



**Council of Atlantic  
University Libraries**

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**Conseil des bibliothèques  
universitaires de l'Atlantique**

# **Moving from 'Pay to Read' to 'Pay to Write': Supporting 'Gold' Open Access**

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LISA GODDARD, MEMORIAL UNIVERSITY

GILLIAN BYRNE, CAUL-CBUA

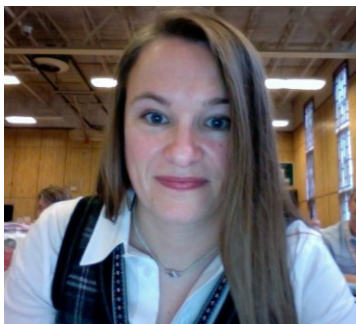
OCT. 22, 2013

# Speakers

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## Gold OA & Libraries

- Growth of “Gold” OA
- Business Models
- Library Supports



Lisa Goddard

## Gold OA & Consortia

- CAUL-CBUA Context
- Consortial Examples
- Discussion



Gillian Byrne

# Legislative Environment

# OA Mandates - US

the WHITE HOUSE PRESIDENT BARACK OBAMA ★★★★★ THE WHITE HOUSE WASHINGTON ★★★★★

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## Expanding Public Access to the Results of Federally Funded Research [Subscribe](#)

Posted by Michael Stebbins on February 22, 2013 at 12:04 PM EDT

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The Obama Administration is committed to the proposition that citizens deserve easy access to the results of scientific research their tax dollars have paid for. That's why, in a policy memorandum released today, OSTP Director John Holdren has directed Federal agencies with more than \$100M in R&D expenditures to develop plans to make the published results of federally funded research freely available to the public within one year of publication and requiring researchers to better account for and manage the digital data resulting from federally funded scientific research. OSTP has been looking into this issue for some time, soliciting broad public input on multiple occasions and convening an interagency working group to develop a policy. The final policy reflects substantial inputs from scientists and scientific organizations, publishers, members of Congress, and other members of the public—over 65 thousand of whom recently signed a *We the People* petition asking for expanded public access to the results of taxpayer-funded research.

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What you can do  
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**Upcoming events**

**22 October:**  
Developing quality test for new open access journals (9:00 AM UTC)

**19 June:**

## UK House of Lords enquiry on Open Access

Posted by Janna Wellander on November 13, 2012

11/01/2013

The UK's House of Lords (upper chamber of Parliament) Science & Technology Committee is conducting an enquiry into Open Access. Written submissions are welcome. Individuals and organisations are invited to give their views on the actions taken by Government and RCUK following publication of the Finch report. The HoL has issued guidance on how to make written submissions. In particular, there are four issues highlighted by the committee:

- ③ support for universities in the form of funds to cover article processing charges, and the response of universities and HEIs to these efforts
- ③ embargo periods for articles published under the Green model
- ③ engagement with publishers, universities, learned societies and other stakeholders in the development of research council Open Access policies and guidance
- ③ challenges and concerns raised by the scientific and publishing communities, and how these have been addressed.



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# Tri-Council OA Mandate (pending)

The screenshot shows the top navigation bar of the SSHRC website, including the Government of Canada logo and the text 'Canada.gc.ca | Services | Departments | Français'. The main header features the 'Social Sciences and Humanities Research Council' logo with a red maple leaf and the 'Canada' wordmark. A search bar is located on the right. Below the header is a navigation menu with links for 'About SSHRC', 'Funding', 'Competition Results', 'Connecting with Society', and 'News Room'. The breadcrumb trail reads 'Home > About SSHRC > Policies > Open Access'. The left sidebar contains a list of links under the 'About SSHRC' heading, with 'Policies' highlighted. The main content area is titled 'Open Access' and contains an information box with a blue header and a light blue background. The text in the box discusses the development of a harmonized policy on access to research publications by SSHRC, CIHR, and NSERC, and provides contact information for more details.

Government of Canada / Gouvernement du Canada

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## Social Sciences and Humanities Research Council

Canada

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About SSHRC - Funding - Competition Results - Connecting with Society - News Room

Home > About SSHRC > Policies > Open Access

### About SSHRC

- Governance
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- President's Desk
- Reports and Publications
- Facts and Figures
- Policies**
- SSHRC Logo
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- Research Funding Collaboration
- Careers

## Open Access

SSHRC, the Canadian Institutes of Health Research (CIHR) and the Natural Sciences and Engineering Research Council of Canada (NSERC) are developing a harmonized policy on access to research publications. From October 15 to December 13, 2013, SSHRC and NSERC will consult with a wide range of stakeholders in the research community on the draft document titled the Tri-Agency Open Access Policy. The harmonized policy is modeled after the [CIHR Open Access Policy](#), which remains unchanged and continues to be mandatory. For more information, please contact [openaccess@sshrc-crsh.gc.ca](mailto:openaccess@sshrc-crsh.gc.ca).

[Interagency Collaboration](#)

# Terms: Green vs. Gold

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## OA Repositories

- **Subscription journals**
- **Author self-archiving**
- **May have embargo**

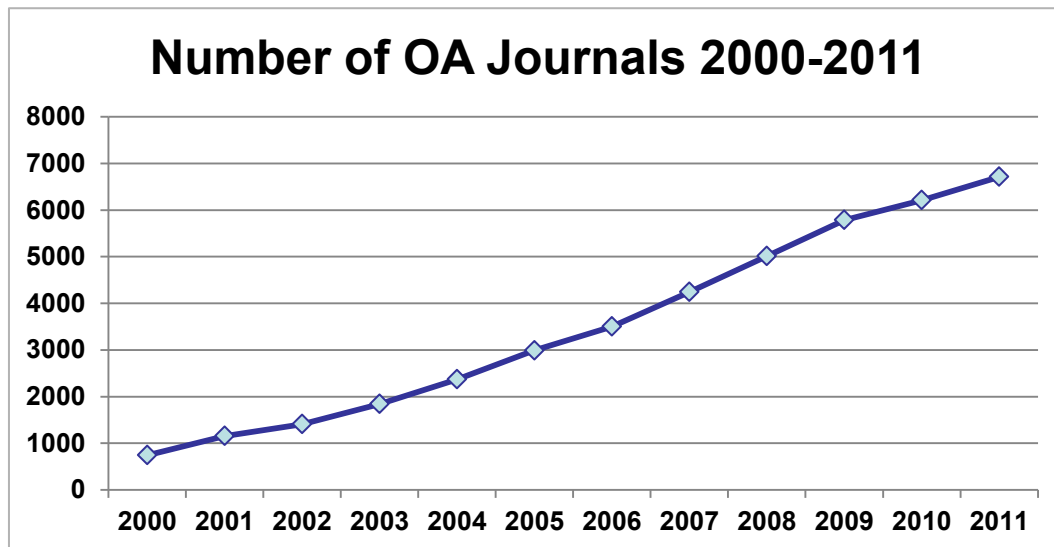
## OA Journals

- **Immediate global access to content**
- **May have Author Processing Charge (APC)**

# Gold OA Business Models



# Gold OA Journal Growth

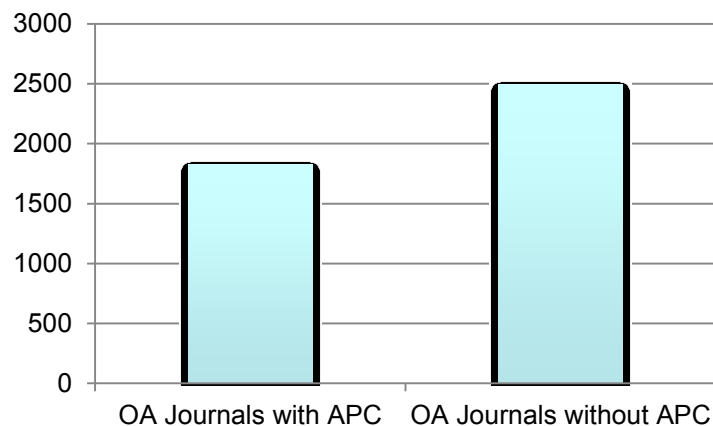


Approximately  
10 - 12% of  
academic  
journals are  
Gold OA.

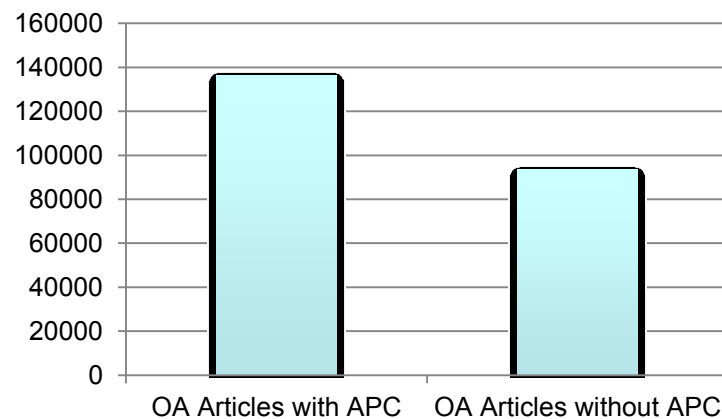
- Laakso & Bjork, 2012

# Author Processing Charges

## OA Journals 2011



## OA Articles 2011



- Laakso and Björk (2012)

# “No Fee” Gold Journals

## Partnership

The Canadian Journal of Library and Information Practice and Research

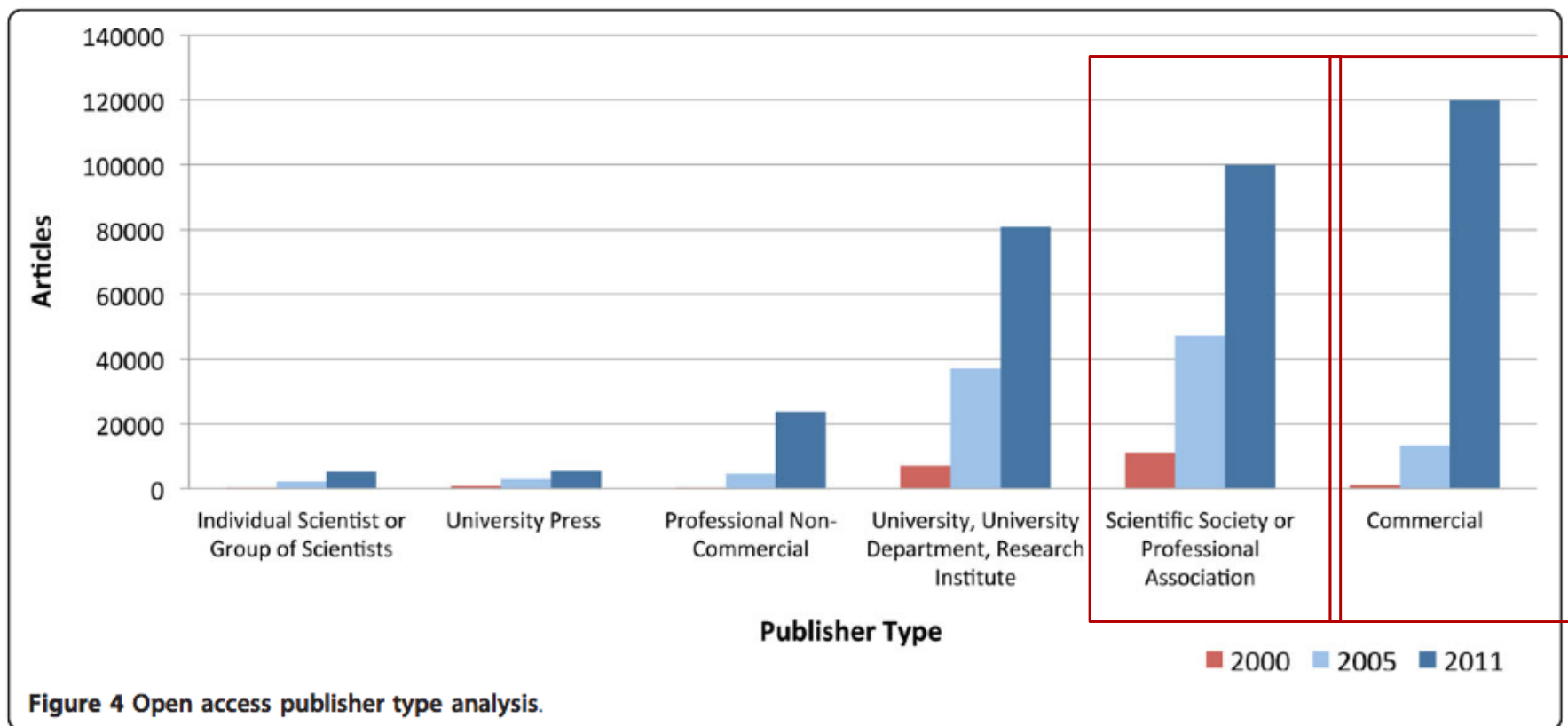
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### Partnership: the Canadian Journal of Library and Information Practice and Research

Partnership is a library and information practitioner's journal published twice a year. Papers may be submitted at any time for publication consideration. Potential articles are reviewed by members of an editorial committee. Articles are published in several categories. Feature articles on theory & research and on innovations in practice are in-depth, peer-reviewed papers that typically fall in the range of 3,000 to 7,000 words. Other types of articles accepted include viewpoints, conference presentations, profiles, news & announcements, professional development and reviews.

# OA Growth by Publisher Type



# Gold OA w/ APC


## Scholarly Open Access

Critical analysis of scholarly open-access publishing

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### Hindawi's Profit Margin is Higher than Elsevier's



RECENT POSTS

- o Hindawi's Profit Margin is Higher than Elsevier's
- o Article Acceptance Letter Reveals a Bogus Peer Review
- o Does Scholarly Open-Access Publishing Increase Author Misconduct?
- o Trying to understand "ACADEMIC JOURNALS & CONFERENCES"
- o Hundreds of Articles Disappear as Publisher Changes Model from Open Access to Toll Access

ARCHIVES

# Author Processing Charges

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Avg APC in 2010 = \$905

Range = \$8 - \$3900

Higher: commercial publisher, high impact factor, biomedicine

Lower: scholarly societies, developing countries, SSH

- Solomon & Bjork, 2012

# OA Mega Journals

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**IEEE** *Access*  
practical innovations : open solutions

SCIENTIFIC REPORTS

 **PLOS** | ONE

**PeerJ**

SAGE **open**

 **Open Library  
of Humanities**

# All You Can Publish

PeerJ

City-dwelling northern dusky salamanders may be losing their genetic diversity FEATURED

IMAGE INFO ⓘ

New research investigates the genetic diversity of the northern dusky salamander (*Desmognathus fuscus*) in the Manhattan area. Read more in this peer reviewed study from Munshi-South *et al.* published on April 9, 2013.

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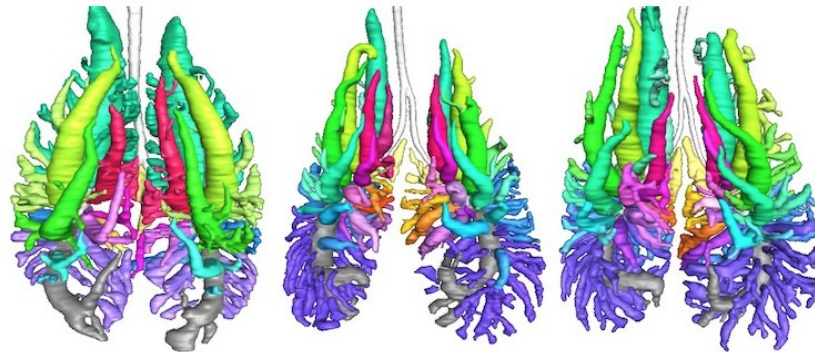
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FEATURED ARTICLE

## The evolution of unidirectional airflow in Archosauria


MARCH 26, 2013 - Research on Nile crocodiles reveals insights into the evolution of Archosauria (a group whose living representatives consist of birds and crocodilians). Read more in this peer reviewed study from Schachner, Hutchinson, and Farmer. [Read more](#)



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# Gold “Hybrid” Models

# Hybrid OA

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FOR LIBRARIANS

Issue 2, Winter 2007/2008

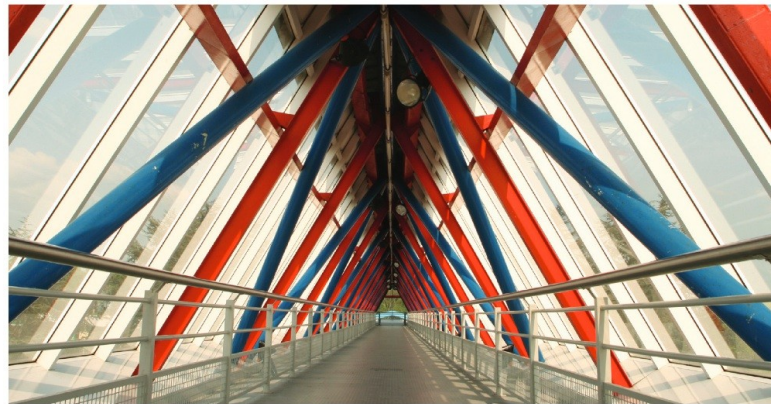
## Oxford Open prices adjusted for open access uptake

Martin Richardson | Managing Director, Oxford Journals

OPEN ACCESS



Oxford Journals' experiments with open access under the Oxford Open initiative have now been running for over four years. We always strive to price our journals fairly, and so some of our Oxford Open journals have seen modifications to their 2008 prices based on the volume of open access content within those journals. However, as this is often one of several factors that impact on price setting, each title in the initiative has experienced different effects on its pricing. This article explores



published under the Oxford Open model in 2006, and more have joined the initiative since.

Oxford Journals is keen to ensure that the

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- A greener future for Oxford Journals – read about our initiatives to ensure that our work has a positive environmental and social impact
- Oxford Journals Archive – the story so far – we

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## Gold for Gold

### Gold for Gold

We recognise that researchers are often being asked to publish Open Access (OA), but do not have the funding to pay for it directly.

To support the funder-led evolution to Gold OA, and following a successful pilot scheme in the UK, our **Gold for Gold initiative rewards all institutions that subscribe to RSC Gold with voucher codes to make papers available via OA, free of charge.**

**Gold for Gold** ensures academics can further increase the visibility of their quality research, whilst raising their institution's reputation. Recent beneficiaries include: University of Cambridge, University College London, Queen's University Belfast, Birmingham University (all UK); University of California - Los Angeles (US); Helsinki University (Finland); Linkopings Universitet (Sweden); Universitat Basel (Switzerland); Van't Hoff Institute for Molecular Sciences (Netherlands).

We hope you take full advantage of any due voucher codes. For full details on how this project works, including information on how to claim and use your voucher codes, **click on the Frequently Asked Questions link below.**

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# Library Support for Gold OA



# OA Funds in Canada

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- U Calgary
- U Toronto
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- SFU
- Concordia
- Brock
- U Manitoba
- Ottawa U
- Ryerson
- U Victoria
- York
- Memorial
- U Sask

# Author Processing Charges

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- Avoids problem of bundles
- One time cost
- Broader access = higher value
- Costs linked to institutional output

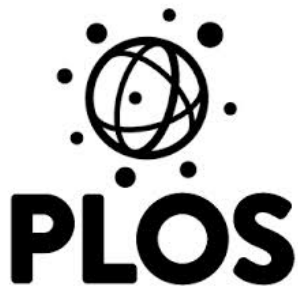
# OA Memberships



No discount, but direct quarterly invoicing.

The logo for PeerJ, consisting of the word "PeerJ" in blue and white text on a black rectangular background.

\$5000 pre-pay



10% discount & direct invoicing.

The logo for SAGE open, with "SAGE" in blue and "open" in white on a blue circular background, all within a light blue rectangular box.

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A Global Journal of Social Work Analysis, Research, Polity, and Practice

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VOL 1 (2012)

TABLE OF CONTENTS

### ARTICLES

'In the World': Toward a Foucauldian Ethics of Reading in Social Work  
*Teresa Macias*

PDF

1-19

Sanism, 'Mental Health', and Social Work/Education: A Review and Call to Action

*Jennifer M. Poole, Tania Jivraj, Araxi Arslanian, Kristen Bellows, Sheila Chiasson, Husnia Hakimy, Jessica Pasini, Jenna Reid*

PDF

20-36

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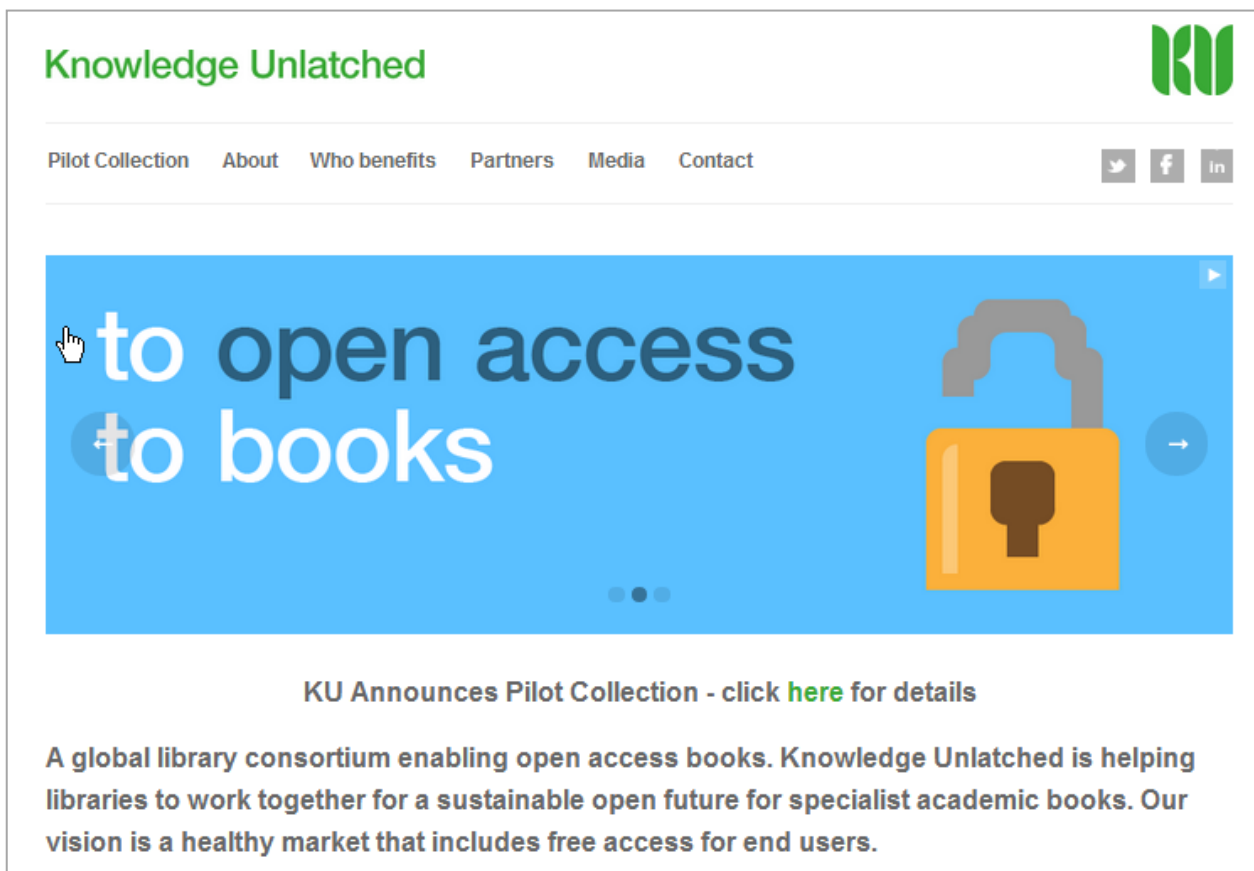
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Other Journals

# Open Access Books



The screenshot shows the homepage of Knowledge Unlatched. At the top left is the text "Knowledge Unlatched" in green. At the top right is the KU logo, a green stylized "KU". Below the header is a navigation menu with links: "Pilot Collection", "About", "Who benefits", "Partners", "Media", and "Contact". To the right of the navigation menu are social media icons for Twitter, Facebook, and LinkedIn. The main content area features a large blue banner with the text "to open access to books" in white and dark blue. A hand cursor is positioned over the word "to" in "to open access". To the right of the text is a large orange padlock icon with a grey keyhole. Below the banner is a caption: "KU Announces Pilot Collection - click [here](#) for details". At the bottom of the page is a paragraph of text: "A global library consortium enabling open access books. Knowledge Unlatched is helping libraries to work together for a sustainable open future for specialist academic books. Our vision is a healthy market that includes free access for end users."

Knowledge Unlatched

Pilot Collection About Who benefits Partners Media Contact

to open access to books

KU Announces Pilot Collection - click [here](#) for details

A global library consortium enabling open access books. Knowledge Unlatched is helping libraries to work together for a sustainable open future for specialist academic books. Our vision is a healthy market that includes free access for end users.

# OA Fund @ Memorial

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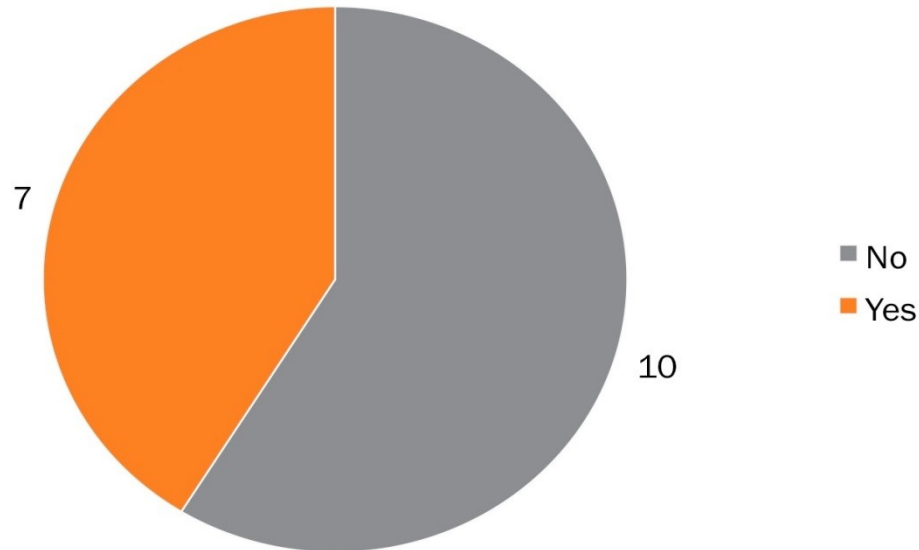
- \$75 000 in FY 2013/14
- Faculty and graduate students (\$3000/yr)
- Max \$3000 per article
- Gold OA only (no hybrid)
- Publisher invoices library directly

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# Consortial Support for Gold OA

# CAUL-CBUA Context: OJS

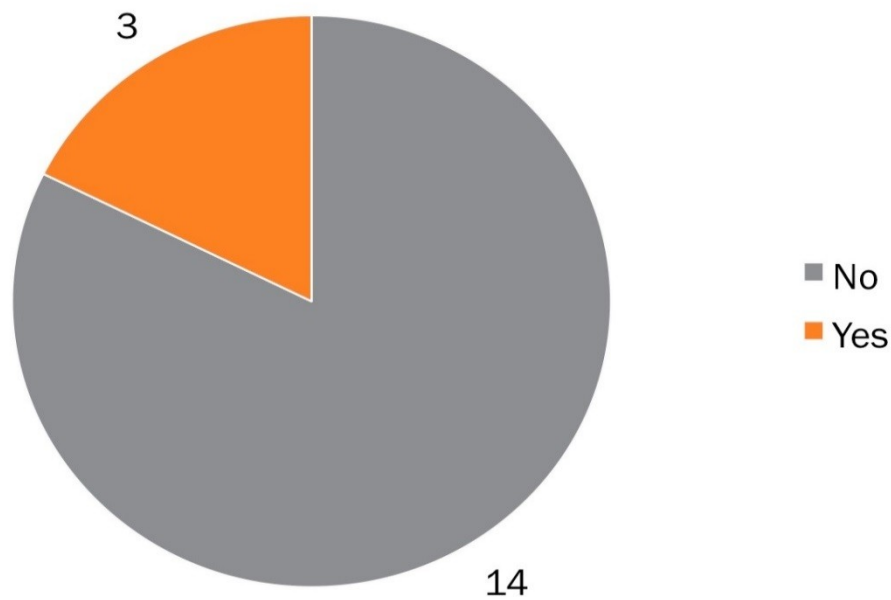
## E-Journal Publishing Support in CAUL-CBUA Libraries



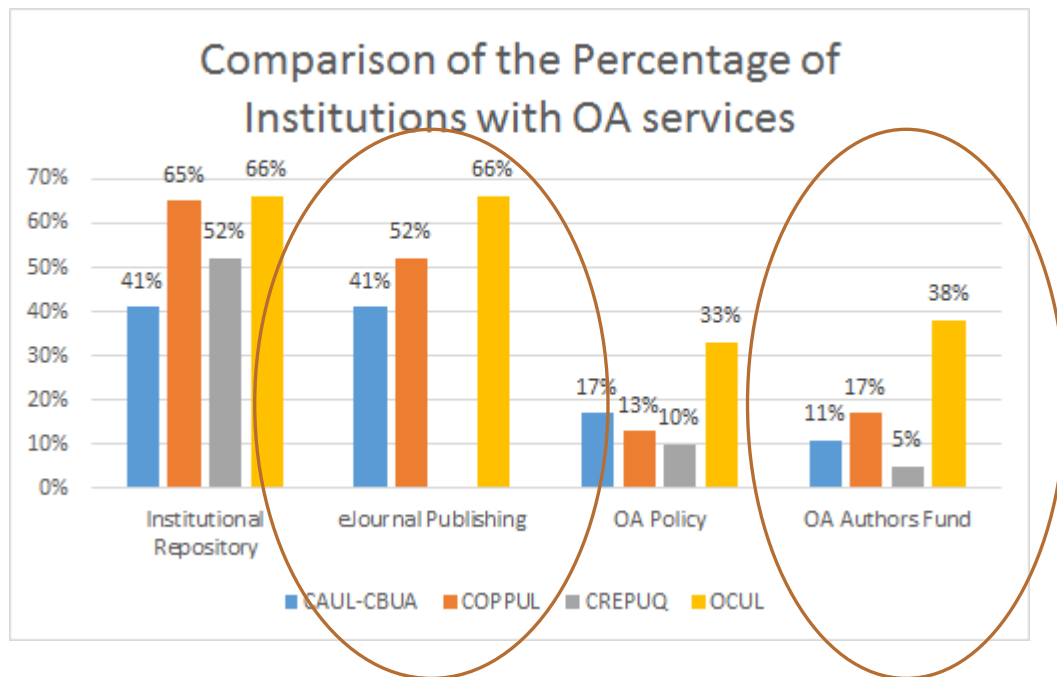


# CAUL-CBUA Context: Author's Funds

Author's Funds (APCs) in CAUL-CBUA Libraries



# CAUL-CBUA Context: Canadian Comparison

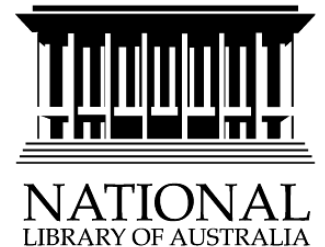


# Consortial Support for Gold OA - OJS

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PKP | PUBLIC KNOWLEDGE PROJECT





**Gillian Byrne** @redgirl13

17 Oct

Any consortia out there provide consortial support/hosting for OJS?  
#oa

Expand



**Barbara J. McDonald** @bjmcd2

17 Oct

@redgirl13 we are in a pilot project with @scholarsportal

Expand



**Tim Ribaric** @elibtronic

17 Oct

@bjmcd2 @redgirl13 @scholarsportal Nothing but aces so far! My  
OJS admin headaches are all gone.

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# Consortial Support – Author's Funds

## Jisc APC

About the Jisc APC pilot

## Jisc APC pilot project



Jisc APC is a pilot project that aims to assist UK higher education institutions and publishers worldwide in managing Gold OA article processing charges. Managed by Jisc Collections, the pilot engages representatives from academic institutions, publishers, funders and intermediaries to explore key issues and respond to a changing global Open Access landscape. There are two complementary strands of activity:

### Strand 1: An exploration of key issues and challenges within a Gold OA environment

The pilot aims to gather and share information on systems, processes, workflows and standards, and test the overall efficiencies that a third party, such as Jisc Collections, might offer to the various participants. Reflecting on and communicating lessons learned will avoid duplication of effort and result in greater efficiencies for all stakeholders.

### Strand 2: Delivery of an online administration platform for pilot institutions

An online administration platform is being developed and delivered by Jisc Collections in conjunction with its

# Consortial Support – Memberships

## RSC Publishing

CRKN  
Canadian Research  
Knowledge Network

RCDR  
Réseau canadien de  
documentation pour la recherche



# Take Home Question

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What can (should) CAUL-CBUA be doing to support Gold Open Access within member libraries?

- Centralized OJS?
- Author's Funds/APC Support?
- Investigate consortial memberships (PeerJ, etc.)?
- Education/member awareness?
- Other?

# Thanks. Questions?

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[lgoddard@mun.ca](mailto:lgoddard@mun.ca)

[gbyrne@caul-cbua.ca](mailto:gbyrne@caul-cbua.ca)

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