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# Estimating repertoire size in a songbird: A comparison of three

## techniques

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Running head: Comparing repertoire size estimation techniques

1 ABSTRACT

Many animals produce multiple types of breeding vocalizations that, together, constitute a 2 vocal repertoire. In some species, the size of an individual's repertoire is important because it 3 correlates with brain size, territory size, or social behaviour. Quantifying repertoire size is 4 challenging because the long recordings needed to sample a repertoire comprehensively are 5 difficult to obtain and analyze. The most basic quantification technique is simple enumeration, 6 where one counts unique vocalization types until no new types are detected. Alternative 7 techniques estimate repertoire size from subsamples, but these techniques are useful only if 8 they are accurate. Using 12 years of acoustic data from a population of rufous-and-white wrens 9 in Costa Rica, we used simple enumeration to measure the repertoire size for 40 males. We then compared these to the estimates generated by three estimation techniques: Curve Fitting, Capture-Recapture, and a new technique based on the Coupon Collector's Problem. To 12 understand how sampling effort affects the accuracy and precision of estimates, we applied 13 each technique to six different-sized subsets of data per male. When averaged across subset 14 sizes, the Capture-Recapture and Coupon Collector techniques showed the highest accuracy, whereas the Curve Fitting technique underestimated repertoire size. Precision (the average absolute difference between the estimated and true repertoire size) was significantly better for 17 the Capture-Recapture technique than the Coupon Collector and Curve Fitting techniques. Both 18 accuracy and precision improved as subset size increased. We conclude that Capture-Recapture 19 is the best technique for estimating the sizes of small repertoires.

21 *Key words:* Capture-Recapture, Coupon Collector's problem, Curve Fitting, repertoire size,

22 simple enumeration, vocal repertoire

## 23 INTRODUCTION

Variation in vocal characteristics is associated with fitness in many species. For example, 24 structural variation in vocalizations can signal fighting ability and aggression (Linhart, Slabbekoorn, and Fuchs, 2012), facilitate adaptive antipredator responses (Manser, 2013), and enable animals to communicate effectively in the presence of variable background noise 27 (Slabbekoorn, 2013). Many animals have multiple types of breeding vocalizations that they can 28 produce, and, together, these constitute an animal's vocal repertoire. Repertoire sizes vary 29 considerably within species and populations (e.g. Peters et al., 2000), and this variation has 30 been correlated with reproductive success (e.g. Reid et al., 2004), territory size (e.g. Aweida, 1995), and cognitive abilities (e.g. Sewall, Soha, Peters, and Nowicki, 2013). Our understanding of the adaptive significance of animal repertoires hinges on accurate and precise quantification 33 of repertoire size. 34

Determining an animal's repertoire size can be a challenging task. The most basic technique is simple enumeration, which involves counting the number of unique types of 36 vocalizations that an individual produces. Ideally, an individual would be followed for its entire 38 lifetime to ensure that no vocalizations are missed. Because this is impractical, a rule must be established to limit sampling effort to a practical level. The sampling effort required for simple 39 enumeration should reflect the effort typically needed to quantify an individual's entire 40 repertoire, based on previous findings that involve thorough recordings. If no previous findings 41 exist, then the sampling effort should be high enough that the researcher obtains many new 42 recordings without detecting any new vocalization types. The amount of effort required to 43 quantify repertoire size using simple enumeration is influenced by the size of the animal's 44

| 45 | repertoire, the pattern with which the animal selects its vocalizations, the frequency with which     |
|----|---|
| 46 | the animal vocalizes, and whether an animal is a closed-ended learner (i.e. all songs are learned     |
| 47 | early in life and adult repertoire size is fixed) or an open-ended learner (i.e. songs continue to    |
| 48 | be learned throughout life). Simple enumeration can work well for species with small repertoire       |
| 49 | sizes, species that cycle through their entire repertoire cyclically, and species that vocalize often |
| 50 | (Botero et al., 2008). Simple enumeration requires much greater effort for species with larger        |
| 51 | repertoires, species that choose different types of vocalizations with different probabilities or a   |
| 52 | broader range of probabilities, and species that vocalize rarely.                                     |
| 53 | Several estimation techniques have been developed to reduce the amount of effort                      |
| 54 | required to obtain an accurate measure of repertoire size. Two common techniques are Curve            |
| 55 | Fitting and Capture-Recapture. The Curve Fitting technique uses the formula described by              |
| 56 | Wildenthal (1965) to fit a line of best fit to a small subset of data. The horizontal asymptote of    |
| 57 | the line then becomes the repertoire size estimate. Curve Fitting has been used for repertoire        |
| 58 | size estimation in several species (Derrickson, 1987, Botero et al., 2008).                           |
| 59 | The Capture-Recapture technique involves a different approach that is based on a                      |
| 60 | comparison of the number of unique types of vocalizations recorded during two or more                 |
| 61 | sampling occasions. The proportion of vocalization types from an initial sample that are              |
| 62 | observed again in subsequent samples is then used to estimate total repertoire size                   |
| 63 | (Baillargeon & Rivest, 2007). Capture-Recapture has been popular for estimating the sizes of          |
| 64 | populations in ecological studies, but proves equally useful for estimating animals' repertoire       |
| 65 | sizes (Garamszegi et al., 2002, Garamszegi et al., 2005).   |

| 66 | Previous studies on the accuracy of Curve Fitting and Capture-Recapture techniques                  |
|----|---|
| 67 | have yielded inconsistent findings. Garamszegi et al. (2005) demonstrated that Capture-             |
| 68 | Recapture could accurately estimate a bird's syllable repertoire size using only 15 songs. The      |
| 69 | method was especially useful for species with large repertoires and heterogeneous selection         |
| 70 | probabilities (Garamszegi et al. 2005). In another study that focused on species with large         |
| 71 | repertoire sizes ( $\geq$ 160 element types), Botero et al. (2008) found that Capture-Recapture and |
| 72 | Curve Fitting were both inaccurate when the sample size was small, and that they only became        |
| 73 | accurate when the sample size was so large that simple enumeration was also feasible (Botero        |
| 74 | et al. 2008).   |
| 75 | A new estimation technique based on the Coupon Collector's Problem (Erdös and Rényi,                |
| 76 | 1961; Feller, 1968; Dawkins, 1991) was recently debuted by Kershenbaum, Freeberg, and               |
| 77 | Gammon (2015). The Coupon Collector's Problem describes a situation in which all items in a         |
| 78 | set must be collected, and where sampling occurs with replacement. Under this model, the            |
| 79 | initial items are collected rapidly, and the last few items take much more extensive sampling to    |
| 80 | acquire. This situation has obvious parallels to the sampling of an animal's vocal repertoire,      |
| 81 | particularly when the animals select their vocalization types at random (Kershenbaum et al.,        |
| 82 | 2015). Observed repertoire size grows rapidly at the beginning of sampling, but then tapers off     |
| 83 | as more of the repertoire is sampled, until it plateaus when the entire repertoire has been         |
| 84 | sampled. Using a modification of the Coupon Collector's Problem that accounts for unequal           |
| 85 | probabilities of each song type (i.e. heterogeneous selection probability), Kershenbaum et al.      |
| 86 | (2015) showed that this technique is a more accurate predictor of repertoire size than other        |
| 87 | estimation techniques for species with heterogeneous selection probability. Their study             |

estimated repertoire sizes at the population level, rather than the individual level, and so
 whether the Coupon Collector technique provides accurate estimates of the repertoire sizes of
 individual animals remains to be studied.

In this study, we compare repertoire size estimation techniques by analyzing historical repertoire size data from a population of rufous-and-white wrens (Thryophilus rufalbus). We compare the Curve Fitting, Capture-Recapture, and Coupon Collector techniques in terms of their ability to produce repertoire size estimates that match with the results from extensive 94 simple enumeration. Rufous-and-white wrens are neotropical songbirds found in forests throughout western Central America and northwestern South America. Males of this species are closed-ended learners that sing one song type repeatedly before switching to a different 97 song type, and may cycle through the same song types many times before singing their entire 98 repertoire (i.e. an eventual variety, non-cyclic singing style; Mennill & Vehrencamp 2005, Hennin et al. 2009). When song type switches occur, certain song types are selected more often than others, giving a heterogeneous selection probability to each song type in their repertoire (unpublished data). Repertoire estimation techniques are thought to perform poorly when animals are undersampled (Derrickson 1987) or when they do not select song types with equal probability (Kroodsma 1982); this makes rufous-and-white wrens an interesting test case for 104 studying these three estimation techniques.

Our first goal was to determine the repertoire sizes of male rufous-and-white wrens using twelve years of historical data collected in the field in Costa Rica. Many of our study animals have been recorded extensively, and we could quantify their repertoire size with confidence using simple enumeration. Our second goal was to compare the accuracy and precision of repertoire size estimations from the Curve Fitting, Capture-Recapture, and Coupon
 Collector techniques. We applied these techniques to different-sized subsets of our data and
 compared the repertoire size estimates to the repertoire size we determined through simple
 enumeration, which we used as a proxy for the animals' true repertoire sizes.

114 METHODS

## 115 **Recording Vocal Repertoires**

Data were collected at Sector Santa Rosa, Area de Conservación Guanacaste, Costa Rica 116 (10°40' N, 85°30' W), where our research group has been conducting a long-term study of communication behaviour in a colour-banded population of rufous-and-white wrens since 118 2003. We analyzed data from 40 male wrens that we recorded during 1 to 7 successive 119 breeding seasons (average  $\pm$  SE: 3.7  $\pm$  0.2) between 2003 and 2014. Birds were recorded between March and July of each year, coinciding with the onset of the breeding season of this species, when male vocal output reaches its peak (Topp & Mennill 2008). Birds were captured in their territories using mist nets and then banded with a unique combination of three 123 124 coloured leg bands and a metal band to facilitate identification in the field. Rufous-and-white wrens are renowned for their vocal duets (Mennill & Vehrencamp 2008, Kovach et al. 2014), but we focused the current analyses on the vocalizations produced by males (both songs produced as solos and as contributions to duets), given their high song output and our 127 extensive sampling of their songs (Mennill & Vehrencamp 2005; Topp & Mennill 2008). 128

### 129 Analysis of Field Recordings

| 130 | We collected two types of field recordings: focal recordings and automated recordings.             |
|-----|--|
| 131 | Focal recordings involved a recordist following a male through his territory at distances of 10 to |
| 132 | 30m, dictating the bird's identity after each song. All focal recordings were collected between    |
| 133 | 0445 h and 1100 h. Focal recordings were collected with a shotgun microphone (Sennheiser           |
| 134 | MKH70 or ME67) and a solid-state digital recorder (Marantz PMD660 or PMD670; 22,050 Hz             |
| 135 | sampling rate, 16-bit encoding accuracy, WAVE format). Focal recordings were collected every       |
| 136 | year between 2003 and 2014, and they comprise the majority of recordings in this analysis          |
| 137 | (approximately 60%).   |
| 138 | To complement focal recordings, and to sample birds' repertoires over longer periods               |
| 139 | than was possible with focal recordings, we collected automated recordings with three              |
| 140 | different types of equipment, all used to sample birds' songs at times when focal recordists       |
| 141 | were not present. (1) Microphone array recordings were collected in 2003 and 2004 by placing       |
| 142 | an array of eight stationary omni-directional microphones throughout birds' territories            |
| 143 | (sampling frequency: 22,050 Hz; full equipment details in Mennill et al., 2006). (2) Automated     |
| 144 | recorders consisting of elevated omni-directional microphones (Sennheiser ME62) and solid-         |
| 145 | state digital recorders (Marantz PMD670) were placed near the centre of the focal pair's           |
| 146 | territory in 2007 through 2010 (sampling frequency: 44,100 Hz; full equipment details in           |
| 147 | Mennill, 2014). (3) Automated Song Meter recorders (model: SM2-GPS, Wildlife Acoustics Inc.,       |
| 148 | Concord, Massachusetts, USA) were placed in the centre of a pair's territory in 2011-2014,         |
| 149 | usually within 10m of the focal pair's nest (sampling frequency: 22,050 Hz; full equipment         |
| 150 | details in Mennill et al. 2012). We confirmed the identities of the birds in these unattended,     |
| 151 | automated recordings by ensuring that the song types matched between the focal recordings          |

and the automated recordings; in all cases the songs recorded with the automated recorders unambiguously matched with the songs in the focal recordings of the known male from the same area. We distinguished between the voices of males versus females following previously 154 established criteria (see Mennill and Vehrencamp, 2005). Our ongoing field studies involve resighting the birds throughout the field season to monitor their breeding behaviour, and we ensured that focal animals were located in the same territory before and after automated recordings were collected. Given that our study birds have large breeding territories (territory 158 sizes range from 5678  $\pm$  548 m<sup>2</sup> to 13497  $\pm$  1043 m<sup>2</sup>; Osmun and Mennill, 2011, Mennill and 159 Vehrencamp, 2008), with substantial undefended spaces between adjacent territories (Osmun and Mennill 2011), our automated recorders placed centrally within birds' territories recorded only the target individuals. Any songs produced by rare territorial intruders were readily distinguished from the resident birds by cross-referencing repertoire data of neighbouring animals; even though song types are shared between individuals (Mennill & Vehrencamp 2005), 164 shared song types have individually distinctive characteristics.

## 166 Assigning Songs to Song Types

Rufous-and-white Wrens have vocal repertoires of songs, where each song type is readily classified into different song types based on the visual and aural characteristics of the three sections of their song: the introductory syllables, trill notes, and terminal syllables (as in Mennill & Vehrencamp 2005; Barker 2008). Following previous work by Barker (2008), songs were classified manually into types by comparing structural characteristics such as syllable length, minimum and maximum frequencies, frequency of maximum amplitude, bandwidth, and inter-syllable interval for the three song sections. In an analysis of song type categorization that relied on discriminant analysis with cross-validation, Barker (2008) showed that fine
 structural measurements are useful for accurately distinguishing different song types.

We annotated the audio files from all focal and automated recordings in SYRINX-PC 176 sound-analysis software (J. Burt, Seattle, Washington, USA). We annotated each song and 177 recorded its song type, manually comparing each song to a library of all previously recorded 178 song types from that animal. When a bird produced a song that had a different song type from 179 the previous song, we counted it as a song type switch. We determined the repertoire size of each bird from the total number of song types recorded throughout the entire study for that 181 bird. Using these data, we constructed accumulation curves that showed the number of song type switches sampled on the x-axis versus the number of unique song types detected on the y-183 axis for each bird (Figure 1). Rather than using the total number of songs recorded, we used 184 song type switches as the unit of interest when calculating repertoire size (as in other studies, for example, Valderrama et al. 2008; Sosa-López & Mennill 2014a, 2014b). We did this because rufous-and-white wrens sing with eventual variety, repeating a given song type, on average, 187 eleven times before switching to a new song type (Mennill & Vehrencamp, 2005). Indeed, an 188 animal may sing a specific song type more than 100 times in a row before switching to a new song type, leading to large plateaus in song type collection if sampling effort is measured relative to number of songs sung instead of number of song type switches. Within these long bouts of repeated songs, the song type of subsequent songs are not independent. For this 193 reason, we treated song type switches as our unit of analysis.

We used simple enumeration to measure the actual repertoire size of each rufous-andwhite wren because individuals used in this study had been recorded extensively (see Results). This estimate was used as the benchmark to which the other three techniques were compared. Only individuals with 150 or more recorded song type switches were used in the analysis. We chose this number because 95% of the individuals had no new song types discovered after 150 song type switches using simple enumeration.

200 **Repertoire Size Estimation** 

To determine the effect of sampling effort on the accuracy of each estimation technique, we created subsets of the data for each bird, using the first 25, 50, 75, 100, 125, and 150 song type switches recorded from each individual. This allowed us to examine the estimates produced by each technique from different amounts of sampling effort. We used R (R Core Team, 2014) to generate data subsets and to generate all repertoire size estimates. The raw data and the relevant R code are included in the online supplementary material.

For the Curve Fitting technique, we generated prediction curves for each possible repertoire size between 1 and 30 song types (i.e. a range that encompassed repertoire sizes we have encountered in our population in the last 12 years). We used the formula presented in Wildenthal (1965):

211 
$$n = N (1 - e^{-1/N})$$

where *n* is the number of unique song types expected in a sample containing *T* song type switches; *N* is the assumed repertoire size. Thus, for each possible repertoire size between 1 and 30 song types, we generated a unique curve with an asymptote at that value. We applied an iterative process in which we generated a predictive model for each possible repertoire size, and then assessed the fit of each model by comparing it to the observed data using a least
squares technique. Specifically, for each subset size and for each male, we selected the model
that generated the smallest value when the absolute differences between the predicted and
observed values were summed across all song type switches. The *N* from this model became
the best estimate of repertoire size.

For the Capture-Recapture technique, we used *Rcapture* (R package; Rivest & Baillargeon, 2014) to estimate repertoire size. For each combination of male and subset size, we created a capture history that indicated which song types were captured during which 223 capture occasions (0 = not captured; 1 = captured). Following Garamszegi et al. (2005) and 224 Botero et al. (2008), we defined a capture occasion as 5 song type switches, which divided evenly into all of our subset sizes. Our Capture-Recapture models were based on a closed 226 population, since our preliminary analyses suggest that repertoire size does not change throughout an adult's lifetime in this species (i.e. Rufous-and-white Wrens are closed-ended 228 learners; Mennill & Vehrencamp 2015; DJM unpublished data). Rcapture can incorporate 229 several different sources of variation that can each affect capture probabilities (Baillargeon & Rivest, 2007). We used Darroch's  $M_h$  model, which allows the probability of capture to vary among units (Darroch et al. 1993). This model thereby accounts for the possibility of common and rare song types when predicting repertoire size. 233

The Coupon Collector's Problem is based on the idea of collecting a set of coupons that are hidden in cereal boxes (Dawkins 1991; Feller 1968). If there are *N* different coupons, it estimates the probability of collecting exactly *i* different coupons after purchasing *m* cereal boxes. The coupons are drawn at random and with replacement. For our study, we used the Coupon Collector's Problem to estimate the probability of observing *i* of *N* different song types after sampling *m* song type switches. We implemented the Coupon Collector's Problem using a Monte Carlo simulation. For each possible repertoire size (*N*), and for each possible number of song type switches (*m*), we drew 100,000 independent samples. Each sample contained *m* songs and was drawn at random and with replacement from the repertoire of *N* song types. As in Kershenbaum et al. (2015), we modified the Coupon Collector's Problem to allow for unequal probabilities of song type selection. We set the probability of selecting each song type based on a Zipfian distribution, which has been used in previous studies to model the frequency of words in human languages, as well as the frequency of song types in avian vocal repertoires (Zipf 1949; Lemon & Chatfield 1973). Probabilities are calculated by the formula:

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$$p(k; s, N) = \frac{1/k^s}{\sum_{n=1}^{n=N} (1/n^s)}$$

where p(k; s, N) is the probability of selecting the  $k^{th}$  most common song type from a repertoire of N song types; s is the absolute value of the slope of the regression of the frequency of each song type on its corresponding rank, when plotted on a log-log scale. We used our raw data to calculate s for each subset size included in our analyses (25, 50, 75, 100, 125, or 150 song type switches). For each possible repertoire size (i.e. 1 to 30), and for each possible number of song type switches (i.e. 1 to 150), we calculated the expected number of song types as the average number of song types observed among the 100,000 samples. We used these values to create a prediction curve for each repertoire size. As in our analysis of the Curve Fitting technique, we assessed the fit of each prediction curve by comparing it to the observed data with a least squares technique. The *N* from the model that minimized the least squares was selected as the
 best estimate of repertoire size.

### 260 Statistical Analysis

We used a linear mixed-effects model in the R package *nlme* (Bates et al. 2015) to assess the effects of estimation technique and subset size on the accuracy of repertoire size estimates. We defined "accuracy" as the average difference between the repertoire size estimates generated with a particular technique and the true repertoire sizes determined through simple 264 enumeration. In general, smaller deviations from zero indicated better accuracy; negative values indicated that a method was underestimating the true repertoire size, whereas positive values indicated that a method was overestimating the true repertoire size. We included the differences as a dependent variable in our analysis, and the estimation technique (i.e. Curve 268 Fitting, Capture-Recapture, and the Coupon Collector technique), subset size (as a covariate), and 2-way interaction as independent variables with fixed effects. We did not include an intercept for the fixed effects because the hypothesized difference between the estimated and 271 observed repertoire sizes was zero. To facilitate the interpretation of model coefficients, we 272 centered subset size on zero. Bird identity was included as a subject variable with random 273 intercepts to account for repeated measurements from the same individuals. We fit the model 274 using restricted maximum likelihood estimation, and concluded that a particular estimation technique was accurate if the difference between its repertoire size estimates and the true repertoire sizes could not be distinguished statistically from zero.

We used a similar analysis to assess the effects of estimation technique and subset size on the precision of repertoire size estimates. In this study, we consider precision to be a

| 280 | measure of consistency in estimation. In estimating repertoire size, one might generate some    |
|-----|---|
| 281 | overestimates and some underestimates of true repertoire size, but an average value that        |
| 282 | matches the true repertoire size; this is a situation with high accuracy, but low precision. We |
| 283 | defined "precision" as the average absolute difference between the repertoire size estimates    |
| 284 | generated from a particular technique and the true repertoire sizes determined through simple   |
| 285 | enumeration. Smaller differences in these absolute values would indicate more consistency in    |
| 286 | the estimation of repertoire size, and therefore better precision. We again used a linear-mixed |
| 287 | effects model as in our analysis of accuracy (above). We compared precision among the three     |
| 288 | estimation techniques using Tukey post-hoc comparisons, which we implemented in the R           |
| 289 | package <i>multcomp</i> (Hothorn et al. 2008).  |
| 290 | All tests were two-tailed, and results were considered significant when $p \leq 0.05$ . Both    |
| 291 | models complied with the parametric assumptions of linearity, homoscedasticity, and             |
|     |   |

normality, as revealed by visual inspection of residual plots.

## 293 **RESULTS**

## 294 Enumerated Repertoire Size

Simple enumeration showed that the 40 male rufous-and-white wrens produced an average of  $11.4 \pm 0.3$  song types each (mean  $\pm$  SE; range: 8 – 15 song types), which is in accordance with a previous enumeration study of this species (Mennill and Vehrencamp, 2005). These results were based on extensive recordings of each individual (e.g. Figure 1), containing an average of  $3619 \pm 374$  songs (mean  $\pm$  SE; range: 744 - 11691) and  $447 \pm 43$  song type switches (mean  $\pm$  SE; range: 154 - 1882).

## 301

# Accuracy of Repertoire Size Estimates

| 302 | Estimation technique had a significant effect on the accuracy of repertoire size                                    |
|-----|---|
| 303 | estimates (linear mixed-effects model: $F_{3,675}$ = 11.5, $p < 0.001$ ; Fig. 2). The Capture-Recapture             |
| 304 | technique generated repertoire size estimates that were not statistically different from animals'                   |
| 305 | true repertoire sizes ( $t_{675}$ = -1.1, $p$ = 0.283; 95% CI for the difference: -0.8 – 0.2 songs types),          |
| 306 | underestimating the true repertoire size by only 0.3 $\pm$ 0.3 song types (mean $\pm$ SE; Table 1; Fig.             |
| 307 | 2). The Coupon Collector technique also generated repertoire size estimates that were not                           |
| 308 | significantly different from animals' true repertoire sizes ( $t_{675}$ = 0.8, $p$ = 0.444; 95% CI: -0.8 – 0.3      |
| 309 | song types), underestimating the true repertoire size by only 0.2 $\pm$ 0.3 song types. In contrast,                |
| 310 | the Curve Fitting technique significantly underestimated repertoire size, with an average                           |
| 311 | repertoire size estimate that was 1.0 $\pm$ 0.3 song types below the true repertoire size ( $t_{675}$ = -3.4,       |
| 312 | <i>p</i> = 0.002; 95% CI for the difference: -1.5 – -0.4 song types; Table 1; Fig. 2).                              |
| 313 | Subset size had a significant effect on the accuracy of repertoire size estimates, with                             |
| 314 | larger subset sizes producing more accurate estimates for all estimation techniques. This effect                    |
| 315 | was manifested through a significant interaction between estimation technique and subset size                       |
| 316 | (estimation technique: $F_{3,675}$ = 11.5, $p < 0.001$ ; subset size: $F_{1,675}$ = 2.0, $p < 0.001$ ; interaction: |
| 317 | $F_{2,675}$ = 14.8, $p < 0.001$ ). Specifically, the Curve Fitting and Capture-Recapture techniques tended          |
| 318 | to underestimate repertoire size more at smaller subset sizes than at larger subset sizes. In                       |
| 319 | contrast, the Coupon Collector technique tended to overestimate repertoire size more at                             |
| 320 | smaller subset sizes than at larger subset sizes (Table 1; Fig. 2).   |

## 321 Precision of Repertoire Size Estimates

The precision of repertoire size estimates was affected significantly by estimation technique (linear mixed-effects model:  $F_{3,675}$  = 13.3, p < 0.001), subset size ( $F_{1,675}$  = 201.0, p < 0.001) 0.001), and the 2-way interaction between them ( $F_{2,675} = 6.0$ , p = 0.003). The precision of the 324 Capture-Recapture technique was 0.9 ± 0.2 song types (mean ± SE; 95% CI: 0.5 – 1.4 song types), which was significantly better than the Coupon Collector technique  $(1.4 \pm 0.2 \text{ song})$ types; 95% CI: 0.9 - 1.9 song types; Tukey post-hoc comparison: Z = 6.3, p < 0.001; Table 1), but was statistically indistinguishable from the Curve Fitting technique (1.2 ± 0.2 song types; 95% 328 CI: 0.7 - 1.7 song types; Tukey post-hoc comparison: Z = 2.2, p = 0.066). The precision of the 329 Curve Fitting technique was statistically indistinguishable from the precision of the Coupon Collector technique (Tukey post-hoc comparison: Z = -1.5, p = 0.314). Precision improved with increasing subset size for all three techniques, although it improved more dramatically for the Capture-Recapture and Coupon Collector techniques than it did for the Curve Fitting or Capture-Recapture techniques (Table 1; Fig. 3). 334

## 335 DISCUSSION

Our comparison of three techniques for estimating song repertoire sizes of male rufousand-white wrens revealed that the Capture-Recapture and Coupon Collector techniques produced more accurate estimates than the Curve Fitting technique, and that the Capture-Recapture technique produced more precise estimates than the Coupon Collector and Curve Fitting techniques. Both Capture-Recapture and Coupon Collector estimates were statistically indistinguishable from actual repertoire size values based on simple enumeration, whereas Curve Fitting estimates consistently underestimated the birds' repertoire sizes. Therefore, we recommend using either Capture-Recapture or Coupon Collector estimation techniques for generating accurate estimations of repertoire size, particularly for species with small or medium sized repertoires, heterogeneous song type selection probability, and closed-ended learning, like the rufous-and-white wren.

The Capture-Recapture technique had the best performance of the three techniques, 347 providing estimates that were statistically indistinguishable from our enumerated calculations 348 of repertoire size, and doing so even with a small sampling effort. The Capture-Recapture 349 technique estimated repertoire size to within 0.02 to 0.60 song types, and provided an exceptionally accurate estimate of repertoire size with 100 or more song type switches (Figure 2). With subsets of just 25 song type switches, the repertoire size estimates derived from the Capture-Recapture technique provided truer estimates than the Curve Fitting technique, as did the Coupon Collector technique (Figure 2). Furthermore, the Capture-Recapture technique had 354 significantly better precision than the other two estimation techniques. Although precision was similar for the three estimation techniques with small subsets of data, the Capture-Recapture technique surpassed the precision of the other two techniques at higher sampling levels (Figure 3). Our conclusions are consistent with Garamszegi et al. (2005) who provided evidence that 358 Capture-Recapture is a compelling technique for estimating repertoire size. 359

The Coupon Collector technique is a newer estimation technique than the other two we explore here. In the only other published study of the Coupon Collector technique, Kershenbaum et al. (2015) found that this technique provided better estimates than the Curve Fitting and Capture-Recapture techniques. Kershenbaum et al. (2015) generated estimates for

the very large repertoire sizes that exist among a population of animals, instead of the relatively 364 small repertoire sizes found within individuals. Our study is the first to assess the Coupon Collector technique for estimating the repertoire sizes of individual animals. This technique was the only technique that we explored here to over-estimate repertoire size, which occurred only at our smallest sampling level (25 song type changes). At all higher sampling levels, the Coupon 368 Collector technique generated accurate estimates of repertoire size. Overall, the Coupon 369 Collector technique generated estimates with similarly high accuracy to the Capture-Recapture technique, but with low accuracy at small sample sizes, and lower precision at all sample sizes. The Curve Fitting technique produced estimates that underestimated repertoire size by 372 an entire song type. This underestimation likely arose due to uncommon song types present in 373 the repertoires of many rufous-and-white wrens. The Curve Fitting equation devised by 374 Wildenthal (1965) cannot account for uncommon song types because it is strongly affected by the rapid presentation of common song types early in the sample. As sampling effort increased, the Curve Fitting technique produced estimates with better accuracy and precision. Botero et 377 al. (2008) also explored the Curve Fitting technique for repertoire estimation and drew similar 378 conclusions that this technique underestimates repertoire size, especially when sampling effort 379 is small. Many of our estimations resulted in under-estimates of repertoire size, including the

Curve Fitting technique estimations at all sampling levels, and the other two estimation techniques at some sampling levels. Estimation techniques that under-estimate repertoire size may still be well-suited for determining an individual's biologically relevant repertoire size. For example, some birds in our study had song types that were only detected after thousands of

songs and hundreds of song type switches had already been recorded. Additionally, some song types were very rare, and made up less than 0.1% of a bird's song production, occurring a few times across multiple field seasons. Songs that are sung so infrequently that they require extensive sampling to detect may have little impact on the bird's life history (Derrickson, 1987). For example, in sedge warblers, repertoire size affects mate attraction (Buchanan and Catchpole, 1997), so if females do not take the time to listen for rare song types, then rare song types will have little to no impact on mate choice. Additionally, individuals may modify their song type selection based on social contexts, and this could lead to a further decrease in an individual's effective repertoire size. For example, Trillo and Vehrencamp (2005) found that 394 banded wrens modify their repertoire use in the presence of females to increase the production of song types with specific acoustic characteristics and to song type match with neighbouring males. Similarly, Hennin, et al. (2009) found that male rufous-and-white wrens use a subset of their total repertoire when they are trying to attract a mate. Techniques that 398 consistently under-estimate repertoire size, as we have revealed for the Curve Fitting technique 399 here, may offer realistic estimates of how other birds assess an individual's repertoire size by 400 ignoring rare song types or song types that are not used in specific social contexts. 401 Overall, we found that the Capture-Recapture and Coupon Collector techniques provide 402 the most accurate estimates of repertoire size, and that the Capture-Recapture technique 403

provides the most precise estimates of repertoire size. The Curve Fitting technique did not
 perform as well, tending to underestimate repertoire size to a statistically significant degree at
 smaller sample sizes. Future research should explore the use of Capture-Recapture for
 estimating actual repertoire size in other species with small repertoire sizes and heterogeneous

song type probabilities. Curve Fitting and Coupon Collector techniques may be useful for
estimating an individual's biologically relevant repertoire size in contexts where rare song types
have little to no impact.

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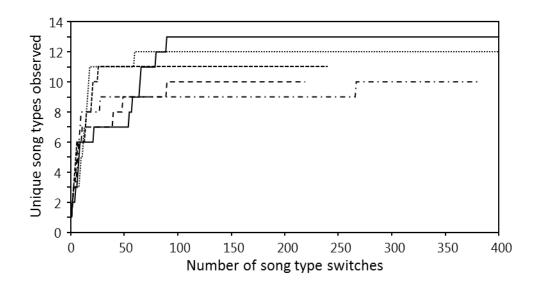
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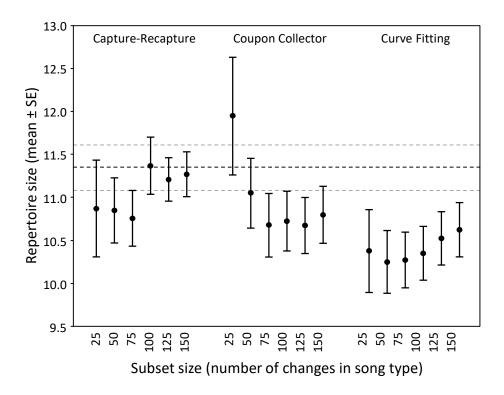
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Figure 1. Simple enumeration data showing repertoire size estimates for five example male
rufous-and-white wrens. Sampling effort (number of song type switches recorded) is on the xaxis and number of unique song types detected is on the y-axis. The large plateaus in the graph,
where the number of unique song types does not increase despite large increases in sampling
effort, suggest that a bird's repertoire has been sampled in its entirety. Note that in rare cases,
such as the lowest curve, unique songs are detected even after extensive sampling.



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Figure 2. Estimated repertoire sizes from three different estimation techniques (Capturerecapture, Coupon Collector, and Curve Fitting techniques) for 40 male rufous-and-white wrens. For each of the three estimation techniques, the error bars show estimated repertoire sizes for each of six subset sizes: 25 (left), 50, 75, 100, 125, and 150 (right) song type switches. True repertoire sizes were measured through simple enumeration and are depicted by the hatched lines (mean = black hatched line; mean ± SE = gray hatched lines). Accuracy is defined as the average difference between the repertoire size estimated with a particular technique and subset size and the true repertoire size determined through simple enumeration. Smaller differences indicate better accuracy.

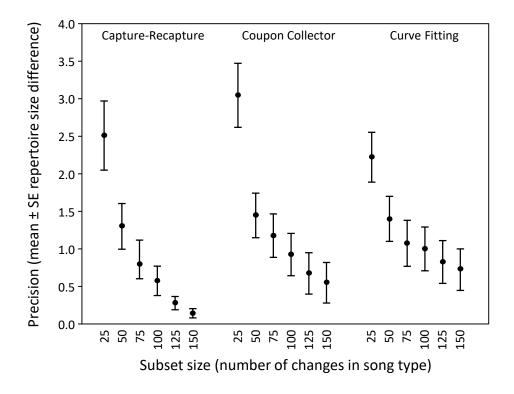




Figure 3. Effects of estimation technique and subset size on the precision of repertoire size
estimates for 40 male rufous-and-white wrens. For each estimation technique, we show the
precision of repertoire size estimates derived from subsets of 25 (left), 50, 75, 100, 125, and
150 (right) song type switches. Precision is defined as the average absolute difference between
true repertoire size, as determined through simple enumeration, and the repertoire size
estimated with a given technique and subset size; smaller absolute differences indicate better
precision.

**Table 1.** Model coefficients from the analyses of the accuracy and precision of repertoire size

| 549 estimates. |  |
|----------------|--|
|----------------|--|

| Dependent<br>Variable | Parameter                                   | Model<br>Coefficient | SE    |
|-----------------------|---|----------------------|-------|
| Accuracy              | Capture-Recapture <sup>1</sup>              | -0.298               | 0.277 |
|                       | Curve Fitting <sup>1</sup>                  | -0.950               | 0.277 |
|                       | Coupon Collector <sup>1</sup>               | -0.213               | 0.277 |
|                       | Subset size <sup>2</sup>                    | 0.004                | 0.002 |
|                       | Curve fitting x subset size <sup>3</sup>    | -0.002               | 0.003 |
|                       | Coupon collector x subset size <sup>3</sup> | -0.017               | 0.003 |
| Precision             | Capture-Recapture <sup>1</sup>              | 0.946                | 0.240 |
|                       | Curve Fitting <sup>1</sup>                  | 1.208                | 0.240 |
|                       | Coupon Collector <sup>1</sup>               | 1.379                | 0.240 |
|                       | Subset size <sup>2</sup>                    | -0.017               | 0.002 |
|                       | Curve fitting x subset size <sup>3</sup>    | 0.007                | 0.003 |
|                       | Coupon collector x subset size <sup>3</sup> | -0.002               | 0.003 |

<sup>1</sup>Model coefficients for the three estimation techniques indicate the average

accuracy or precision of the technique (in song types), relative to zero, when all other

552 variables are held constant.

<sup>553</sup> <sup>2</sup>Model coefficients for subset size indicates how much the dependent variable

- changes (in terms of song types) with each 1-unit change in subset size, when
- averaged across all techniques.

<sup>3</sup>Model coefficients for the interaction terms indicate how much the dependent

variable changes with each 1-unit change in subset size for a particular technique,

relative to the amount of change observed for a 1-unit change in subset size for the

reference category (i.e. Capture-Recapture).