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Moving from 'Pay to Read' to 'Pay to Write': Supporting 'Gold' Open Access

LISA GODDARD, MEMORIAL UNIVERSITY

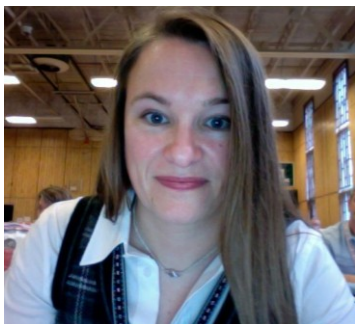
GILLIAN BYRNE, CAUL-CBUA

OCT. 22, 2013

Speakers

Gold OA & Libraries

- Growth of “Gold” OA
- Business Models
- Library Supports



Lisa Goddard

Gold OA & Consortia

- CAUL-CBUA Context
- Consortial Examples
- Discussion



Gillian Byrne

Legislative Environment

OA Mandates - US

The screenshot shows the official website of the White House Office of Science and Technology Policy (OSTP). The header features the White House logo and navigation links for various sections. The main content area highlights a policy memorandum titled "Expanding Public Access to the Results of Federally Funded Research," posted by Michael Stebbins on February 22, 2013. The article discusses the administration's commitment to making federally funded research results freely available to the public. A sidebar on the right promotes a "Your Federal Taxpayer Receipt" tool.

the WHITE HOUSE PRESIDENT BARACK OBAMA

★★★★★ THE WHITE HOUSE WASHINGTON ★★★★★

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Expanding Public Access to the Results of Federally Funded Research

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Posted by Michael Stebbins on February 22, 2013 at 12:04 PM EDT

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The Obama Administration is committed to the proposition that citizens deserve easy access to the results of scientific research their tax dollars have paid for. That's why, in a policy memorandum released today, OSTP Director John Holdren has directed Federal agencies with more than \$100M in R&D expenditures to develop plans to make the published results of federally funded research freely available to the public within one year of publication and requiring researchers to better account for and manage the digital data resulting from federally funded scientific research. OSTP has been looking into this issue for some time, soliciting broad public input on multiple occasions and convening an interagency working group to develop a policy. The final policy reflects substantial inputs from scientists and scientific organizations, publishers, members of Congress, and other members of the public—over 65 thousand of whom recently signed a *We the People* petition asking for expanded public access to the results of taxpayer-funded research.

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OA Mandates - UK



Advocating change in scholarly communications for the benefit of researchers and society

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Home > News > UK House of Lords enquiry on Open Access

Membership

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Key topics

Useful links

What you can do

Related organizations

Post an Event

Upcoming events

22 October:
Developing quality test for new open access journals (9:00 AM UTC)

19 June:

UK House of Lords enquiry on Open Access

Posted by Janna Wellander on November 13, 2012

11/01/2013

The UK's House of Lords (upper chamber of Parliament) Science & Technology Committee is conducting an enquiry into Open Access. Written submissions are welcome. Individuals and organisations are invited to give their views on the actions taken by Government and RCUK following publication of the Finch report. The HoL has issued guidance on how to make written submissions. In particular, there are four issues highlighted by the committee:

- support for universities in the form of funds to cover article processing charges, and the response of universities and HEIs to these efforts
- embargo periods for articles published under the Green model
- engagement with publishers, universities, learned societies and other stakeholders in the development of research council Open Access policies and guidance
- challenges and concerns raised by the scientific and publishing communities, and how these have been addressed.

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Tri-Council OA Mandate (pending)

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About SSHRC

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SSHRC Logo

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Open Access

SSHRC, the Canadian Institutes of Health Research (CIHR) and the Natural Sciences and Engineering Research Council of Canada (NSERC) are developing a harmonized policy on access to research publications. From October 15 to December 13, 2013, SSHRC and NSERC will consult with a wide range of stakeholders in the research community on the draft document titled the Tri-Agency Open Access Policy. The harmonized policy is modeled after the [CIHR Open Access Policy](#), which remains unchanged and continues to be mandatory. For more information, please contact openaccess@sshrc-crsh.gc.ca.

[Interagency Collaboration](#)

Terms: Green vs. Gold

OA Repositories

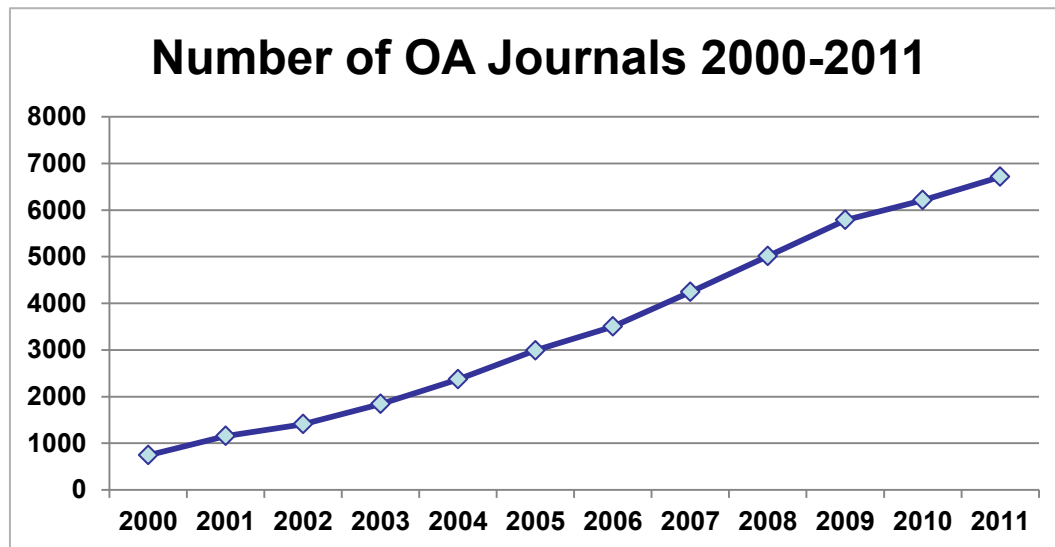
- Subscription journals
- Author self-archiving
- May have embargo

OA Journals

- Immediate global access to content
- May have Author Processing Charge (APC)

Gold OA Business Models

Gold OA Journal Growth

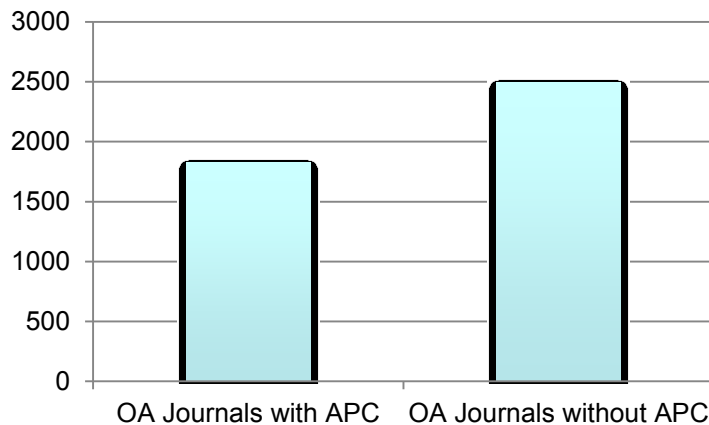


Approximately
10 - 12% of
academic
journals are
Gold OA.

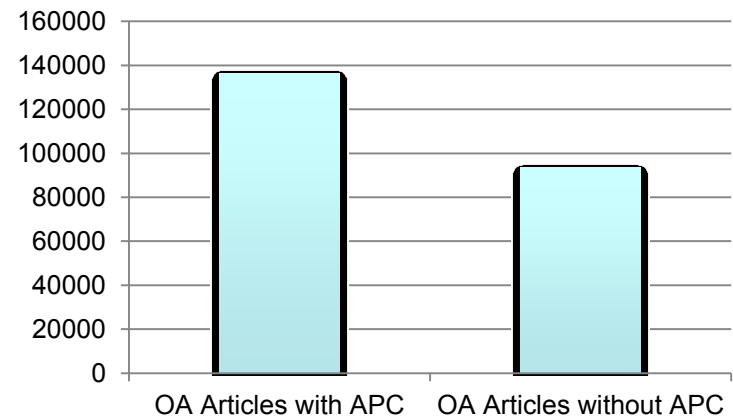
- Laakso & Bjork, 2012

Author Processing Charges

OA Journals 2011



OA Articles 2011



- Laakso and Björk (2012)

“No Fee” Gold Journals

Partnership

The Canadian Journal of Library and Information Practice and Research

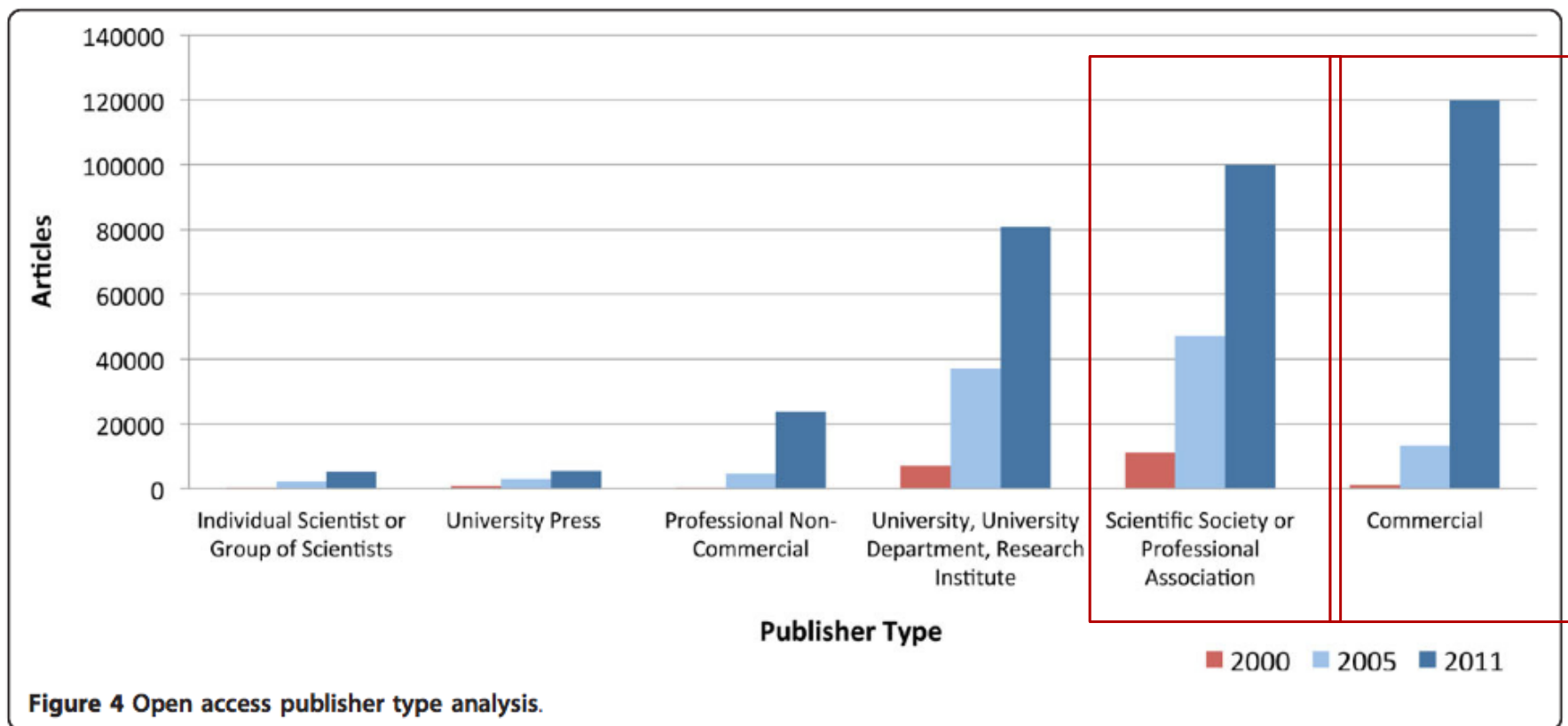
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Home > **Partnership: the Canadian Journal of Library and Information Practice and Research**

Partnership: the Canadian Journal of Library and Information Practice and Research

Partnership is a library and information practitioner's journal published twice a year. Papers may be submitted at any time for publication consideration. Potential articles are reviewed by members of an editorial committee. Articles are published in several categories. Feature articles on theory & research and on innovations in practice are in-depth, peer-reviewed papers that typically fall in the range of 3,000 to 7,000 words. Other types of articles accepted include viewpoints, conference presentations, profiles, news & announcements, professional development and reviews.

OA Growth by Publisher Type



Gold OA w/ APC


Scholarly Open Access

Critical analysis of scholarly open-access publishing

Home	About the Author	Appeals	Disclaimer	Research	LIST OF PUBLISHERS
------	------------------	---------	------------	----------	--------------------

LIST OF INDIVIDUAL JOURNALS

Hindawi's Profit Margin is Higher than Elsevier's



RECENT POSTS

- o Hindawi's Profit Margin is Higher than Elsevier's
- o Article Acceptance Letter Reveals a Bogus Peer Review
- o Does Scholarly Open-Access Publishing Increase Author Misconduct?
- o Trying to understand "ACADEMIC JOURNALS & CONFERENCES"
- o Hundreds of Articles Disappear as Publisher Changes Model from Open Access to Toll Access

ARCHIVES

Author Processing Charges

Avg APC in 2010 = \$905

Range = \$8 - \$3900

Higher: commercial publisher, high impact factor, biomedicine

Lower: scholarly societies, developing countries, SSH

- Solomon & Bjork, 2012

OA Mega Journals


IEEE *Access*
practical innovations : open solutions

SCIENTIFIC REPORTS

 **PLOS** | ONE

PeerJ

SAGE **open**

 Open Library
of Humanities

All You Can Publish

PeerJ

City-dwelling northern dusky salamanders may be losing their genetic diversity FEATURED

IMAGE INFO ⓘ

New research investigates the genetic diversity of the northern dusky salamander (*Desmognathus fuscus*) in the Manhattan area. Read more in this peer reviewed study from Munshi-South *et al.* published on April 9, 2013.

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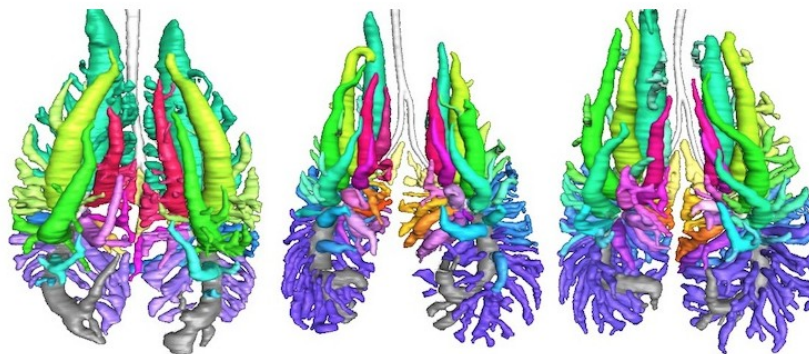
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FEATURED ARTICLE


The evolution of unidirectional airflow in Archosauria


MARCH 26, 2013 - Research on Nile crocodiles reveals insights into the evolution of Archosauria (a group whose living representatives consist of birds and crocodilians). Read more in this peer reviewed study from Schachner, Hutchinson, and Farmer. [Read more](#)

Low Fee SSH Journal

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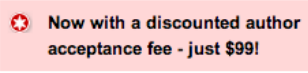
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Martha Ellen Wynne, Ashley E. Ausikaitis, and Mary Satchwell

Seeking Personal Autonomy Through the Use of Facebook in Iran
Mona Hajin


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Gold “Hybrid” Models

Hybrid OA



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Learn more about Springer's active involvement in the open access movement.

Transparent Pricing

FOR LIBRARIANS

Issue 2, Winter 2007/2008

Oxford Open prices adjusted for open access uptake

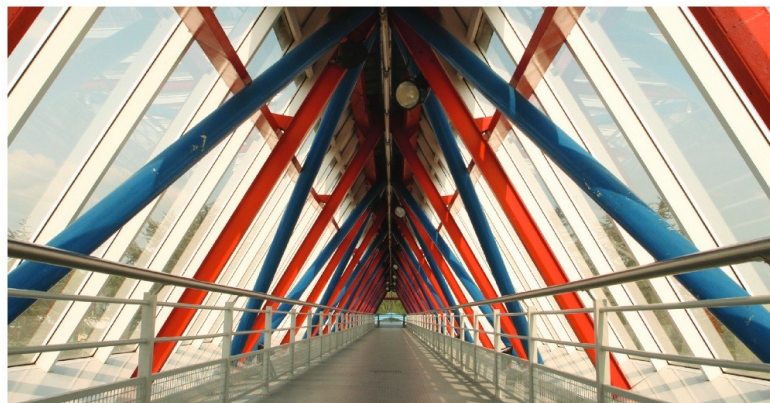
Martin Richardson | Managing Director, Oxford Journals

OPEN ACCESS



Oxford Journals' experiments with open access under the Oxford Open initiative have now

been running for over four years. We always strive to price our journals fairly, and so some of our Oxford Open journals have seen modifications to their 2008 prices based on the volume of open access content within those journals. However, as this is often one of several factors that impact on price setting, each title in the initiative has experienced different effects on its pricing. This article explores



published under the Oxford Open model in 2006, and more have joined the initiative since.

Oxford Journals is keen to ensure that the

INSIDE THIS ISSUE

- **A greener future for Oxford Journals** – read about our initiatives to ensure that our work has a positive environmental and social impact
- **Oxford Journals Archive** – the story so far – we

OA Vouchers

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Tools



Gold for Gold

We recognise that researchers are often being asked to publish Open Access (OA), but do not have the funding to pay for it directly.

To support the funder-led evolution to Gold OA, and following a successful pilot scheme in the UK, our **Gold for Gold initiative rewards all institutions that subscribe to RSC Gold with voucher codes to make papers available via OA, free of charge.**

Gold for Gold ensures academics can further increase the visibility of their quality research, whilst raising their institution's reputation. Recent beneficiaries include: University of Cambridge, University College London, Queen's University Belfast, Birmingham University (all UK); University of California - Los Angeles (US); Helsinki University (Finland); Linkopings Universitet (Sweden); Universitat Basel (Switzerland); Van't Hoff Institute for Molecular Sciences (Netherlands).

We hope you take full advantage of any due voucher codes. For full details on how this project works, including information on how to claim and use your voucher codes, **click on the Frequently Asked Questions link below.**

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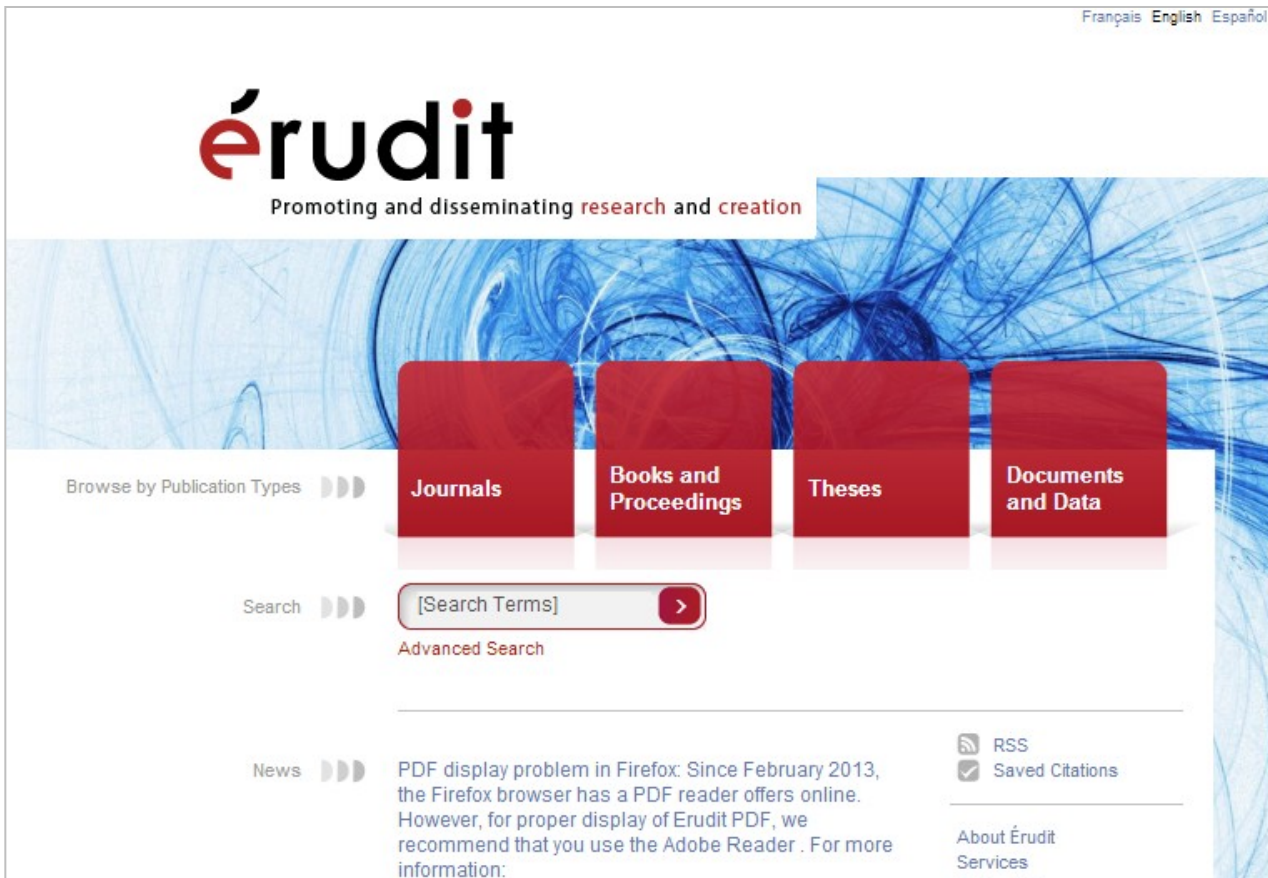
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Energy & Environment: journal info	£539	£498	£488
Energy, Exploration & Exploitation: journal info	£403	£371	£357
International journal of Aeroacoustics: journal info	£495	£479	£461
International Journal of Aerospace Innovations: journal info	£291	£280	£260
International journal of Architectural Computing: journal info	£275	£259	£254

Delayed OA



The screenshot shows the homepage of the Érudit website. At the top right, there are language links: "Français", "English", and "Español". The main logo "Érudit" is prominently displayed in the center, with the tagline "Promoting and disseminating research and creation" below it. A large blue abstract graphic with white lines serves as a background for the central content area. Below the logo, there are four red rectangular buttons labeled "Journals", "Books and Proceedings", "Theses", and "Documents and Data". To the left of these buttons, there is a section titled "Browse by Publication Types" with three right-pointing arrows. Below this, there is a search bar with the placeholder text "[Search Terms]" and a red button with a white right-pointing arrow. To the left of the search bar, there is a "Search" label with three right-pointing arrows. Below the search bar, there is a link to "Advanced Search". At the bottom left, there is a "News" section with three right-pointing arrows. To the right of the news section, there is a text block about a PDF display problem in Firefox, dated February 2013, recommending the use of Adobe Reader. To the right of this text, there are two icons: an RSS icon and a checkmark icon, with labels "RSS" and "Saved Citations" respectively. At the bottom right, there is a link to "About Érudit Services".

Français English Español

Érudit
Promoting and disseminating research and creation

Browse by Publication Types

Journals Books and Proceedings Theses Documents and Data

Search [Search Terms]

Advanced Search

News

PDF display problem in Firefox: Since February 2013, the Firefox browser has a PDF reader offers online. However, for proper display of Érudit PDF, we recommend that you use the Adobe Reader . For more information:

RSS
Saved Citations

About Érudit Services

Library Support for Gold OA

OA Funds in Canada

- U Calgary
- U Toronto
- Queen's
- SFU
- Concordia
- Brock
- U Manitoba
- Ottawa U
- Ryerson
- U Victoria
- York
- Memorial
- U Sask

Author Processing Charges

- Avoids problem of bundles
- One time cost
- Broader access = higher value
- Costs linked to institutional output

OA Memberships



No discount, but direct
quarterly invoicing.



\$5000 pre-pay



10%
discount &
direct
invoicing.



SAGE open

10 article pre-
pay @ \$99 ea



Hindawi
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Intersectionalities:

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VOL 1 (2012)

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Teresa Macias

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Sanism, 'Mental Health', and Social Work/Education: A Review and Call to Action

PDF

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Jennifer M. Poole, Tania Jivraj, Araxi Arslanian, Kristen Bellows, Sheila Chiasson, Husnia Hakimy, Jessica Pasini, Jenna Reid

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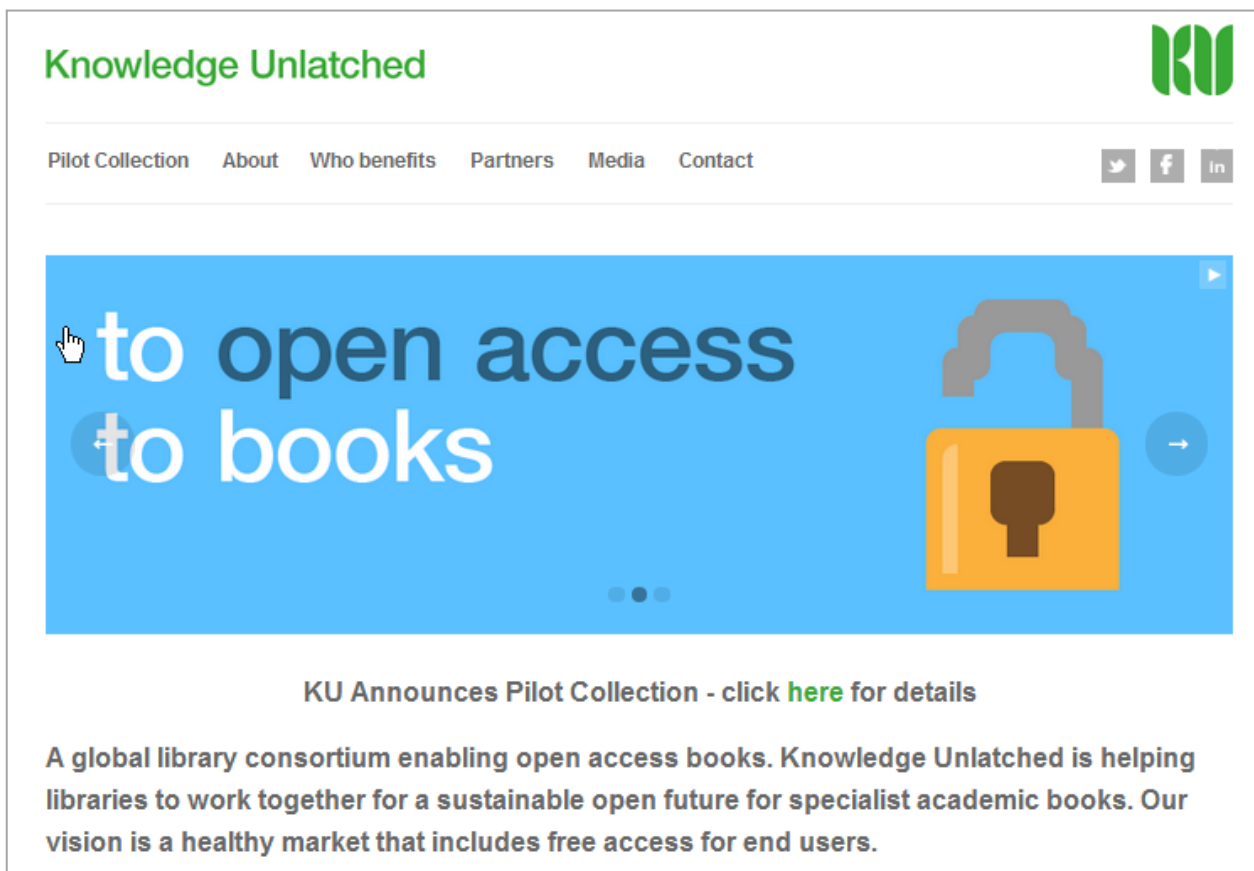
By Issue

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Other Journals

Open Access Books



The screenshot shows the homepage of the Knowledge Unlatched website. At the top left is the logo "Knowledge Unlatched" in green. To the right is a green icon consisting of three vertical bars of increasing height. Below the logo is a navigation menu with links: "Pilot Collection", "About", "Who benefits", "Partners", "Media", and "Contact". To the right of the menu are social media icons for Twitter, Facebook, and LinkedIn. The main content area features a large blue banner with the text "to open access to books" in white and dark blue. A hand cursor icon is positioned over the word "to" in "to open access". To the right of the text is a large orange padlock icon with a grey keyhole. Below the banner, there is a text line: "KU Announces Pilot Collection - click [here](#) for details". At the bottom, a paragraph reads: "A global library consortium enabling open access books. Knowledge Unlatched is helping libraries to work together for a sustainable open future for specialist academic books. Our vision is a healthy market that includes free access for end users."

Knowledge Unlatched

Pilot Collection About Who benefits Partners Media Contact

to open access to books

KU Announces Pilot Collection - click [here](#) for details

A global library consortium enabling open access books. Knowledge Unlatched is helping libraries to work together for a sustainable open future for specialist academic books. Our vision is a healthy market that includes free access for end users.

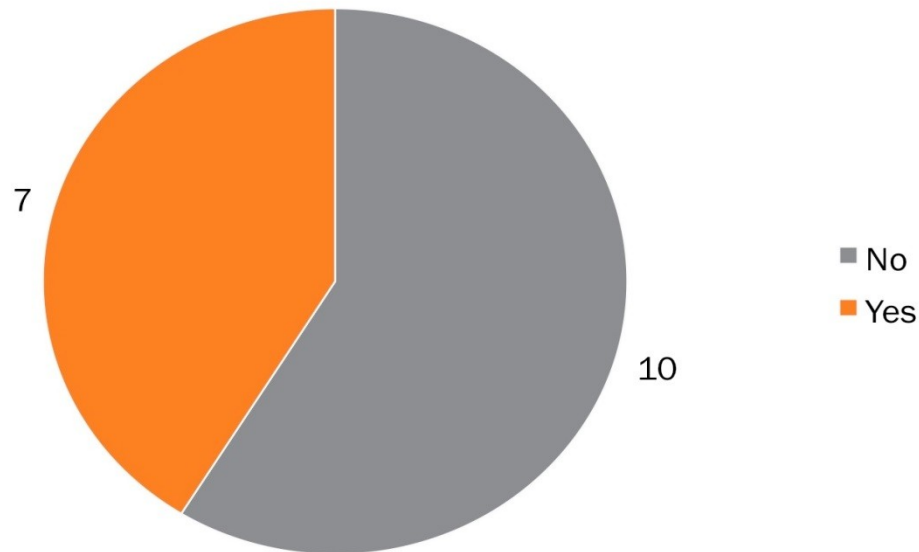
OA Fund @ Memorial

- \$75 000 in FY 2013/14
- Faculty and graduate students (\$3000/yr)
- Max \$3000 per article
- Gold OA only (no hybrid)
- Publisher invoices library directly

Consortial Support for Gold OA

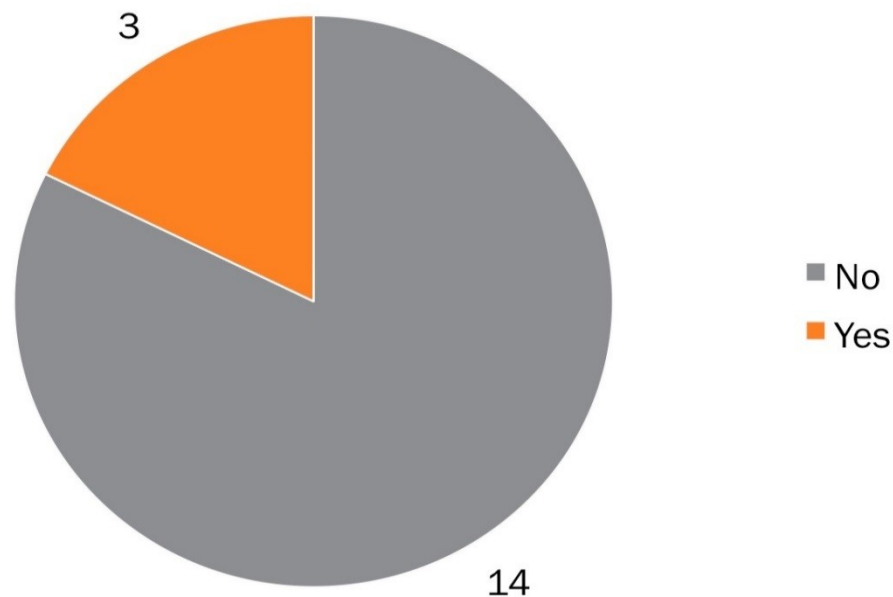
CAUL-CBUA Context: OJS

E-Journal Publishing Support in CAUL-CBUA Libraries

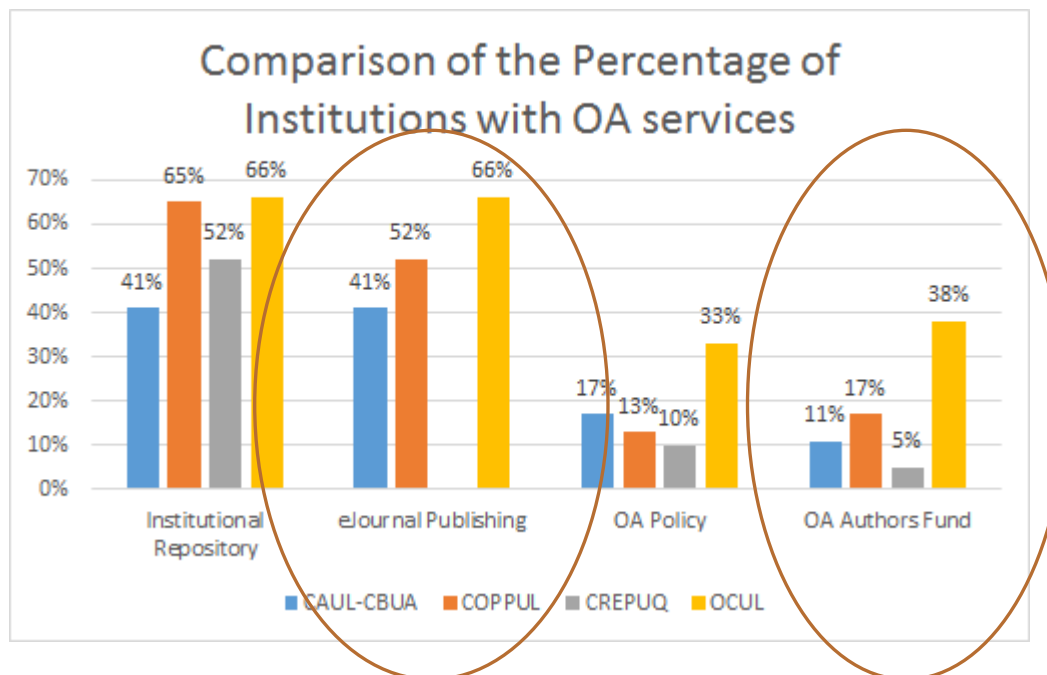


CAUL-CBUA Context: Author's Funds

Author's Funds (APCs) in CAUL-CBUA Libraries



CAUL-CBUA Context: Canadian Comparison



Consortial Support for Gold OA - OJS



PKP | PUBLIC KNOWLEDGE PROJECT





Gillian Byrne @redgirl13

17 Oct

Any consortia out there provide consortial support/hosting for OJS?
#oa

Expand



Barbara J. McDonald @bjmcd2

17 Oct

@redgirl13 we are in a pilot project with @scholarsportal

Expand



Tim Ribaric @elibtronic

17 Oct

@bjmcd2 @redgirl13 @scholarsportal Nothing but aces so far! My
OJS admin headaches are all gone.

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Consortial Support – Author's Funds

Jisc APC

About the Jisc APC pilot

Jisc APC pilot project



Jisc APC is a pilot project that aims to assist UK higher education institutions and publishers worldwide in managing Gold OA article processing charges. Managed by Jisc Collections, the pilot engages representatives from academic institutions, publishers, funders and intermediaries to explore key issues and respond to a changing global Open Access landscape. There are two complementary strands of activity:

Strand 1: An exploration of key issues and challenges within a Gold OA environment

The pilot aims to gather and share information on systems, processes, workflows and standards, and test the overall efficiencies that a third party, such as Jisc Collections, might offer to the various participants. Reflecting on and communicating lessons learned will avoid duplication of effort and result in greater efficiencies for all stakeholders.

Strand 2: Delivery of an online administration platform for pilot institutions

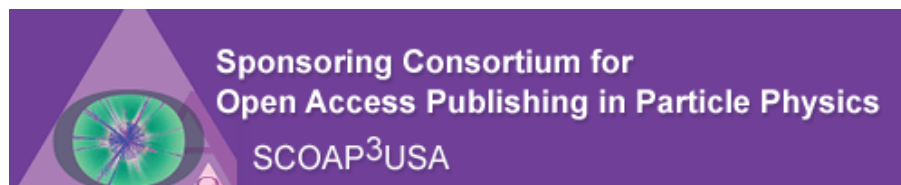
An online administration platform is being developed and delivered by Jisc Collections in conjunction with its

Consortial Support – Memberships

RSC Publishing

CRKN
Canadian Research
Knowledge Network

RCDR
Réseau canadien de
documentation pour la recherche



Take Home Question

What can (should) CAUL-CBUA be doing to support Gold Open Access within member libraries?

- Centralized OJS?
- Author's Funds/APC Support?
- Investigate consortial memberships (PeerJ, etc.)?
- Education/member awareness?
- Other?

Thanks. Questions?

lgoddard@mun.ca

gbyrne@caul-cbua.ca

<http://research.library.mun.ca/2423/>