1	Citation:
2	Sandoval L, Barrantes G, Wilson DR (2019) Conceptual and statistical problems with the use of
3	the Shannon-Weiner entropy index in bioacoustic analyses. <i>Bioacoustics</i> , 28: 297–311. doi:
4	10.1080/09524622.2018.1443286
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8	Conceptual and statistical problems with the use of the Shannon-Weiner
9	entropy index in bioacoustic analyses
10	Luis Sandoval ^a , Gilbert Barrantes ^a and David R. Wilson ^b
11	^a Escuela de Biología, Universidad de Costa Rica, San Pedro, San José, Costa Rica CP-
12	2090; ^b Department of Psychology, Memorial University of Newfoundland, St. John's,
13	Newfoundland and Labrador, Canada
14	Corresponding author: Luis Sandoval. Escuela de Biología, Universidad de Costa Rica, San
15	Pedro, San José, Costa Rica CP-2090. e-mail: biosandoval@hotmail.com

Conceptual and statistical problems with the use of the Shannon-Weiner 17 18 entropy index in bioacoustic analyses

Information theory and its indices were developed for human communication to 19 predict the amount of information transferred in a message. One such index, the 20 21 Shannon-Weiner index (SWI), has often been used to analyze information from other fields in 22 which its application may not be appropriate. In ecoacoustics, the SWI is used to 23 compare acoustic diversity (*i.e.*, a measure derived by integrating the richness and 24 abundance of animal sounds) among locations. In animal communication, the SWI is 25 used to quantify repertoire complexity (*i.e.*, a measure derived by integrating the 26 number and abundance of sound types produced by individuals or species) as an 27 approach to understanding signal evolution. We discuss problems associated with 28 using the SWI in ecoacoustics and animal communication. Specifically, we discuss 29 conceptual and statistical problems associated with the SWI, and then illustrate these problems using hypothetical data. In ecoacoustics, the SWI's assumptions of random 30 31 variables and independent samples are often violated. In animal communication, the 32 SWI fails to distinguish among repertoires in which the number of sound types and the abundance of each sound type differ. We also show that other methods do capture 33 34 these differences. We conclude that the SWI does not adequately represent acoustic 35 diversity or repertoire complexity due to the multiple conceptual and statistical issues 36 associated with its use. We recommend other analytical methods to more fully 37 describe these biological systems, including goodness of fit, Morisita similarity 38 index, and Markov chain analysis. These methods provide more information for 39 future comparisons, and permit researchers to test hypotheses more directly.

40 Keywords: ecoacoustics; bioacoustics; repertoire; Shannon-Weiner entropy index; statistical analysis; soundscape 41

42 Introduction

The Shannon-Weiner entropy index (SWI) was developed to measure the amount of 43 44 information transferred in a message over telephone lines (Shannon and Weaver 1949). This index estimates the uncertainty in the information code of a message (Pielou 1966; 45 Krebs 1999), but does not estimate the number of information codes (Jost 2006), the 46 specific codes included in the message, or the order in which the codes are produced 47 (Palmero et al. 2014). Since its origin, the SWI, which is also called first-order entropy 48 (McCowan et al. 1999, 2002), has been used extensively in community ecology and 49 population genetics (e.g., Meirmans and Van Tienderen 2004; Forster et al. 2006; Sherwin 50 2010; Peakall and Smouse 2012). However, its use in those fields has been criticized 51 52 because it: (1) condenses two unrelated variables into a single metric (e.g., species composition and the abundance of individuals in each species; Allen et al. 2009; Barrantes 53 and Sandoval 2009); (2) is very sensitive to small samples (Peet 1975; Green 1979); and (3) 54 does not adequately reflect rare species or alleles (Chao and Shen 2003). More recently, 55 and despite its inappropriate use in other fields, the SWI has also been applied to the fields 56 of ecoacoustics and animal communication. 57

In the field of ecoacoustics (Sueur and Farina 2015), and similar areas such as soundscape ecology (Farina et al. 2011; Krause 2016), the use of the SWI is becoming widespread (Pieretti et al. 2011; Depraetere et al. 2012). The main goal of ecoacoustics, as stated by Sueur and Farina (2015), is to be an "*applied discipline that studies sound along a broad range of spatial and temporal scales in order to tackle biodiversity and other ecological questions*". Within this broad goal, a common approach is to relate the acoustic environment to species richness and the abundance of individuals within each species

65 (Pijanowski et al. 2011; Depraetere et al. 2012; Sueur et al. 2012). For example, Depraetere et al. (2012) tried to determine the relation between sound recordings and species richness 66 and abundance. They asked: "(i) do the indices match with results provided by a classical 67 68 bird inventory?... and (iii) could the indices highlight expected biodiversity differences between different habitats?" Research in this field uses autonomous audio recorders to 69 70 monitor the acoustic environment over long periods of time (e.g., hundreds or thousands of71 recording hours; Blumstein et al. 2011; Mennill et al. 2012; Sueur et al. 2012). The large 72 acoustic datasets are then analyzed using different data extraction procedures that usually involve automatic detection of animal signals (Sueur et al. 2012). After the data are 73 extracted, some studies estimate the diversity of the acoustic environment (i.e., the number 74 of species detected and the frequency of occurrence of each species' signals) by integrating 75 76 all of the data into a single measure using information theory indices, such as the SWI 77 (Sueur et al. 2012; Gasc et al. 2013). However, the SWI does not directly reflect species richness, the abundance of individuals within each species, or species composition, so a 78 79 large portion of the original information is lost.

Animal communication is another field in which the use of the SWI is becoming 80 widespread. Over the last seven decades, the field has amassed large literatures that 81 82 describe how information is encoded in acoustic signals through structural variation, sequence level variation (e.g., number of signals or signaling rate), and syntactical rules 83 (Gerhardt and Huber 2002; Marler 2004). Much of this effort has centered on identifying 84 the fundamental units of communication (Bradbury and Vehrencamp 2011). Traditionally, 85 information encoding mechanisms were analyzed by identifying and counting the number 86 87 of different sound units (e.g., syllables or elements) produced by each individual animal or species, and by then examining the order in which those units were produced (Botero et al. 88

2008; Vargas-Castro et al. 2012; Sandoval et al. 2014). Recently, the SWI has replaced
these more traditional measures (McCowan et al. 1999; Aubin et al. 2004; Palmero et al.
2014). In spectacled warblers (*Sylvia conspicillata*) and bottlenose dolphins (*Tursiops truncatus*), for example, the SWI was used to calculate the entropy or complexity of their
communication systems. The index incorporated the occurrence of different types of
syllables, as well as the probabilities of their occurrence (McCowan et al. 1999; Palmero et al. 2014).

Another problem in both fields is that multiple terms are used interchangeably (e.g., 96 diversity, complexity, entropy, richness, composition, and randomness). This results in a 97 confusing terminology that reduces the comparative scope of these studies. For instance, 98 the SWI is often used to quantify different things, such as diversity, complexity, and 99 100 entropy. As an example, 'diversity' is often labeled as 'complexity' or 'entropy' in sound analyses, though each of these terms has a different meaning. Diversity is an integrated 101 measure of the number of sound types and the abundance of each sound type that are 102 103 produced by an individual, population, or species. Song complexity, which sometimes is used interchangeably with richness and composition, is an integrated measure of the 104 number of different elements or syllables produced in each song (Buchanan and Catchpole 105 106 1997; Palmero et al. 2014) and the entropy order and versatility of the internal song 107 structure (Hamao 2008). Song entropy, which sometimes is interchanged for randomness, is a measurement of song organization (first-order of entropy according to McCowan et al. 108 1999; Palmero et al. 2014), where higher SWI values indicate higher entropy and a more 109 even distribution of sounds among sound types. 110

111 Our objectives in this paper are: (1) to describe general conceptual and statistical 112 problems inherent to the use of the SWI in ecoacoustics; and (2) to highlight the disadvantages of using information theory indices in studies of animal communication by
analyzing hypothetical acoustic repertoires using the SWI and other alternative statistical
techniques.

- 116
- 117 Case Studies
- 118 *Ecoacoustics*

In ecoacoustics, investigators use the SWI to estimate biological diversity because the SWI 119 120 combines sound richness and the abundance of sounds in each sound type into a single 121 metric. Sound richness is determined by the number of unique sound types or the number 122 of unique species that are detected in audio recordings, whereas sound abundance is 123 determined by how often each sound type or species is detected over time. A fundamental assumption of the SWI is that it measures the uncertainty of 124 125 occurrence of a random variable, such as the probability that a particular letter will appear next in a string of text (Pielou 1966). However, animal sounds are not produced at random 126 127 (Staicer et al. 1996). Rather, they exhibit diel and seasonal patterns (Staicer et al. 1996), respond predictably to non-random biotic and abiotic interference (Slabbekoorn 2004), and 128 change in response to non-random intraspecific and interspecific social interactions 129 (Bradbury and Vehrencamp 2011). This non-randomness in the context of ecoacoustics 130

- violates a fundamental assumption of the SWI (Pielou 1966; Krebs 1999).
- 132 The SWI includes in its formula the proportional contribution of each sound type to133 the total number of sounds in the sample:

134
$$SWI = -\sum_{i=1}^{s} (p_i)(\log p_i)$$

135 where s is the number of sound types or species, and p_i is the proportion of the total sample belonging to *i*th sound type or species. In this formula, p_i is multiplied by $\log p_i$ because, in 136 order to estimate the total complexity or diversity of sound types or species, it is necessary 137 138 to average the potential contributions of each sound type or species (Ulanowicz 1997). A second problem with the SWI is that its value increases in a nonlinear fashion as the 139 number of sound types or species in the sample increases (Wolda 1981; Krebs 1999; Jost 140 141 2006). As an example, Jost (2006) showed that a community with eight equally common species had a SWI value of H' = 2.0, whereas a community with 16 equally common 142 species had a SWI value of H' = 3.0. In this example, the community with 16 equally 143 common species has twice as many species and twice as much diversity as the community 144 with eight equally common species, but the ratio of the two SWI values is only 3:2. 145 146 Additionally, a common practice when using the SWI is to covert H' values into evenness values using the e^{H'} formula. This formula provides the total number of species, assuming 147 equal abundances, based on the H' value. But, for this example, the formula e^{H'} yields 7.4 148 149 species when H' = 2.0 and 20 species when H' = 3. In both cases, the estimated number of species differs from the real number (8 and 16 equally abundant species, respectively). This 150 nonlinear relationship between diversity and SWI values reduces the utility of the SWI in 151 152 comparative studies because the SWI values are not directly proportional to species richness, species abundance, or diversity. 153

A third problem is that communities with different values of richness and abundance can produce the same SWI value. Consider two communities that each contain 80 individuals. One community includes ten individuals from each of eight species, whereas the other includes 35 individuals of one species, six individuals from each of two species, five individuals from each of five species, and one individual from each of eight 159 species. Despite their obvious differences in species richness and abundance, these two 160 communities yield the same SWI value (Table 1). This is because the SWI penalizes rare species (Chao and Shen 2003) and does not fully capture other important aspects of a 161 162 community, such as richness and abundance (Allen et al. 2009; Barrantes and Sandoval 2009), thus limiting its utility in comparing communities. In contrast, the Morisita Index 163 164 considers species abundance and richness, and thus can distinguish among communities 165 with similar diversity, but which differ in these other community metrics. In this example, the similarity of the two communities, according to the Morisita Index, is 66%, a value that 166 better reflects the differences in the species richness and abundance of the two 167 communities. Diversity (as defined by SWI) is only one parameter of a community, but, on 168 its own, often provides little information. Thus, communities should be characterized by 169 170 direct measures of abundance, richness, and composition, in addition to diversity or diversity indices, such as the Morisita index (used here), NESS (normalized expected 171 species shared) index (Grassle and Smith 1976), their generalized versions (Chao et al. 172 173 2008), and Bray-Curtis (Bloom 1981) that preserve variation in each of these fundamental characteristics. It is important to mention here (although it is not the goal of this paper) that 174 the Morisita index has been criticized because its calculation is affected by species 175 176 abundance (see Ricklefs and Lau 1980; Bloom 1981; Chao et al. 2006, 2008 for discussion about this topic). However, this characteristic makes this index robust when individual 177 repertoires are not completely sampled because the most common sounds are present in the 178 sampling effort (Chao et al. 2006). 179 180

A fourth problem when using the SWI in ecoacoustic studies is that it does not provide an error estimation (*e.g.*, log-likelihood or residual sum of squares). Rather, the SWI is a single value derived from the number of sound types or species and the abundance 183 of each sound type or species at a given location. Therefore, SWI values cannot be adjusted to a particular probability distribution, which reduces their utility in comparative studies. 184 The lack of an error term also makes it difficult to calculate an effect size, which is the 185 186 basic measurement used in meta-analysis (Arngvist and Wooster 1995). Some investigators suggest that multiple recordings be obtained from the same location (or that a single 187 recording be subdivided into multiple smaller recordings), and that the jackknife or 188 189 bootstrapping approach be used to estimate confidence intervals around the mean SWI value (Adams and McCune 1979). Although confidence intervals are useful, they are not 190 the same as measures of error because confidence intervals are based on observed variation, 191 whereas error terms are based on how much observed values differ from expected values 192 193 derived from a theoretical distribution.

194

195 Animal communication

We analyzed repertoire complexity (*i.e.*, number of song types and abundance of each song
type per individual) using four simulated data sets. We chose this method because it
provides precise control over sample size, repertoire complexity, and repertoire size.

We created the four data sets such that each of them contained different repertoire 199 200 complexities: (1) a data set in which individuals' repertoires contained the same two song 201 types at various ratios (Table S1), (2) a data set in which individuals' repertoires contained the same eight song types at various ratios (Table S2), (3) a data set in which individuals' 202 repertoires contained the same 20 song types at various ratios (Table S3), and (4) a data set 203 204 in which individuals' repertoires contained between 2 and 16 song types, and in which the 205 number of songs of each song type varied among individuals (Table S4). This last data set 206 is representative of several avian species in which conspecifics have different repertoire

sizes (*e.g.*, Botero et al. 2008; Sandoval et al. 2014). The first three data sets contained 100
songs from each of 20 individuals, and the fourth data set contained 100 songs from each of
30 individuals (Tables S1-S4). These data sets were selected to illustrate species with small,
medium, and large song repertoires, and to illustrate the inability of the SWI to distinguish
among individuals with different pattern of song production.

In each of the first three data sets, we divided the 20 individuals into two groups of 212 213 10. For the first group (individuals 1-10; Tables S1-S3), we controlled the distributions of 214 songs among song types, so that they ranged from an individual having all songs 215 represented in the same proportion (*i.e.*, individual 1; Tables S1-S3) to an individual having an extremely uneven distribution of songs among song types (*i.e.*, individual 2). For the 216 217 second group (individuals 11-20; Tables S1-S3), we used the 'random' function in Excel 218 (version 2007 for Windows; Microsoft Corporation, Redmond, WA, USA) to randomly 219 create each individual's distribution of songs among the available song types. In the fourth data set, we varied the number of song types included in each individual's repertoire from 2 220 221 to 16 (Table S4). We also created distributions in which songs were distributed evenly 222 among song types for 15 individuals (ev2-ev16; Table S4), and in which they were distributed extremely unevenly among song types for the other 15 individuals (sk2-sk16). 223 224 This fourth data set was selected to illustrate how differences in song richness and 225 abundance can produce similar measures of diversity, complexity, or randomness, as quantified by the SWI. In all four data sets, we assigned songs to song types in a random 226 order, even though their probabilities of being assigned to each song type were often quite 227 228 different.

Following the approach used in recent studies of repertoire complexity (Aubin et al.
2004; Kershenbaum 2013; Palmero et al. 2014), we used the SWI to compare repertoire

complexities among individuals from the same data set. We calculated the SWI value (H')
for each individual based on the natural logarithm, and estimated its 95% confidence
interval using a bootstrap approach with 9999 random permutations. For each permutation,
one of the 100 songs of a given individual was selected at random and excluded before recalculating H'.

SWI values are difficult to interpret because they do not denote the original
biological units that were used to create them. We therefore exponentiated our SWI values
by calculating e to the power of H' to obtain biologically meaningful units (in this case,
song types), as recommended by Jost (2006). However, because most studies present only
the original SWI values, we report both the original (H') and the converted values (H_c').

241 In addition to the SWI, we used three other statistical tests to compare individuals 242 within a data set and to show that these methods provide a better characterization of 243 interindividual differences than the SWI alone. First, for data sets 1–3, we used a chi-square goodness-of-fit test to determine if the distribution of song types varied between the 20 244 245 individuals. For this test, we expect that individuals that have a similar abundance of each 246 song type would also have similar SWI values. Second, we used a Morisita index of similarity to determine whether song repertoires (richness and abundance) were similar 247 248 (values near 1) or different (values near 0) among individuals. The Morisita index incorporates repertoire size (richness) and the abundance of each song type, and its results 249 are presented using a cluster analysis. We tested for differences among the clusters of 250 individuals using one-way analysis of similarity (ANOSIM). Statistical differences 251 252 obtained with this analysis indicate that richness and abundance differ between groups of 253 individuals, and that individuals within groups have similar composition. In ANOSIM, 254 there is no set rule for defining groups, rather, groups are usually defined a priori based on

knowledge on the working system (e.g., individuals 1-5 are from one population, while 255 individuals 6–12 are from a different population, and so on). In our datasets, there was no a 256 priori knowledge about grouping structure, so we determined the grouping structure 257 258 through post hoc inspection of the cluster trees. Third, we conducted a Markov chain 259 analysis for each individual in the second data set to illustrate the potential use of this 260 technique to describe repertoire entropy (sometimes also called repertoire randomness) 261 characteristics that can also be analyzed using a second-order SWI (e.g., McCowan et al. 1999; Dayou et al. 2011; Palmero et al. 2014). Markov chain analysis reports the 262 probability that the sample was drawn from an individual in which all possible transitions 263 between song types are equally probable (*i.e.*, the choice of song type does not depend on 264 265 which song type was sung last). All statistical analyses were conducted using PAST 2.17 266 (Hammer et al. 2001).

267

268 Results first scenario

In this case, the entropy of the repertoire ranged from H' = 0.06 (H_c' = 1.05 song types) for 269 individual i18 to H' = 0.69 ($H_c' = 2.00$ song types) for individual i1 (Fig. 1a). Overall, the 270 distribution of each individual's 100 songs between the two song types differed 271 significantly among the 20 individuals ($\chi^2 = 566.77$, df = 19, p < 0.001). Individuals *i6* and 272 *i7* had exactly the same SWI values for their repertoires (Fig. 1a), yet they differed the most 273 in the proportion of each song type according to the Morisita index of similarity (Fig. 1b, 274 S1). The cluster tree showed three groups of individuals (Fig. 1b), with individuals in each 275 276 cluster being significantly more similar to each other than to individuals from other clusters (ANOSIM using Morisita scores: R = 0.85, p = 0.001). 277

279 Results second scenario

For this scenario, the entropy of the repertoire ranged from H' = 0.39 (H_c' = 1.48 song 280 types) for individual i10 to H' = 2.08 ($H_c' = 8.00$ song types) for individual i1 (Fig. 2a). 281 282 Overall, the distribution of each individual's 100 songs among the 8 song types differed significantly among the 20 individuals ($\chi^2 = 874.42$, df = 133, p < 0.001). Individuals *i3*, *i4*, 283 and *i5* had exactly the same SWI values for their repertoires (Fig. 2a), yet the abundance of 284 285 each song type in their repertoires varied by up to 20% according to the Morisita index of similarity (Fig. 2b). For individuals whose repertoires were created randomly, and whose 286 entropy values were similar (*i.e.*, had overlapping 95% confidence intervals in Fig. 2a), 287 repertoire similarities varied from only 2 to 12% according to the Morisita index (Fig. 2b, 288 S2). The cluster tree showed four groups of individuals (Fig. 2b), with individuals in each 289 290 group being significantly more similar to each other than to individuals from other groups (ANOSIM using Morisita scores: R = 0.74, p < 0.001). 291

The SWI quantifies the randomness of the distribution of items (e.g., songs) among 292 293 categories (e.g., song types). It was not designed to quantify the randomness of the order in 294 which items from different categories appear (e.g., AABB versus ABAB), though it has often been used for this purpose. Our Markov chain analysis showed that 11 individuals 295 296 produced songs in a random order (Table 1; all p > 0.1), and that 9 individuals did not 297 (Table 1; all p < 0.001). Furthermore, some individuals that produced their song repertoire in a random order had SWI values that were indistinguishable from those of individuals that 298 produced their song repertoire in a non-random order. For example, individuals *i14* and *i16* 299 300 had the same SWI values, yet *i14* produced its songs in a non-random order, while *i16* produced its songs in a random order (Table 1; Fig. 2a). Thus, the SWI does not reliably 301

distinguish individuals that produce their songs in a random order from those that producetheir songs in a non-random order.

304

305 *Results third scenario*

The entropy of the repertoire ranged from H' = 1.05 (H_c' = 2.86 song types) for individual 306 i10 to H' = 3.00 (H_c' = 20.01 song types) for individual *i1* (Fig. 3a). The distribution of 307 308 each individual's 100 songs among the 20 song types differed significantly among the 20 individuals ($\chi^2 = 1133.60$, df = 361, p < 0.001). Individual *i5* and *i3* had the same entropy 309 value (*i.e.*, H' = 2.54; $H_c' = 12.63$ song types; Fig. 3a), yet the abundance of each song type 310 in their repertoires was quite different (approximately 40% according to the Morisita index 311 312 of similarity; Fig. 3b, S3). In contrast, individuals i5 (H' = 2.53; H_c' = 12.55 song types) and i9 (H' = 2.15; H_c ' = 8.58 song types) had markedly different entropy values (Fig. 3a), 313 314 yet the abundance of each song type in their repertoires was more similar (30% according to the Morisita index of similarity; Fig. 3b). The cluster tree showed three groups of 315 316 individuals (Fig. 3b), with individuals in each group being more similar to each other than to individuals from other groups (ANOSIM using Morisita scores: R = 0.88, p < 0.001). 317 318

319 Results fourth scenario

Among the 15 individuals that had songs assigned to song types according to a skewed distribution, the entropy of the repertoire ranged from H' = 0.06 (H_c' = 1.06 song types) for individual *sk*2 to H' = 0.83 (H_c' = 2.29 song types) for individual *sk*16 (Fig. 4a). Among the 15 individuals that had their songs distributed evenly among song types, repertoire entropy ranged from H' = 0.69 (H_c' = 2.00 song types) for individual *ev*2 to H' = 2.77 (H_c' = 15.96 song types) for individual *e*16 (Fig. 4a). Individuals with nine or more song types

326 in their repertoire and a skewed distribution of songs had entropy values that were statistically indistinguishable from those of individual ev2 (based on overlapping 95% 327 confidence intervals), whose songs were distributed evenly among only 2 song types (Fig. 328 329 4a, S4). According to the Morisita index of similarity, increasing repertoire size had the 330 smallest effect on repertoire similarity when repertoires were large and songs were evenly distributed among song types (Fig. 4b). The cluster tree showed three groups of individuals 331 332 (Fig. 4b), with individuals from the same group being significantly more similar to each other than to individuals from other groups (ANOSIM using Morisita scores: R = 0.16, p =333 0.04). 334

335

336 **DISCUSSION**

Ecoacoustics is a developing field that bridges diverse areas of investigation, including 337 biodiversity, urban development, changes in landuse (e.g., mining, forestry, and 338 agriculture), and conservation (Truax and Barrett 2011; Farina and Pieretti 2012; Sueur et 339 340 al. 2012). As a complex and flourishing field, a diverse set of methods has been developed to compare biological communities based on the sounds recorded at different locations. 341 One method that has become popular for analyzing those data in recent years is the SWI. 342 343 However, as we have argued here, the SWI has several inherent problems that undermine its validity in studies of ecoacoustics. For example, when presented on its own, the SWI 344 fails to adequately describe biological communities because it does not consider the 345 specific species in a community, but, rather, reduces the number of species and the number 346 of individuals in each species to a single number. As a result, communities with different 347 species compositions, different number of species, and different distributions of individuals 348 349 among species can all have the same SWI value, despite their obvious differences. The

absence of error terms around the SWI values precludes the calculation of effect size, which is the basic measurement used in meta-analysis (Arnqvist and Wooster 1995). This makes it difficult to include results of the SWI in meta-analyses, which are very valuable for evaluating general patterns and for resolving the complex interactions that occur among animal species and other abiotic factors (*e.g.*, noise, habitat structures, or urban development). Therefore, in ecoacoustics, we encourage researchers to use or develop analyses that provide error terms, such as likelihood or odd ratios.

357 Several descriptive and statistical methods can be used to analyze the complexity or diversity of an animal's acoustic repertoire (Botero et al. 2008; Sandoval et al. 2014). The 358 chosen method depends on the question to be answered and the complexity or diversity of 359 360 the repertoire in terms of syntax, number of sound types, and the distribution of sounds 361 among sound types. The SWI conveniently reduces each individual's repertoire or the 362 repertoire of the entire community to a single value, but that value does not indicate the specific sound types in the repertoire, the sound type richness, the distribution of sounds 363 364 among sound types, or the order in which sound types are produced. Therefore, when used by itself, the SWI may not reveal fundamental differences among individuals or 365 communities. For example, it would not distinguish between an individual that sings song 366 types a and b at a 1:3 ratio and an individual that sings those same song types at a 3:1 ratio. 367 368 Furthermore, differences in SWI values can be difficult to interpret because they could simply reflect the random error created by incomplete sampling of each individual's 369 repertoire; meaningful comparisons can only be made by computing and comparing 370 371 confidence intervals for each SWI value, as advocated by Adams and McCune (1979) and as demonstrated in our examples (Figs. 1-4). However, even this method is not ideal 372 373 because the 95% confidence intervals are very wide, especially when song types are

distributed unevenly among song types (Fig. 4a). The confidence intervals also tend to
increase with increasing repertoire size (Fig. 4a). Consequently, there is a low probability
that the SWI will distinguish between repertoires of unequal complexity, especially when
songs are distributed unevenly among song types, and especially for individuals with larger
repertoires.

We recommend that a combination of techniques be used when describing and 379 380 comparing biological communities in studies of ecoacoustics, or vocal repertoires in studies of animal communication. Beginning by reporting the specific sound types or species 381 detected, the number of sound types or species detected, and the population-level 382 distribution of sounds or individuals among sound types/species. Then run a chi-square 383 goodness of fit test to test if the distribution of sounds/individuals among sound 384 385 types/species varies among individuals/locations. If it does, then a Morisita index can be 386 used to quantify similarity among individuals/locations, and an ANOSIM can be used to test for differences among any set of groups that were known a priori (e.g., two different 387 388 populations of the same species). For studies of animal communication, a Markov chain analysis can also be used to test the randomness or complexity of songs. This could be 389 conducted on the entire population, or, if the contingency table analysis was significant, 390 391 then perhaps on each individual separately.

In conclusion, the SWI provides only a poor representation of complexity inherent to the fields of ecoacoustics and animal communication. In ecoacoustics, it is important to preserve information about the number of species, species composition, and the distribution of individuals among species, since these parameters result from different and unrelated processes (Barrantes and Sandoval 2009). Yet, communities that differ greatly in these parameters can yield identical SWI values. This issue is especially important when dealing

398	with changes in species composition or conservation because not all species have the same
399	ecological role or the same conservation problems. In animal communication, the SWI is
400	also an oversimplification of biological complexity because it reduces the complexity of an
401	individual's vocal repertoire to a single value that does not reliably reflect repertoire size,
402	repertoire composition, the distribution of sounds among sound types, or the animal's
403	syntactical rules. Other statistical methods, such as the contingency table analysis, Morisita
404	index of similarity, and Markov chain analysis, are more informative and more conducive
405	for comparisons among studies.
406	
407	Acknowledgements, we thank B. McCowan and Robert E. Ulanowicz for valuable comments that
408	improved a previous draft of this paper. LS and GB also thanks to Vicerrectoría de Investigacíon,
409	Universidad de Costa Rica all the support for this project.
410	
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- Table 1. Shannon-Weiner index of diversity (H') values for two populations with the same
- 542 numbers of individuals, but different numbers of species.

Individuals	Species	Η'	Number of individual per species
80	8	2	N1 = 10, N2 = 10, N3= 10, N4=10, N5 = 10, N6 = 10, N7 = 10, N8 = 10
80	16	2	$\begin{array}{l} N1 = 35, N2 = 6, N3 = 6, N4 = 5, N5 = 5, N6 = 5,\\ N7 = 5, N8 = 5, N9 = 1, N10 = 1, N11 = 1, N12 = 1,\\ N13 = 1, N14 = 1, N15 = 1, N16 = 1 \end{array}$

548	Individual	χ2	р	Η'
549	i01	693.6	< 0.001	2.08
550	i02	518.8	< 0.001	1.88
551	i03	566.4	< 0.001	1.95
552	i04	566.4	< 0.001	1.95
553	i05	566.4	< 0.001	1.95
554	i06	598.1	< 0.001	1.92
555	i07	553.6	< 0.001	1.48
556	i08	303.5	< 0.001	1.55
557	i09	13.45	1	0.99
558	i10	0.57	1	0.39
559	i11	61.92	0.1	2.04
560	i12	58.16	0.27	2.06
561	i13	44.38	0.66	2.03
562	i14	67	0.04	2.06
563	i15	41.52	0.76	2
564	i16	51.29	0.38	2.06
565	i17	37.19	0.89	2.03
566	i18	41.7	0.76	2.02
567	i19	48.26	0.5	2.04
568	i20	39.28	0.83	2.05

Table 2. Results of Markov chain analyses for 20 individuals with eight song types in each
individual' repertoire. Shannon-Wiener entropy values (H') are also shown.





Figure 1. Analysis of 20 individuals with two song types in each individual's repertoire. (a) Results of the Shannon-Wiener entropy index. Error bars show 95% confidence intervals derived from bootstrapping. Individuals with overlapping error bars do not differ significantly in repertoire complexity. (b) Results of the Morisita similarity index. Groups used for the ANOSIM analysis (see methods) are denoted by different font type. Similarity is measured as the distance between the two individuals from their closest common node (represented by the similarity scale bar). Individuals separated only by a vertical line are identical to each other.



Figure 2. Analysis of 20 individuals with eight songs in each individual's repertoire. (a) Results of the Shannon-Wiener entropy index. Error bars show 95% confidence intervals derived from bootstrapping. Individuals with overlapping error bars do not differ significantly in repertoire complexity. (b) Results of the Morisita similarity index. Groups used for the ANOSIM analysis (see methods) are denoted by different font type. Similarity is measured as the distance between the two individuals from their closest common node (represented by the similarity scale bar). Individuals separated only by a vertical line are identical to each other. H'_c

589

 H'_c

 H_c'





Figure 3. Analysis of 20 individuals with 20 songs in each individual's repertoire. (a) Results of
the Shannon- Wiener entropy index. Error bars show 95% confidence intervals derived from
bootstrapping. Individuals with overlapping error bars do not differ significantly in repertoire
complexity. (b) Results of the Morisita similarity index. Groups used for the ANOSIM analysis
(see methods) are denoted by different font type. Similarity is measured as the distance
between the two individuals from their closest common node (represented by the similarity scale

bar). Individuals separated only by a vertical line are identical to each other.





602 Figure 4. Analysis of 30 individuals with 2 to 16 song types in each individual's repertoire. (a) 603 Results of the Shannon-Wiener entropy index. Error bars show 95% confidence intervals derived 604 from bootstrapping. Individuals with overlapping error bars do not differ significantly in 605 repertoire complexity. (b) Results of the Morisita similarity index. Groups used for the ANOSIM analysis (see methods) are denoted by different fonts. Similarity is measured as the distance 606 between the two individuals from their closest common node (represented by the similarity scale 607 bar). Individuals separated only by a vertical line are identical to each other. Individuals whose 608 609 songs were distributed evenly among song types are represented by circles (panel a) or the 610 prefix 'ev' (panel b), whereas individuals whose songs were distributed among song types 611 according to a skewed distribution are represented by triangles (panel a) or the prefix 'sk' 612 (panels b, c).



Figure S1. Relationship between Morisita index of similarity and the differences in the Shannon-

616 Wiener entropy index values for 20 individuals with two song types in each individual's repertoire.

Dots represent all pairwise comparisons. Morisita index values near 1 indicate that repertoires are

618 similar, whereas index values near 0 indicate that repertoires are different.



621

622 Figure S2. Relationship between Morisita index of similarity and the differences in the Shannon-

623 Wiener entropy index values for 20 individuals with eight song types in each individual's

624 repertoire. Dots represent all pairwise comparisons. Morisita index values near 1 indicate that

625 repertoires are similar, whereas index values near 0 indicate that repertoires are different.

626



629 Figure S3. Relationship between Morisita index of similarity and the differences in the Shannon-

630 Wiener entropy index values for 20 individuals with 20 song types in each individual's repertoire.

bots represent all pairwise comparisons. Morisita index values near 1 indicate that repertoires are

632 similar, whereas index values near 0 indicate that repertoires are different.

633



Figure S4. Relationship between Morisita index of similarity and the differences in the Shannon Wiener entropy index values for 30 individuals with 2 to 16 song types in each individual's

Wiener entropy index values for 30 individuals with 2 to 16 song types in each individual's
 repertoire. Dots represent all pairwise comparisons. Morisita index values near 1 indicate that

640 repertoires are similar, whereas index values near 0 indicate that repertoires are different.

Table S1. Data used under the first scenario of repertoire complexity, where each individual has two song types in its repertoire. The individuals in each of the three groups used for the ANOSIM analysis (see methods) are: group 1 (i5, i7, i8, i10 and i20), group 2 (i1, i2, i3, i11, i13, i14, i16, and i19), and group 3 (i4, i6, i9, i12, i15, i17, and i18).

	Individuals																			
Sound type	i1	i2	i3	i4	i5	i6	i7	i8	i9	i10	i11	i12	i13	i14	i15	i16	i17	i18	i19	i20
a	50	1	30	70	40	10	20	97	6	96	51	54	42	46	25	59	69	34	61	77
b	50	99	70	30	60	90	80	3	94	4	49	46	58	54	75	41	31	66	39	23
Total	100	100	100	100	100	100	100	100	100	100	100	100	100	100	100	100	100	100	100	100

Table S2. Data used under the second scenario of repertoire complexity, where each individual has eight song types in its repertoire. The individuals in each of the three groups used for the ANOSIM analysis (see methods) are: group 1 (i2, i3, i6, i18, and i19), group 2 (i1, i11, i12, i13, i14, i15, i16, i17, and i20), and group 3 (i4, i5, i7, i8, i9, and i10).

	Individuals																			
Sound type	i1	i2	i3	i4	i5	i6	i7	i8	i9	i10	i11	i12	i13	i14	i15	i16	i17	i18	i19	i20
a	13	1	5	15	20	1	2	1	3	2	16	12	9	9	7	11	8	9	9	9
b	13	1	5	15	20	1	2	1	3	2	10	13	14	13	15	12	10	14	8	12
c	13	1	5	15	5	1	2	1	4	16	14	13	14	16	16	12	15	5	9	17
d	13	1	15	20	5	1	2	1	18	16	14	7	18	16	17	17	7	16	14	13
e	12	1	15	20	5	1	2	24	18	16	9	15	16	11	12	9	13	10	13	13
f	12	1	15	5	15	1	30	24	18	16	19	12	14	15	7	16	17	13	13	13
g	12	1	20	5	15	47	30	24	18	16	8	15	7	10	6	9	18	15	16	7
h	12	93	20	5	15	47	30	24	18	16	10	13	8	10	20	14	12	18	18	16
Total	100	100	100	100	100	100	100	100	100	100	100	100	100	100	100	100	100	100	100	100

Table S3. Data used under the third scenario of repertoire complexity, where each individual has twenty song types in its repertoire. The individuals in each of the three groups used for the ANOSIM analysis (see methods) are: group 1 (i3, i4, and i6), group 2 (i5, i7, i8, i9, and i10), and group 3 (i1, i2, i11, i12, i13, i14, i15, i16, i17, i18, i19 and i20).

		Individuals																		
Sound type	i1	i2	i3	i4	i5	i6	i7	i8	i9	i10	i11	i12	i13	i14	i15	i16	i17	i18	i19	i20
a	5	1	5	1	13	26	2	3	3	20	4	7	10	2	6	6	5	2	3	5
b	5	1	5	1	5	7	5	2	3	20	7	6	5	5	7	5	4	3	8	5
c	5	1	5	1	13	9	2	3	3	20	3	2	4	5	5	3	4	9	5	1
d	5	1	5	1	5	3	5	4	3	20	4	4	5	6	3	4	4	5	5	5
e	5	1	5	1	13	2	2	3	3	2	8	4	6	6	5	3	2	5	5	7
f	5	1	1	1	1	5	5	4	3	2	4	7	3	4	1	4	6	3	3	6
g	5	1	1	1	13	6	2	4	3	2	9	4	3	4	4	7	4	4	6	10
h	5	1	1	1	5	1	5	6	3	2	4	8	7	4	7	2	7	4	3	2
i	5	1	1	1	5	2	10	4	3	1	4	6	7	4	6	2	4	8	6	3
j	5	1	1	1	1	7	15	2	3	1	7	7	5	3	3	6	1	3	2	5
k	5	1	1	13	13	4	18	3	7	1	7	5	3	4	9	8	9	8	11	6
1	5	1	1	13	1	2	3	7	7	1	9	2	2	8	6	6	4	6	2	6
m	5	1	1	13	1	1	2	33	7	1	2	7	8	4	9	8	2	5	6	6
n	5	1	1	13	1	3	1	3	7	1	5	5	3	6	5	4	5	3	7	7
0	5	1	1	13	1	2	1	4	7	1	3	4	3	3	6	6	8	5	5	5
р	5	1	13	5	5	2	4	2	7	1	6	2	2	7	3	5	10	7	2	8
q	5	1	13	5	1	2	3	2	7	1	2	2	8	8	3	8	4	4	3	4
r	5	1	13	5	1	5	2	3	7	1	2	4	4	7	6	3	8	4	5	4
S	5	1	13	5	1	6	6	5	7	1	6	6	2	3	3	2	3	5	6	1
t	5	81	13	5	1	5	7	3	7	1	4	8	10	7	3	8	6	7	7	4
Total	100	100	100	100	100	100	100	100	100	100	100	100	100	100	100	100	100	100	100	100

		Individuals*																												
Sound type	ev2	sk2	ev3	sk3	ev4	sk4	ev5	sk5	ev6	sk6	ev7	sk7	ev8	sk8	ev9	sk9	ev10	sk10	ev11	sk11	ev12	sk12	ev13	sk13	ev14	sk14	ev15	sk15	ev16	sk16
а	50	1	33	1	25	1	20	1	16	1	14	1	13	1	11	1	10	1	9	1	8	1	7	1	7	1	6	1	6	1
b	50	99	33	1	25	1	20	1	16	1	14	1	13	1	11	1	10	1	9	1	8	1	7	1	7	1	6	1	6	1
c	0	0	34	98	25	1	20	1	17	1	14	1	13	1	11	1	10	1	9	1	8	1	7	1	7	1	6	1	6	1
d	0	0	0	0	25	97	20	1	17	1	14	1	13	1	11	1	10	1	9	1	8	1	7	1	7	1	6	1	6	1
e	0	0	0	0	0	0	20	96	17	1	14	1	12	1	11	1	10	1	9	1	8	1	8	1	7	1	6	1	6	1
f	0	0	0	0	0	0	0	0	17	95	15	1	12	1	11	1	10	1	9	1	8	1	8	1	7	1	7	1	6	1
g	0	0	0	0	0	0	0	0	0	0	15	94	12	1	11	1	10	1	9	1	8	1	8	1	7	1	7	1	6	1
h	0	0	0	0	0	0	0	0	0	0	0	0	12	93	11	1	10	1	9	1	8	1	8	1	7	1	7	1	6	1
i	0	0	0	0	0	0	0	0	0	0	0	0	0	0	12	92	10	1	9	1	9	1	8	1	7	1	7	1	6	1
j	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	10	91	9	1	9	1	8	1	7	1	7	1	6	1
k	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	10	90	9	1	8	1	7	1	7	1	6	1
1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	9	89	8	0	7	1	7	1	6	1
m	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	8	88	8	1	7	1	7	1
n	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	8	87	7	1	7	1
0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	7	86	7	1
р	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	7	85
Total	100	100	100	100	100	100	100	100	100	100	100	100	100	100	100	100	100	100	100	100	100	100	100	99	100	100	100	100	100	100

Table S4. Data used under the fourth scenario of repertoire coomplexity. * ev = even distribution of the sound types. sk = skewed distribution of the sound types.