chart



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CHAPTER 1 What Is the Semantic Web?

This book is about something we call the Semantic Web. From the name, you can probably guess that it is related somehow to the famous World Wide Web (WWW) and that it has something to do with semantics. Semantics, in turn, has to do with understanding the nature of meaning, but even the word *semantics* has a number of meanings. In what sense are we using the word *semantics*? And how can it be applied to the Web?

This book is also about a working ontologist. That is, the aim of this book is not to motivate or pitch the Semantic Web but to provide the tools necessary for working with it. Or, perhaps more accurately, the World Wide Web Consortium (W3C) has provided these tools in the forms of standard Semantic Web languages, complete with abstract syntax, model-based semantics, reference implementations, test cases, and so forth. But these are like a craftsman's tools: In the hands of a novice, they can produce clumsy, ugly, barely functional output, but in the hands of a skilled craftsman, they can produce works of utility, beauty, and durability. It is our aim in this book to describe the craft of building Semantic Web systems. We go beyond coverage of the fundamental tools to show how they can be used together to create semantic models, sometimes called *ontologies*, that are understandable, useful, durable, and perhaps even beautiful.

WHAT IS A WEB?

The idea of a web of information was once a technical idea accessible only to highly trained, elite information professionals: IT administrators, librarians, information architects, and the like. Since the widespread adoption of the WWW, it is now common to expect just about anyone to be familiar with the idea of a web of information that is shared around the world. Contributions to this web come from every source, and every topic you can think of is covered.

Essential to the notion of the Web is the idea of an open community: Anyone can contribute their ideas to the whole, for anyone to see. It is this openness that has resulted in the astonishing comprehensiveness of topics covered by

Table 1: Occupants and Nonoccupants Killed and Injured in Traffic Crashes

Description	Killed				Injured			
	2007	2008	Change	% Change	2007	2008	Change	% Change
Total*	41,259	37,261	-3,998	-9.7%	2,491,000	2,346,000	-145,000	-5.8%
Occupants								
Passenger Vehicles	29,072	25,351	-3,721	-13%	2,221,000	2,072,000	-149,000	-6.7%
Passenger Cars	16,614	14,587	-2,027	-12%	1,379,000	1,304,000	-75,000	-5.4%
Light Trucks	12,458	10,764	-1,694	-14%	841,000	768,000	-73,000	-8.7%
Large Trucks	805	677	-128	-16%	23,000	23,000	0	0.0%
Motorcycles	5,174	5,290	+116	+2.2%	103,000	96,000	-7,000	-6.8%
Nonoccupants								
Pedestrians	4,699	4,378	-321	-6.8%	70,000	69,000	-1,000	-1.4%
Pedalcyclists	701	716	+15	+2.1%	43,000	52,000	+9,000	+21%
Other/Unknown	158	188	+30	---	10,000	9,000	-1,000	---

Source: Fatalities - FARS 2007 (Final), 2008 (ARF), Injured - NASS GES 2007, 2008 Annual Files
 * Total includes occupants of buses and other/unknown occupants not shown in table.
 Changes in injury estimates shown in bold are statistically significant.

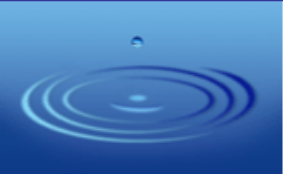
No Preservation Guarantee



A screenshot of the Harvard Business School Working Knowledge website. The header is dark blue with the Harvard Business School crest and the text "HARVARD | BUSINESS | SCHOOL" and "WORKING KNOWLEDGE A FIRST LOOK AT FACULTY RESEARCH". There are links for "HBS HOME" and "HBS INDEX" in the top right, and "WK HOME" and "ABOUT US" in the bottom left. A search bar with a "SEARCH" button is in the top right. Below the header, there are links for "WEEKLY NEWSLETTER" and "DAILY RSS FEED". On the left side, there is a "BROWSE BY TOPIC:" section with a list of topics: Business History, Entrepreneurship, Finance, Globalization, Leadership & Management, Marketing, Operations, and Organizations. The main content area is a light beige box with the heading "Article No Longer Available" in red, followed by the text "Sorry...This article is no longer available. - HBS Working Knowledge Staff".

No Institutional Archiving





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University of Michigan
MLibrary

Non-compliance with Funders



Each **federal research funding agency** **should** expeditiously but carefully develop and **implement an explicit public access policy** that brings about free public access to the results of the research that it funds as soon as possible after those results have been published in a peer-reviewed journal.

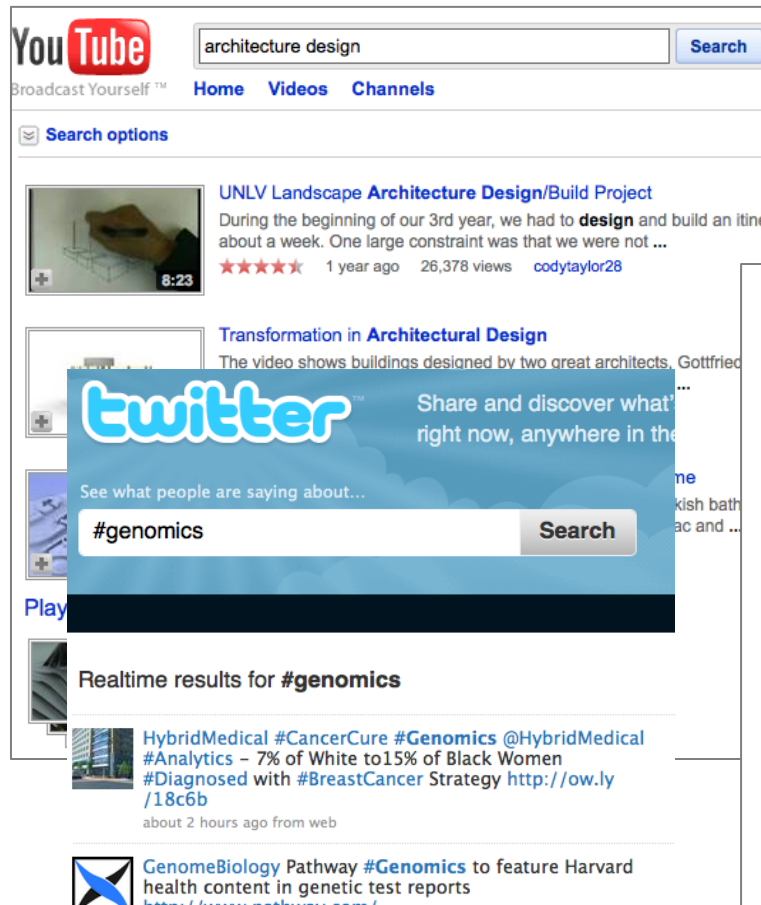
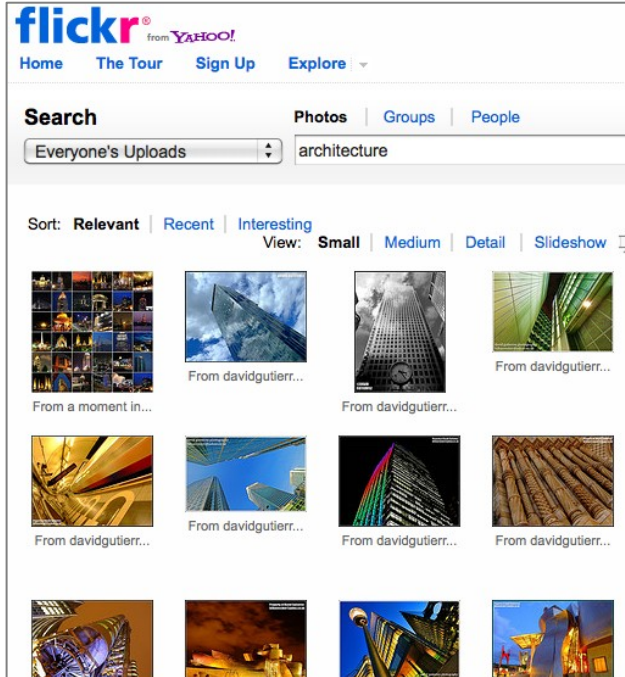
- US Scholarly Publishing Roundtable, 2010

YOU may not have access



The screenshot shows the Emerald database interface. At the top left is the Emerald logo. Below it are fields for Username and Password, with a green dropdown arrow next to the Password field and a "Login" button. To the right are links for "Athens/Institution Login", "Register", and "Forgot Password?", followed by another green dropdown arrow and a "Logout" button. A navigation breadcrumb reads "Home > Browse > Article Request". On the left is a vertical menu with items: "My Profile", "For Administrators", "Search & Browse" (highlighted), "Quick Search", "Advanced Search", "Browse", "Journals/Books", "Bibliographic databases", "Marked List", "Product Information", "Licensing Solutions", "For Authors", "For Librarians", "Research Zone", "Learning Zone", "Teaching Zone", "Support Resources", and "About Emerald". The main content area is titled "Article Request: The integrated librarian: IT in the systems office". Below the title is a "Session Marked List" dropdown and a "Go" button. The "Article Information:" section lists: Title: The integrated librarian: IT in the systems office; Author(s): Lisa Goddard; Journal: Library Hi Tech; Year: 2003 Volume: 21 Issue: 3 Page: 280 - 288; ISSN: 0737-8831; DOI: 10.1108/07378830310494454; Publisher: MCB UP Ltd. The "Document Access:" section is highlighted in orange and contains: Existing customers: Please login above.; You do not have rights to view the article; Purchase this document: Price payable: GBP £13.00 plus handling charge of GBP £1.50 and VAT where applicable.; Purchase (with a blue link).

No Mashups



Journal Mashups! (More Info Here)

Epistemology

Van Inwagen's modal skepticism

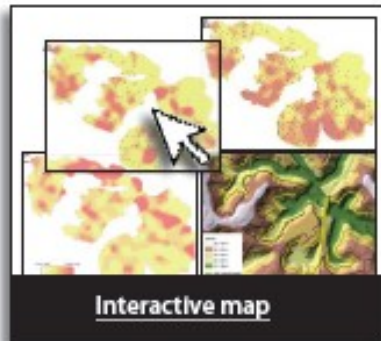
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A Virtue Epistemology: Apt Belief and Reflective Knowledge, Volume 1, by Ernest Sosa.

Sat, 06 Feb 2010 23:19:48 PST

Knowledge of God, by Alvin Plantinga and Michael Tooley.

Sat, 06 Feb 2010 23:19:48 PST



Interactive map



Location

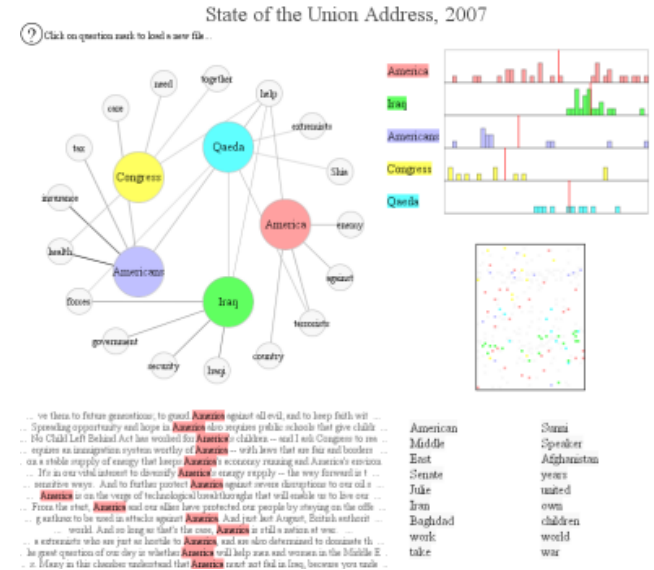


Overlay with leptosprosis

Interactive map:

Originally in a static format, these moveable maps allow the reader to superimpose the data maps over geographical maps on Google, helping the reader to more quickly understand and evaluate the information in the wider context.

Transformative Technologies



Text Visualization

Current Situation



- ⇒ **Publicly funded projects**
- ⇒ **Faculty time & expertise**
- ⇒ **Rights given to commercial publisher free of charge**
- ⇒ **Academic community purchases the work back from publisher**

Retain Your Rights: CAUT



To promote scholarly communication, autonomy, integrity and academic freedom, and education and research activities more generally, it is important for academic staff to retain copyright in their journal articles. (CAUT advisory, 2008)

Open Access Options



- **CARL Author Addendum**
- **Creative Commons Licensing**



Canadian Author Addendum



- **Publishers require only permission to publish an article, not a wholesale transfer of copyright.**
- **Grant specific rights while holding back rights for yourself and others.**

<http://www.carl-abrc.ca/projects/author/EngPubAgree.pdf>

Creative Commons Licenses



- **legally valid**
- **no charge**
- **prevents some uses of a work without permission, authorizes others.**



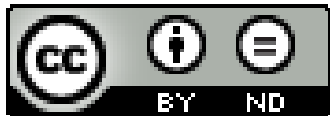
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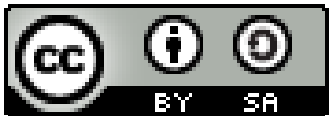
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Institutional OA Policies



- **Collective, university-wide action.**
- **Faculty agreements to retain author rights, and allow open access to intellectual output.**



Harvard goes Open Access

February 13, 2008

From Berkman Fellow [Melanie Dulong de Rosnay](#)...

Yesterday, Harvard University's Faculty of Arts and Sciences (FAS) unanimously voted a motion on open access policy. FAS Faculty members now grant to the university a non-exclusive, irrevocable, worldwide license to distribute their scholarly articles, provided it is for non-commercial uses. An opt-out mechanism allows Faculty members to waive this mandatory assignment upon request for some articles, for instance in the case of incompatible rights assignment to a publisher...

This mandated permission to the university contrasts with other approaches to open access, such as:

- self-archiving mandate, or obligation for authors to deposit their articles in open access repositories (research funded by NIH in the US, European Research Council, Wellcome Trust deposit mandate in the UK)
- negotiation by individual authors, without the bargaining power of an institution, to retain some of their rights to reuse and archive pre-print and/or post-print, immediately or after an embargo period, through copyright addendum to be attached to publisher's copyright agreement, such as those proposed by [Science Commons Scholar's Copyright Addendum Engine](#), developed with SPARC and MIT,
- publication in open access journals, where authors' institutions often have to pay to be published (up to 3000\$ per article), instead of the library having to pay a subscription to access to published articles.

OA Self-Archiving Policy: Stanford University: School of Education



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OA Self-Archiving Policy: Stanford University: School of Education

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Stanford University: School of Education (US* departmental-mandate)

<http://ed.stanford.edu/suse/>

Institution's/Department's OA Eprint Archives

<http://roar.eprints.org/>

Institution's/Department's OA Self-Archiving Policy

<https://mx2.arl.org/Lists/SPARC-OAForum/Message/4450.html>

Announced by Prof. John Willinsky at ELPUB 2008, 26 June 2008.

Faculty members grant to the Stanford University permission to make publicly available their scholarly articles and to exercise the copyright in those articles. They grant to Stanford University a nonexclusive, irrevocable, worldwide license to exercise any and all rights under copyright relating to their scholarly articles, in any medium, and to authorize others to do the same, provided that the articles are properly attributed to the authors not sold for a profit.

The policy will apply to all scholarly articles authored or co-authored while a faculty member of the School of Education, beginning with articles for which the publisher's copyright agreement has yet to be signed. The Dean or the Dean's designate will waive application of the policy upon written request from faculty who wish to publish an article with a publisher who will not agree to the terms of this policy (which will be presented to the publishers in the form of an addendum to the copyright agreement).

MIT faculty open access to their scholarly articles

March 20, 2009

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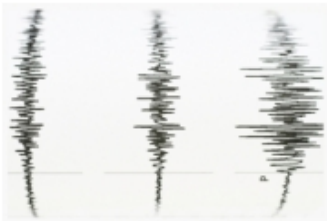
CAMBRIDGE, Mass., March 20 - In a move aimed at broadening access to MIT's research and scholarship, faculty at the Massachusetts Institute of Technology have voted to make their scholarly articles available to the public for free and open access on the Web.

The new policy, which was approved unanimously at an MIT faculty meeting on Wednesday, March 18 and took immediate effect, emphasizes MIT's commitment to disseminating the fruits of its research and scholarship as widely as possible.

"The vote is a signal to the world that we speak in a unified voice; that what we value is the free flow of ideas," said Bish Sanyal, chair of the MIT Faculty and the Ford International Professor of Urban Development and Planning.

today's news

Power from motion and vibrations



Forget about batteries. The ability to harness electricity from tiny vibrations could power a new generation of electronic devices.

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School of Environmental Sciences establishes open access policy

Posted: Thursday, November 26, 2009

The School of Environmental Sciences has taken a leadership role in promoting Open Access on campus by establishing a policy for their researchers. See the Policy Statement below and [visit their collections in the Atrium](#).

Policy Statement

Researchers in the School of Environmental Sciences commit to making the best possible effort to publish in venues providing unrestricted public access to their works. They will endeavour to secure the right to self-archive their published materials, and will deposit these works in the Atrium.

The School of Environmental Sciences grants the University of Guelph Library the non-exclusive right to make their scholarly publications accessible through self-archiving in the Atrium institutional repository subject to copyright restrictions.

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York University Open Access Policy for Librarians and Archivists

Librarians and archivists at York University recognize the importance of open access to content creators and researchers in fostering new ideas, creating knowledge and ensuring that it is available as widely as possible. In keeping with our long-standing support of the Open Access movement, York librarians and archivists move to adopt a policy which would ensure our research is disseminated as widely as possible and available in perpetuity through deposit in York's institutional repository, YorkSpace.

Policy Statement

Academic librarians and archivists at York University¹ commit to making the best possible effort to publish in venues providing unrestricted public access to their works. They will endeavour to secure the right to self-archive their published materials, and will deposit these works in YorkSpace.

The York University academic librarian and archivist complement grant York University Libraries the non-exclusive right to make their scholarly publications accessible through self-archiving in the YorkSpace institutional repository subject to copyright restrictions.

Benefits to Authors



- **visibility & citation**
- **access to global research output**
- **control over use and re-use of work**
- **funding agency compliance**
- **preservation & archiving**
- **custom presentation layers**

Benefits to Institution



- **reduced cost for journal access**
- **less identity-management overhead**
- **access to university research output**
- **institutional mashups**
- **greater transparency for data**
- **higher research profile for university**

Benefits to Scholarship



- **free access for all**
- **reduce cost of academic publishing**
- **improve interdisciplinarity**
- **large scale storage and preservation efforts without intellectual property barriers**

Your options



1. Publish in an OA journal

- ❖ The “gold” road to OA

2. Submit to an OA repository

- ❖ The “green” road to OA

Gold OA



- **Publishing in OA journals**
- **You retain ownership/control**
- **100% free access**
- **There are no access barriers**
- **What do OA journals look like?**



Mapping Politics

MEMORIAL UNIVERSITY POLITICAL SCIENCE JOURNAL



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Winter 2009

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Open Medicine



Vol 4, No 1 (2010)

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Open Medicine's ghost and guest authorship policy

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Sally Murray, Jay Brophy, Anita Palepu

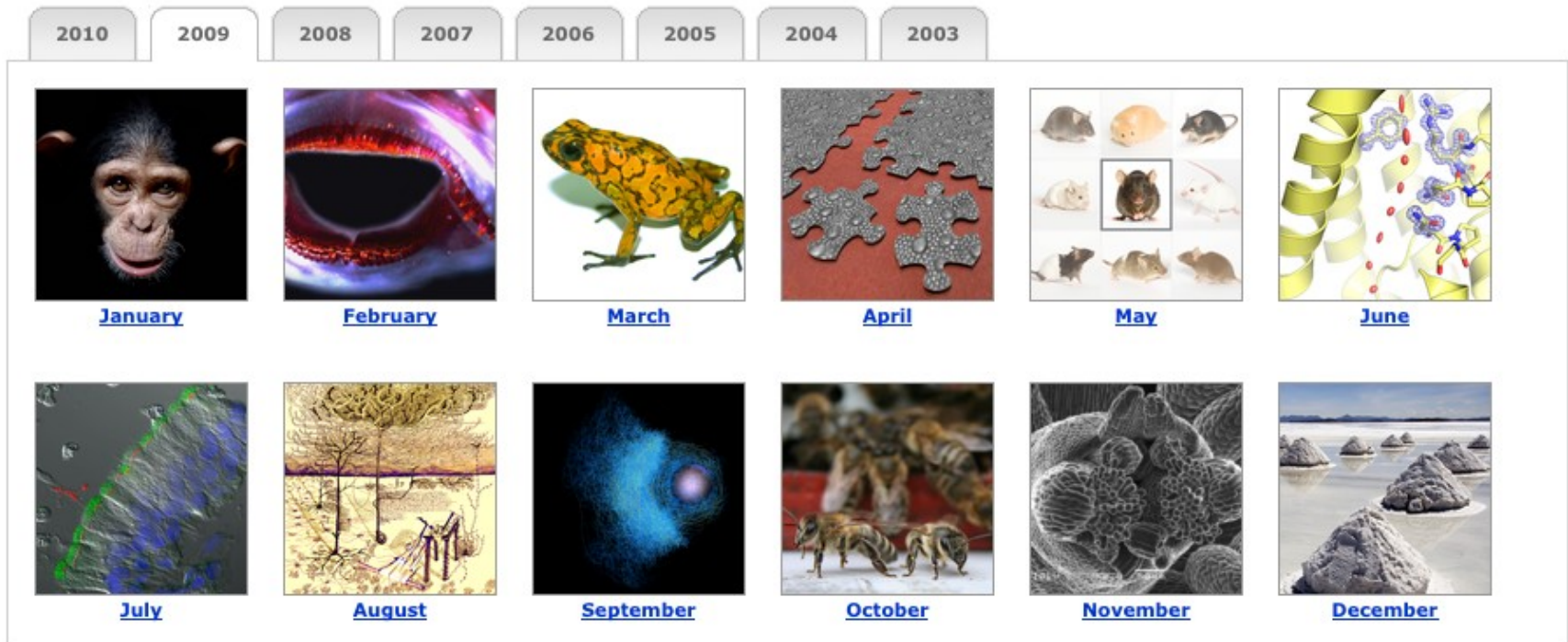
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News! 5 February 2010, Stockholm & Lund: Co-Action Publishing and Lund University Libraries Head Office are pleased to announce the launch of the **Online Guide to Open Access Journals Publishing** at <http://www.doaj.org/bpguide>.

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The screenshot shows the PLoS Public Library of Science website. At the top is a blue header with the PLoS logo and the text 'PUBLIC LIBRARY of SCIENCE'. Below the header is a navigation bar with links: Home, About PLoS, Open Access, PLoS Journals, Donate & Join, News & Events, Posters & Media, and Search. The main content area is divided into three columns. The left column features a section about PLoS's mission, a 'MORE ABOUT PLoS' button, and a 'Publish with PLoS' section. The middle column has a section titled 'Results of 2009 Author Survey' with a person icon and text about a survey conducted in 2009. The right column contains three boxes: 'PLOS JOURNALS' listing various journals, 'PLOS HUBS' listing Clinical Trials, and 'PLOS Currents'.

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PLOS JOURNALS

- PLoS Biology
- PLoS Medicine
- PLoS Computational Biology
- PLoS Genetics
- PLoS Pathogens
- PLoS ONE
- PLoS Neglected Tropical Diseases

PLOS HUBS

- Clinical Trials

PLOS Currents

OA author funds



- **University of Calgary**
- **Simon Fraser University**
- **University of Ottawa**
- **Compact for OA Publishing Equity**

Green OA



- **Self-archiving in an OA repository**
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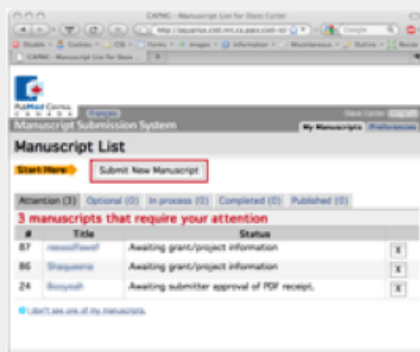
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PMC Canada will launch a **manuscript submission system** later this year. It will support CIHR's [Policy on Access to Research Outputs](#), which requires CIHR grant

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Funding requirements



... opening access to research

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Research funders' open access policies

Use this page to find a summary of policies given by various research funders as part of their grant awards. Information [about JULIET](#), and the breakdown of funders' policies is given in the [Key](#) below the table. Please use our [Notification Form](#) to submit details of new policies.

Click on the relevant column heading to re-sort by Country

Funding Organisation <i>(linked to their JULIET summary)</i>	Publications Policy		Data Archiving Policy	Country
	Open Access Archiving	Open Access Publishing		
Académie suisse des sciences humaines et sociales (ASSH) <i>see: Schweizerische Akademie der Geistes- und Sozialwissenschaften (SAGW)</i>				Switzerland
Agence Nationale de la Recherche (ANR)	✓ — —			France
Alliance canadienne pour la recherche sur le cancer du sein (ACRCS) <i>see: Canadian Breast Cancer Research Alliance (CBCRA)</i>				Canada
Arthritis Research Campaign (arc)	✓ ✓ —	—		United Kingdom
Arts and Humanities Research Council (AHRC)	✓ — —			United Kingdom
Australian Research Council (ARC)	— — —		— —	Australia
Austrian Science Fund <i>see: Fonds zur Förderung der wissenschaftlichen Forschung (FWF)</i>				Austria
Autism Speaks	✓ — —			United States
Biotechnology and Biological Sciences Research Council (BBSRC)	✓ — —		✓ ✓	United Kingdom
British Heart Foundation (BHF)	✓ ✓ —	—		United Kingdom
Canadian Breast Cancer Research Alliance (CBCRA)	✓ ✓ —	—		Canada
Canadian Cancer Society (CCS)	✓ — —	✓		Canada
Canadian Health Services Research Foundation (CHSRF)	✓ ✓ —	✓		Canada
Canadian Institutes of Health Research (CIHR)	✓ ✓ —	✓	✓ —	Canada

The details






Canadian Institutes of Health Research (CIHR) / Instituts de recherche en santé du Canada (IRSC)

Country: Canada


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Publications Policy

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	Whether to Archive:	* Requires deposition in Open Access archives
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	When to Archive:	* At the date of publication * Acceptable embargo: up to 6 months after publication
	Where to Archive:	* In appropriate institutional repositories (Optional) * In named repositories... * PubMed Central (Alternative) * PubMed Central Canada (Required)
	Archiving Conditions:	* Publisher's copyright, licensing & embargo policies must be respected

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Health Research Repository

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The Health Research Repository has been created by the Health Sciences Library to support Memorial University health researchers with disseminating and preserving their work. The service also aims to assist researchers in complying with Open Access funding agreements. All archived research will be located within the Health Research Repository collection of the Digital Archive Initiative.

Click [here](#) to make a submission.

"[T]he primary purpose of all research in the public domain is the creation of new knowledge in an environment that embodies the



Submission process



Health Research Repository

Submitting

Who can submit?

Faculty, staff or graduate students conducting health research can submit completed materials to the Health Research Repository. Eligible submissions include both funded and unfunded research.

What can I submit?

Documents currently being accepted for submission may include pre-prints, post-prints, and published journal articles with the appropriate copyright permissions. Other formats such as conference papers and research reports will also be included. Please inquire about additional formats. Unpublished documents will not be accepted during Phase I of implementation of the repository.

How do I submit?

If this is your first time submitting, follow these steps to prepare your work for archiving. If you have submitted work in the past, proceed to the [submission form](#).

Step 1: Grant permission | Step 2: Formatting | Step 3: Checklist

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- **More exposure**
- **Increased impact**
- **Universal access**
- **Easier information discovery**
- **Persistent access**
- **Long-term preservation**
- **Meet conditions of grant agencies**

Looking ahead



- **OA is here to stay**
- **New funding policies**
- **New OA author funds**
- **MUN institutional repository**



Questions?

lgoddard@mun.ca

sgordon@mun.ca

Recommended readings



- **Canadian Association of Research Libraries. (2005). *CARL institutional repository program*. Retrieved from http://www.carl-abrc.ca/projects/institutional_repositories/institutional_repositories-e.html.**
- **Harnad, S. (February 2010). The effect of open access and downloads ('hits') on citation impact: a bibliography of studies. *The Open Citation Project – Reference linking and citation analysis for open archives*. Retrieved from <http://opcit.eprints.org/oacitation-biblio.html>.**
- **Scholarly Publishing Roundtable. (2010). *Report and recommendations from the Scholarly Publishing Roundtable*. Retrieved from http://www.aau.edu/policy/scholarly_publishing_roundtable.aspx?id=6894.**