



Research, part of a Special Feature on [Rebuilding Fisheries and Threatened Communities: the Social-Ecology of a Particularly Wicked Problem](#)

Local and regional strategies for rebuilding fisheries management institutions in coastal British Columbia: what components of comanagement are most critical?

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ABSTRACT. Aboriginal and nonaboriginal fishing-dependent communities on the coast of British Columbia, Canada, having lost traditional fisheries management institutions along with significant fishing opportunity, are in the process of rebuilding local and regional institutions to allow their survival. Sometimes, the rebuilding effort involves the creation of largely new institutions. It can also involve the reactivation, reinvention, or repositioning of older ones. We consider the aspirations, strategies, and activities of organizations in two regions of the coast involved in two different fisheries: salmon on the north coast and intertidal clams in the Broughton Archipelago. We analyze what the two regions have in common, as well as their differences, to generate general predictions and recommendations about what preconditions appear to be necessary for success in rebuilding institutions in communities and regions at these scales and what actions are likely to be most effective, according to a body of literature on self-management and comanagement. In both cases, we found favorable conditions in the communities, the external political arena, and in government to support the rebuilding goals of the organizations working in the two regions. Although both areas would benefit from greater financial resources, the most critical need is for external support in the form of alliances, issue networks, and access to multiple sources of power.

Key Words: *clams; fisheries comanagement; necessary conditions; regional institutions; salmon*

INTRODUCTION

Over the last four decades, long-established aboriginal and nonaboriginal fishing communities on the British Columbia (BC) coast have been undergoing radical loss of fishing licenses, vessels, and opportunity, and also of the government presence and infrastructure that formerly supported local fishing activities. These losses have been concentrated in more rural and northern regions of the province (Ecotrust 2004), the very regions that are most dependent on fishing livelihoods, have the fewest occupational alternatives, and hold the largest number of aboriginal fishers who in theory enjoy constitutionally protected access rights. These losses resulted directly and indirectly from government policies seeking to rationalize, i.e., reduce, the number of licenses and vessels to reduce fishing pressure in response to conservation concerns and to meet economic objectives of improving the financial viability of fishing enterprises, allowing for what the government perceived as more effective management (Fisheries and Oceans Canada 1990).

Before we contemplate rebuilding local and regional fisheries management institutions, we must first consider the full nature and extent of these losses. Maritime resources, fishing and salmon in particular, were the backbone of the economy, society, and culture of Pacific Northwest peoples for upwards of 10,000 years (Ingليس and MacDonald 1979, Muckle 2007). This fundamental social-ecological relationship held true in rural coastal BC until the final decade of the 20th century, in spite of enormous upheaval and loss occasioned by nonaboriginal settlement in the region over the past 150 years (Barman 1991, Newell 1993, Harris 2008, Turner et al. 2013).

Since the late 1960s, the federal government in Canada has been unwinding the social contract that made Canadian sovereignty of the Pacific coast from Washington State to Alaska a reality in

the first place. For example, when First Nations on the BC coast were allocated reserves by government negotiators roughly a hundred years ago, they received minuscule allotments relative to their traditional territories, notwithstanding the objections of aboriginal people to the process and the results (Harris 2008). The logic of government negotiators was that aboriginal people would support themselves by fishing (Pinkerton 1987, Ommer 2007). After World War I, returning Canadian soldiers were encouraged to settle on the north coast of BC in return for fishing licenses (Marchak et al. 1987, Meggs 1991). Both of these contracts have now been abandoned by government, starting with the Davis Plan's elimination of all boats delivering less than \$2500 worth of fish per year.

The goals of this discussion are to (1) consider what the comanagement literature says about critical conditions necessary for successful local institutional rebuilding; (2) identify what institutional losses have occurred as a consequence of this policy direction; (3) examine the vision, strategies, and actions being taken in two regions of the BC coast where organizations are building or rebuilding institutions to retain and reclaim access and management rights to their local fisheries; and (4) consider what communities interested in rebuilding their institutions can learn from experiences elsewhere, e.g., with what priorities might particular strategies be effective?

THEORY AND METHODS

What does comanagement contribute to rebuilding collapsed institutions or their alternatives, and why is it important to rebuild?

We begin with a brief consideration of what the comanagement literature contributes to insights regarding rebuilding collapsed institutions or their alternatives. From the late 1970s onward, an

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older literature from anthropology and ecology on the self-regulating capacities of contemporary fishing communities (Acheson 1975, Berkes 1981) has been integrated into a newer literature on the benefits of power sharing between self-regulating communities and government agencies (Pinkerton 1989, Wilson et al. 1994, Pinkerton and Weinstein 1995, Wilson et al. 2003, Armitage et al. 2007). We consider comanagement to be power sharing that involves not only access and withdrawal rights (operational level), but also higher-level rights such as harvest management, exclusion, and coordination with other users (collective choice). Given the legal mandate of the Canadian federal government to manage fisheries, the necessity of coordinating actions with neighbors, and conflicting uses of fish, e.g., commercial, aboriginal, and recreational fisheries, and marine space, e.g., tourism, shipping, aquaculture, and oil and gas development, self-regulation obviously requires coordination with and integration into comanagement arrangements between local/regional institutions and the Canadian Department of Fisheries and Oceans (DFO).

Any institutional capacity lacking in one of these power-sharing entities has to be supplied by another. In a resource system like BC's fisheries, in which the senior level of government has both the constitutional authority and fiscal ability to dictate the terms under which fisheries management is carried out, the scope for comanagement is limited unless government chooses to embrace that option. In recent years, with the reduction in fishermen's union membership associated with the loss of fishing licenses, the most important check on DFO's exercise of authority is the existence of legally protected aboriginal rights to subsistence and, to a lesser degree, commercial fisheries (Ahousaht First Nation vs. Canada 2007, Ahousaht et al. vs. Canada 2013). The exercise of these rights is restrained by the limited financial, administrative, and technical resources in most aboriginal coastal communities in northern BC and in the Broughton Archipelago off northern Vancouver Island. However, beginning in the late 1990s (Lane and Stephenson 2000), federal government cutbacks and legislative changes, most recently in the Jobs, Growth and Long-term Prosperity Act and the Jobs and Growth Act of 2012, have undermined DFO's capacity and weakened its legitimacy. This has created an opportunity for institutional rebuilding along comanagement lines, as illustrated in our two case studies.

In the context of these changes to the DFO, the rebuilding or creation of local management institutions could become the foundation for potentially enduring comanagement relationships, both among regional organizations or communities that must work together and between regionally based groups and the DFO. The literature cited above documents important contributions to fisheries management by rebuilt institutions (Pinkerton 1989, Wilson et al. 1994, Pinkerton and Weinstein 1995, Wilson et al. 2003, Armitage et al. 2007), including (1) a higher degree of trust between fishermen's organizations and government and greater willingness on the part of government to allow fishermen's organizations to undertake a range of self-management responsibilities; (2) a willingness among both fishing communities and government to share data and local knowledge about the resource and, therefore, to reach collectively a more complete understanding of the resource; (3) a willingness among both fishermen's organizations and government to explore more effective options for regulation and enhancement; (4) an improved ability to

develop and successfully enforce regimes that fishermen perceive as appropriate and legitimate; (5) development of fisheries with greater equity, effectiveness, and legitimacy, and thus greater compliance, in fishermen's organizations and communities; and (6) reduced costs of health care, welfare, and unemployment because more fishermen will be actively involved in management and fishing activities. The last improvement might not affect the senior government department managing fisheries, but it would have significant impacts on the costs of health care and welfare borne by other government departments. It has been well documented that aboriginal communities able to pursue traditional livelihoods and experience cultural continuity have fewer health problems and lower suicide rates (Chandler and Lalonde 2009, Campbell et al. 2011), resulting in lower costs to government.

The research reported here was funded by two different Canadian scholarly programs, both of which supported research founded on partnerships with coastal communities or organizations. The research in case study 1 was funded by a Natural Science and Engineering Research Council industry-driven grant undertaken with the Canadian Council of Professional Fish Harvesters (the Canadian Fisheries Research Network, <http://www.cfrn-rcrp.ca/Public-Home-EN>); case study 1 included an agreement between the United Fishermen and Allied Workers Union (UFAWU, now amalgamated with UNIFOR: UFAWU-UNIFOR) and university researchers. The north coast regional focus of this study took in a diverse range of aboriginal and nonaboriginal communities, governments, and fishing organizations. The information presented in case study 1 draws from a combination of informal interviews, participant observation (2010-2013), and data from secondary sources.

The research in case study 2 was funded by a Social Science and Humanities Research Council Partnership Development Grant to Simon Fraser University and the Musgamagw Dzawada'enuxw Tribal Council, comprising four Kwakwaka'wakw tribes. The focus in this study was on a much smaller region than the first case, consisting entirely of aboriginal, i.e., First Nations, communities. Case study 2 drew primarily from semistructured interviews with 14 community members, as well as participant observation in 2012 and data from secondary sources.

In both cases the nonuniversity partners played a major role in defining the research goals and are working with the university partners to achieve those goals. These two cases illustrate a range of institutional rebuilding strategies at different geographic and membership scales, degrees of membership diversity, breadth of issues, types of rights, complexity of species mix, and stages of development.

CASE STUDY 1: THE SUSTAINABLE MARINE FISHERIES AND COMMUNITIES ALLIANCE ON THE BC NORTH COAST

Institutional losses in the north coast salmon fishery

As nonaboriginal workers and settlers put down roots on the north coast over the course of the last century, they, like aboriginal peoples, were sustained by harvesting the year-round abundance of marine resources in the area. Salmon, halibut, and herring were the preeminent cash and food sources; other groundfish species, eulachon, shellfish, and seaweeds filled in the seasonal round

Table 1. Skeena River sockeye salmon (*Oncorhynchus nerka*) harvest trends, 1973-2012 (Pacific Salmon Commission 2011, 2012, English 2012, English et al. 2012; Skeena Salmon Program 2012, *unpublished data*). FSC is First Nations' harvest for food, social, and ceremonial purposes.

Decade	Total Run	Alaska harvest	Return to Canada	FSC	Marine harvest	In-river harvest	Uncategorized harvest
1973-1982	2,439,040	152,071	2,286,970	n/a	988,905	n/a	344,575
1983-1992	3,129,230	406,041	2,723,188	149,067	964,935	n/a	429,969
1993-2002	3,671,018	390,085	3,280,213	138,872	1,274,184	248,320	379,425
2003-2012	1,830,790	144,129	1,686,661	142,215	346,526	128,789	84,459

(Muckle 2007, Angel 2011). Extraction industries like forestry and mining had their moments, booms, and busts, as befits a commodity-driven economy, but life on the north coast continued to revolve around fishing as the primary activity (Meggs 1991, Rajala 2006). In parallel, many of the important local institutions belonged to fishermen, including province-wide organizations like the UFAWU and the Native Brotherhood of BC. Both developed strong reciprocal ties to north coast communities. The UFAWU and the Brotherhood drew heavily on Prince Rupert and environs for members, often in competition with one another (Drucker 1958). In return, they trained several generations of community leaders: mayors, chiefs, councilors, businessmen, and educators.

The large membership of the two organizations made for considerable influence in the fishing industry (Lyons 1969, North 1974, Meggs 1991). In addition to negotiating prices with the processing companies and taking their members out on strike if necessary, the UFAWU and the Native Brotherhood of BC had a voice in the management of the fishery through their participation in an advisory body called the Skeena River Salmon Management Committee. Established by the DFO in the early 1960s (Wright 2010), the committee is what we would now recognize as an early-stage comanagement institution. Meeting several times a year, commercial, recreational, and aboriginal representatives had an opportunity to review, comment on, and challenge fishing plans and regulations, stock assessments, habitat protection and enhancement, and catch and landings data, which represent a substantial range of fisheries management functions. Indeed, the committee and its successor, the North Coast Advisory Board, developed over the decades into important regional institutions in their own right (UFAWU-UNIFOR, Prince Rupert office, *personal communication*).

In the mid-1990s, amidst a climate of fiscal retrenchment and heightened environmental concern, the federal government began to overhaul its management of salmon fisheries in BC. The first step, under what was known as the Mifflin Plan, was a 42% reduction in commercial salmon licenses, along with reduced access to fisheries through gear and area licensing changes (Fisheries and Oceans Canada 2002, Brown 2005). The rationale was economic efficiency; the consequence was a substantial transfer of assets, i.e., licenses, infrastructure, and population, from rural to urban British Columbia (Ecotrust 2004). With the Mifflin Plan under way at a cost to government of hundreds of millions of dollars (Fisheries and Oceans Canada 2002), the DFO adopted a policy known colloquially as weak stock management, under which fisheries are managed to protect weaker stocks at the

expense of opportunities to harvest more productive stocks. Here, the rationale was a conservation crisis, in particular, an alarming decline in the numbers of coho salmon (*Oncorhynchus kisutch*) returning to spawn (Holtby and Finnegan 1997, Fisheries and Oceans Canada 1998a, Brown 2005).

Responding in part to increasing pressure from environmental and recreational groups, which have at times allied themselves with inland First Nations, the department has continued to reduce harvesting in favor of increasing spawner escapement throughout the Skeena system (Table 1). At the same time, there has been a transfer of access and withdrawal privileges to upriver recreational and aboriginal fisheries (Fisheries and Oceans Canada 1998-2012, Fisheries and Oceans Canada 1999, Walters et al. 2008). This reallocation of benefits from the fishery has taken place amidst a weakened consultation process. In 2005, the North Coast Advisory Board was replaced by a new body called the Integrated Harvest Planning Committee. Although more inclusive in its composition in that conservation interests have a formal place at the table, the Integrated Harvest Planning Committee has been criticized by participants as a highly politicized forum in which groups focus on positioning rather than cooperation and compromise. With the imminent implementation of the department's Wild Salmon Policy (Fisheries and Oceans Canada 2005) on the Skeena, the evidence points to an intensifying of weak stock management approaches and a continued shift of harvesting to terminal areas, where the different salmon stocks spawn at the end of their run.

The negative socioeconomic effects of the DFO's increasingly risk-averse fisheries management practices on the north coast have been felt most strongly in coastal communities, aboriginal and nonaboriginal, where dependence on commercial salmon fishing has long been the mainstay of local economies (Sinclair 1971, ARA Consulting Group 1996, Gislason et al. 1998, Jones et al. 2004). As a highly respected fisheries scientist pointed out in presentations to the DFO and commercial fishermen in the summer of 2012, the foregone harvests on Skeena sockeye stocks are worth millions of dollars to the fishing industry and the ecological benefits of weak stock management are very limited (C. J. Walters, *unpublished Powerpoint presentation* to the Area C harvesters and the Department of Fisheries and Oceans, Prince Rupert, British Columbia, Canada, July 2012). These unrealized landings mean less employment, less income, and less spending in coastal communities. This translates into fewer boats on the water, failing businesses, and decaying marine infrastructure. In turn, there is outmigration, especially among younger people entering the workforce, meaning fewer community leaders with

Table 2. Sustainable Marine Fisheries and Communities Alliance’s initial membership (2008) and subsequent participants (2008-2012; Thorkelson 2012, City of Prince Rupert 2013). See Figure 1 for locations of names in parentheses.

Type	Name	Location
First Nations	2008: Gingolx, Gitga’at (Hartley Bay), Gitxaala (Kitkatla), Kitselas (Terrace), Kitsumkalum (Terrace), Lax Kw’alaams, Metlakatla, Nisga’a Lisims. Later: Council of Haida Nation, Heiltsuk (Bella Bella), Old Massett, Skidegate, Wuikinuxv (River’s Inlet)	North Coast, Nass River, Lower Skeena River, Haida Gwaii, Central Coast
Local Governments	2008: City of Prince Rupert, Skeena Queen Charlotte Regional District, District of Port Edward	North Coast and Haida Gwaii
Organizations	2008: Native Brotherhood of BC, Northern Native Fishing Corporation, United Fishermen and Allied Workers Union, North Coast Skeena First Nations Stewardship Society	North Coast

decades of experience in the marine environment to make a case for the importance of protecting the ecosystem.

At least three negative feedback loops can be seen at work here, nested at different scales. First, loss of fishing opportunity diminishes the influence of commercial fishermen in the management system, which leads to further reductions in access. At a broader scale, the reduced economic, cultural, and social benefits flowing to communities from commercial fishing weakens popular interest in and support for the industry as a vital activity in the region, further undermining the institutions and actions that maintain the flow of benefits from fishing. Finally, at the scale of social-ecological interactions, the gap left by the decline of commercial fishing leaves the region vulnerable to arguments in favor of anything that will produce jobs, whatever the risk to marine and terrestrial ecosystems, which in turn reduces the value of the environment as a productive resource. For example, case study 2 shows the denial by government that salmon farms pollute clam beaches. That this third stage has not yet come to pass is arguably because of the continued importance of fishing on the north coast, however diminished it is now compared with 20 years ago. However, as the experience in Newfoundland demonstrates, coastal communities can make a radical shift from a capture fishing-centered suite of livelihoods to an oil and gas or aquaculture economy in little more than a generation (Bavington 2010, Sinclair 2012).

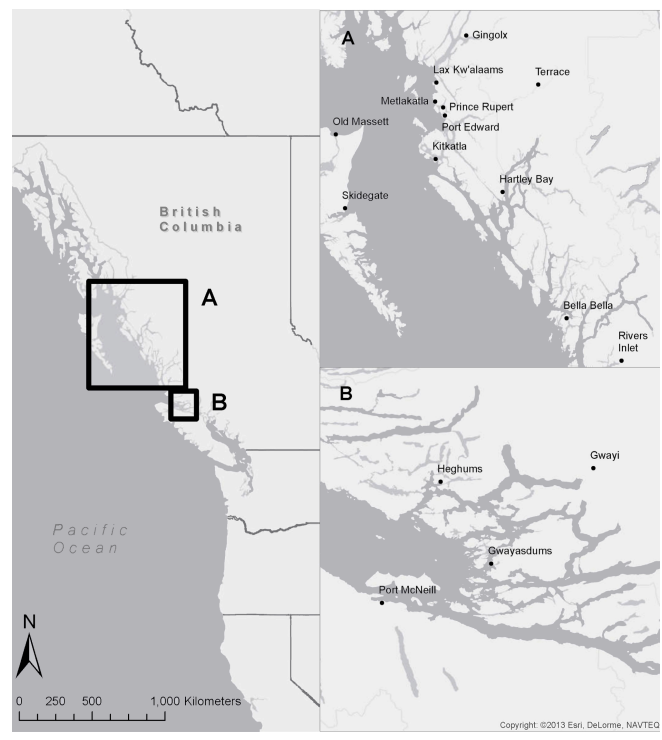
Vision, strategies, and actions: counterbalancing the DFO through alliance building

When the shift to increasing spawning escapement was getting under way in the mid to late 1990s, there were attempts by governments and stakeholders to create local management institutions that could help to resolve allocation issues (Skeena Watershed Committee 1996, Pinkerton 1996, 2009, Fisheries and Oceans Canada 1998b). Since the collapse of these initiatives after just a few years, partly through recreational sector lobbying becoming more powerful, the DFO has increasingly favored market mechanisms such as catch shares as a solution to many of the management issues it faces on the north coast (Schwindt et al. 2003, Butler 2008, Fisheries and Oceans Canada 2008, 2009, 2012).

In 2008, a coalition of north and central coast First Nations’ governments, municipal governments, and commercial fishermen’s organizations formed the Sustainable Marine Fisheries and Communities Alliance, hereafter the north coast group, in a bid to counterbalance those trends through lobbying,

collaborative research, proposals, and initiatives to comanage or take over selected fisheries management functions. The initial membership of the alliance, dating from a series of meetings in May and June 2008, drew on communities and organizations close to or based in Prince Rupert. Since then, the organization has extended its membership to include communities in the Haida Gwaii archipelago and First Nations on the central coast as far south as Cape Caution (Table 2 and Fig. 1).

Fig. 1. Coastal British Columbia areas represented by Sustainable Marine Fisheries and Communities Alliance (A) and Musgamagw Dzawada’enuxw Tribal Council (B).



The following discussion considers the extent to which the activities of the north coast group constitute aspiring comanager behavior, as opposed to interest group lobbying in a landscape crowded with the latter. Primary data were collected through informal interviews and conversations with participants in the organization and through observation of several meetings, over

Table 3. Fisheries management functions and Sustainable Marine Fisheries and Communities Alliance (SMFCA) goals and activities, 2008-2012 (Government of British Columbia 2010, Fernandes 2011, Thorkelson 2012, City of Prince Rupert 2013; SMFCA 2010, *personal communication, unpublished documents*). “Yes” in table below indicates that SMFCA is engaged in a particular activity.

Primary function	Subfunction	Comanaging			Lobbying	
		Planning	Building	Doing		
Policy making and evaluation	Scoping problems	Yes			Yes	
	Setting objectives	Yes				
	Conducting research	Yes		Yes		
	Consulting user and interest groups	Yes				
	Making policies	Yes				
	Long range planning	Yes		Yes		
Conservation & Productivity	Evaluating policies	Yes				
	Habitat monitoring	Yes	Yes			
	Habitat protection	Yes				
	Habitat restoration	Yes				
	Habitat enhancement					Yes
	Stock assessment		Yes			Yes
Managing access	Stock enhancement	Yes			Yes	
	Allocation	Yes	Yes		Yes	
	Licensing	Yes			Yes	
Harvest management	Membership transfers	Yes			Yes	
	Planning	Yes			Yes	
	In-season management	Yes	Yes	Yes	Yes	
	Monitoring	Yes	Yes	Yes		
Adding value	Enforcement	Yes	Yes			
	Supply management	Yes				
	Traceability & certification	Yes	Yes			
	Product diversification	Yes			Yes	
Resource use coordination	Planning involving multiple fisheries	Yes	Yes			
	Ecosystem management					
Communications & Education	Consensus building	Yes	Yes	Yes		
	Public education					
Human resources	Retain fishermen	Yes			Yes	
	Upgrade skills	Yes				
	Train new entrants	Yes				

the course of five research trips to Prince Rupert and the surrounding region from 2010 to 2013. Field notes were combined with written documentation from secondary sources.

Table 3 conceptualizes a two-level hierarchy of fisheries management functions, all of which are amenable to comanagement in theory and for which comanagement has been observed in practice (Schlager and Ostrom 1993, Pinkerton and Weinstein 1995). Mapped onto the classification scheme are four columns categorizing the north coast group’s activities according to whether or not they relate to comanaging at the stage of planning, building capacity, implementing (“doing” in Table 3), or lobbying. What is most striking is the gap between planning and doing: the members of the north coast group envision taking on a comanagement role in 26 of 30 aspects of salmon fisheries management, but the ability to do so to date has been limited to 5 aspects.

The most obvious explanation for the discrepancy between aspirations, or planning, and immediate accomplishments, or doing, is the complexity of what the coalition is trying to accomplish. Meeting in full once or twice a year since 2008, participants spend much time simply building agreement on contentious issues. Divisions stretching back decades or more among aboriginal groups and between the UFAWU and the Native Brotherhood of BC are a challenging legacy to overcome.

A closer look (Table 4) at the priority goals identified by the north coast group and the strategies the organization and its membership have used to build capacity and implement plans reveals substantial barriers to progress: limited resources, lack of trust between the marine commercial fisheries sector and upriver recreational and aboriginal fisheries, loss of capacity in government, and conflicts with government priorities.

Finally, the continued emphasis on lobbying shown in Table 3, especially in relation to access and harvest management functions in the fishery, suggests that the barriers to progress are substantial enough that recourse to lobbying is at this stage unavoidable, however ambitious the vision of cultural and institutional change may be. This should come as no surprise because the north coast group is still in the early stages of a long-term strategic plan to rebuild fisheries management institutions. The great strength of the group is that the membership knows what a successful fishery used to look like. Their challenge, as one participant expressed it, is to rebuild what they had with a different and better system.

CASE STUDY 2: ABORIGINAL PEOPLE IN THE BROUGHTON ARCHIPELAGO

Institutional losses in the Broughton clam fishery

Traditionally, Kwakwaka’wakw people used a complex system of de facto protocols to govern natural resources such as clams,

Table 4. Sustainable Marine Fisheries and Communities Alliance (SMFCA) priority goals, strategies, and barriers (Thorkelson 2012, City of Prince Rupert 2013; SMFCA 2010, *personal communication, unpublished documents*). DFO = Canadian Department of Fisheries and Oceans.

Priority Goals	Strategies	Barriers
Protect the resource and increase productivity	Improve habitat monitoring, protection and restoration Implement Alaska style ocean ranching	Weakened legislative protection; government cutbacks; SMFCA members' lack of resources DFO refusal to discuss; lack of resources to develop proposal
Negotiate new and lasting allocation arrangements	Make agreement with sports groups & take to government together Make agreement with inland First Nations and take to government together	Mutual antagonism and lack of trust; limited incentives to cooperate Lack of trust; historical grievances
Expand aboriginal role in monitoring, compliance, traceability, harvest management	Pursue partnerships with NGO's to develop capacity through training and small-scale management initiatives	Capacity limitations with SMFCA membership, especially financial in short term (costly and difficult DFO certification requirements)
Improve socioeconomics for fishermen and fishing communities	Help drive research that can influence harvest management decision rules and policy making	DFO commitment to weak stock management; lack of DFO capacity to understand and incorporate socio-economic research in planning

primarily Butter, *Saxidomus gigantea*, and Littleneck, *Leukoma staminea*, clams, and clam gardens. Protocols are what Schlager and Ostrom (1993) call rules governing the operational-level, i.e., accessing and withdrawing, and collective-choice, i.e., management and exclusion, rights to common-pool resources. For instance, Rohner (1967:61) documents that in the eulachon fishery, "certain tribes had fishery rights at specific locations but not at others." Kwakwaka'wakw people interviewed by Heaslip (2008a) identified protocols related to access, management, exclusion, and stewardship of beaches and clam populations. For example, a protocol might require a clam digger to ask permission of a beach owner before digging on a particular beach and incur some responsibilities to the owner as to how the harvest was conducted. Our research team is currently working to document and compile a comprehensive list of clam fishery protocols in the Broughton Archipelago.

Historically, the Musgamagw Dzawada'enuxw (hereafter the Broughton clam group) and other Kwakwaka'wakw First Nations governed the use of an abundance of marine resources, including several species of Pacific salmon, herring, eulachons, halibut and other groundfish, seals, sea lions, sea otters, porpoises, kelp, clams, mussels, crabs, and other shellfish (Codere 1950). The Broughton Archipelago has always been the breadbasket of the Broughton clam group. Clam gardens are a form of traditional mariculture developed by Kwakwaka'wakw and other First Nations on the BC coast to manage clam populations (Williams 2006). Empirical and experimental evidence has shown that clam gardens likely increased clam productivity (Groesbeck 2013). Evidence of their significance as a traditional management practice for Kwakwaka'wakw people inhabiting the Broughton Archipelago can be seen by the fact that 350 of these clam "terrace" locations have been documented along 5%-10% of the region's rocky coastline (Harper et al. 1995).

Institutional knowledge and practice of traditional protocols have weakened as a result of interventions in marine resource management during the colonial and postcolonial eras. Since first European contact in 1792, Kwakwaka'wakw nations' communal management systems have been overwhelmed by their loss of

regular access to a diversity of marine resources. By the early 1960s, commercial fishing for salmon and intertidal clams served as the primary income source for 18 of the 24 men living in the clam group's village of Gwayasdums on Gilford Island; 8 of them owned gillnet boats (Rohner 1967). By 2012, the combination of fleet rationalization and other policies left only one person from Gwayasdums, who resides in Victoria, with a salmon license.

British Columbia saw a rapid influx of commercial harvesters entering the intertidal clam fishery during the 1980s, and by 1988 landings of all clam species had peaked (Fisheries and Oceans Canada 1998c). At this point, the accumulated stocks on most beaches along the province's coast had been removed and the DFO began implementing more restrictive management measures with reduced harvest times (Fisheries and Oceans Canada 1998c). We hypothesized that in areas such as the Broughton Archipelago, these high commercial landings of clams were only made possible by exploiting the clam abundance created through historical management of clam beaches by aboriginal people. Thus, the federal government's failure to understand the significance of traditional management practices in conserving clam stocks or to develop an appropriate alternative has resulted in a steady decline in stock abundance. Intertidal clams were the last fishery to be separately licensed as a limited entry fishery, in 1998, and it is the only remaining fishery in which Broughton clam group members participate significantly.

In addition to fleet rationalization, the DFO's territorial boundaries for clam management ignored the tribes' traditional territorial boundaries for management based on protocols. This created challenges for exercising traditional management institutions, although politically skilled elders were able to compensate to some extent and for some time as protocols were initially adapted for the commercialized clam fishery.

The DFO's management approach continues to have drastic effects on the traditional clam management system. The science-based monitoring techniques used to inform the DFO's management decisions do not include traditional ecological knowledge of the health of the clam stocks, which differs from that of the DFO (Heaslip 2008b). Kwakwaka'wakw are

Table 5. Broughton clam group members' identification of problems in clam management.

Issues	Causes	Community Concerns
Failures in Habitat Protection and Change	Salmon aquaculture	Beaches damaged by farmed salmon feces Blackening or discoloration of clams Declining beach quality - mucky, thicker, stinky Slower growth/die offs of clams near fish farms
	Sewage	Harvest closures near village's sewage outfall Sewage waste from float houses
	Accidents releasing oil	Oil leaks from boats Fear of potential oil spills
	Greenhouse gases	Changes in seasons due to climate change
Harvest Management Failures	Overharvesting in commercial fisheries	Over-harvesting by diggers Some diggers prioritize short-term income over long-term sustainability of the fishery All fisheries in the region have declined due to overharvesting or re-allocation (salmon, halibut)
	Breakdown in the observation of traditional practices	Neither aboriginal people nor outsiders any longer respect traditional land ownership and protocols: not seeking permission to harvest
	Monitoring and enforcement	Little monitoring by DFO or others Stock assessment by consulting firm includes data from beaches closed for contamination, producing inaccurate representation of stocks accessible to the fishery Harvesting on closed beaches and mislabeling beach information on clam sack tags Multiple diggers illegally using single license Undersized clams are being harvested Too many licenses are distributed

concerned that salmon aquaculture waste pollutes their clam beaches (Heaslip 2008b). In recent years, clam stock assessments have not been a federal priority, so the Broughton clam group lacks area wide clam stock status information. The DFO (Fisheries and Oceans Canada 2010) recognizes that illegal clam harvesting presents health, safety, and conservation concerns. In the Broughton Archipelago, illegal harvesting occurs largely because of unmonitored harvesting on closed contaminated beaches, unlicensed harvesters, and improper reporting, e.g., mislabeled clam sack tags.

Aboriginal capacity to monitor and enforce traditional management protocols, thus maintaining a sustainable clam fishery, was diminished by the loss of salmon fishing licenses and boats, which had given them access to the territory. In the absence of DFO capacity to monitor and enforce federal regulations, the four nations in the Broughton clam group, Dzawada'enuxw, Gwawa'enuxw, and two nations amalgamated into Kwickwasut'inuxw Haxwa'mis, are taking actions to reestablish past traditional management protocols, with the vision of implementing a management structure that meets their need to ensure the stewardship of these valuable shellfish areas.

Vision, strategies, and actions: reasserting protocols

The Broughton clam group asserts the need to have control over local natural resources and to protect the territory by exercising their traditional management protocols. Community leadership has indicated that while the clam fishery generates a comparatively small amount of revenue versus other fisheries, it remains critical to the survival of the communities as their last remaining commercial fishery. The majority of the more than 200 clam beaches in DFO-designated Clam Area G fall within the traditional territories of the four clam group nations, which together are administered by the Musgamagw Dzawada'enuxw Tribal Council. Cumulatively, these four nations have more than

800 registered members, approximately half of whom live outside the community, often because of lack of housing and jobs. Their three inhabited villages, Gwayasdums, Gwayi, and Heghums, are connected to regional urban centers only by sea or air.

Since 2001, the Broughton clam group has been working alongside other Kwakwaka'wakw nations in requesting increased formal rights through a proposed clam board comanaged with the DFO (Pacific Regional Clam Management Committee 2001). However, in recent years DFO representatives have wavered in their support for such a board, at one point seeking to temporarily take the idea off the table by stating that they were supportive but "sources of DFO funding support for such boards was very limited and not identified for the Area G fishery at this time" (Pacific Regional Clam Management Committee 2007:3). Although the DFO has granted the majority of clam fishery access and withdrawal rights to aboriginal people in the Broughton Archipelago and are continuing to discuss a possible consultative clam management board, they have not yet recognized the legitimacy and effectiveness that local management has in sustaining the fisheries resource (Pinkerton and John 2008) and the importance of involving aboriginal communities in all stages of management decisions and practices (Turner et al. 2013), i.e., comanagement. Management does not operate in a contextual and historical vacuum. Successful comanagement actively seeks to learn from, adapt to, and reincorporate local knowledge and traditional management protocols, which previously formed the basis of the demonstrably sustainable management of a First Nations' fishery that existed for thousands of years.

In Tables 5 and 6 we summarize (1) the Broughton clam group's identification of clam management problems and (2) their goals, perceived barriers, and proposed strategies to address these problems. We focused on the community historically known as

Table 6. Priority goals, strategies, and barriers in implementing local clam management strategies as identified by Broughton clam group members. DFO = Canadian Department of Fisheries and Oceans.

Priority Goals	Proposed Strategies for the tribal council	Barriers
Reinstating protocols	Hire a cultural advisor/ Teach youth about protocols Gather in cultural centers (big houses) to discuss the protocols	Recognition of staffing constraints within tribal council Short-term funding requirements
Increase communication	Within the clam group nations With other aboriginal and nonaboriginal fishers With government (DFO) Require clam diggers communicate with the clam group about digging in the territory	Recognition of staffing constraints within tribal council Grievances with other aboriginal and nonaboriginal fishers Lack of DFO staffing capacity and commitment to meet more regularly Uncertainty of short-term funding opportunities
Increase capacity to monitor and enforce local management	Seek funding Hire and train fisheries guardians and biologists Purchase a boat for fisheries guardians Map clam beaches	
Collaborate with DFO on a new management strategy	Work to ensure management plans reflect community values and interests	Lack of consensus between DFO and Kwakwaka'wakw nations DFO's use of standardized clam management board framework

the clam capital of the area (Rohner 1967), Gwayasdums, where Percy Williams and Neil Ladell conducted interviews with 14 Kwikwasut'inuxw Haxwa'mis Broughton clam group members during five weeks of residence there in June and July 2012. Interviewees included elected councilors, an elder, active and retired clam harvesters, and other community members. The information provided in these tables underscores community members' perceptions that reinstating protocols is critical for overcoming current failures in clam management.

DISCUSSION

The north coast and Broughton clam group cases exemplify efforts to build or rebuild comanagement institutional arrangements at different geographic scales, membership scales, and degrees of membership diversity, across a varying breadth of issues, diverse types of rights being asserted, complexities of species mix, and stages of development. Although in many ways the two case studies are not comparable, their participants do face some of the same institutional losses and barriers to rebuilding; they also experience some of the same conditions that have been identified as leading to comanagement success. Because the north coast group is much larger in geographic and membership scale and because it benefits from long-established leadership and resources from two historically powerful province-wide fishing organizations (UFAWU and the Native Brotherhood of BC), it exhibits strength in some areas that is absent in the Broughton clam group. On the other hand, the Broughton clam group operates in a more homogeneous environment and with fewer species, so it exhibits strength through its cultural cohesiveness and its strong agreement on issue definition and priorities. Table 7 summarizes the institutional losses, as well as visions, strategies, and actions being taken to rebuild institutions, in the two cases.

The comanagement and self-management literature about the most critical conditions for successful local institutional rebuilding identifies the following conditions for successful comanagement, each of which appears relevant to one or the other of the two cases. These are summarized in Tables 8 and 9 as resources held and actions taken.

Conditions in communities and regions

1. *Existence of strong identification with place.* Communities of place have the greatest likelihood of being willing to monitor poaching, overharvesting, and pollution and to protect places from these things over the long term. In many aboriginal communities, moral teachings about proper behavior are encoded in local landscapes (Basso 1996) or are part of spiritual beliefs about the natural world, developed over time and transmitted through myth, ritual, and an intensely personal and emotional worldview that encapsulates critical information about long-term survival in local landscapes and ecosystems (Anderson 1996, Berkes 1999, Atleo 2011). Such attachment to place constitutes an invaluable asset when it can be linked to local rule making about sustainable fishing practices (Pinkerton and John 2008, Lepofsky 2009, Caldwell et al. 2012).
2. *Existence of strong local community values that influence perceptions and actions.* As an extension of condition 1, aboriginal beliefs are logical components of worldviews that are often powerful mechanisms for conservation because they inculcate values that promote individual restraint rather than solely rational calculations of material gain. To the community, the purpose of management is the sustainable and reliable provision of community food and material needs. Langdon (2007) illustrates how such values are based on a mythic charter, or contract between fishing communities and the species, which are believed to remain abundant only if treated according to values of sustainable use, e.g., not wasting or taking more than needed. Nonaboriginal communities likewise can have both strong identification with place and also community values that lead them to manage sustainably, usually through local rule making (Acheson 1975, Ostrom 1990).
3. *Existence of a cohesive social system based on kinship, ethnicity, or homogeneous gear.* Commonly held values about how to fish can emerge either from family or social group ties or from being part of an organization representing the

Table 7. Original institutional loss, visions, strategies, and actions to rebuild institutions.

	Broughton clam group	North coast group
Institutional losses, vacuums, and vulnerabilities	Minimal community representation in management process Declining observation of traditional management practices Loss of access to resources Loss of abundance of resource Loss of health of resource Loss of capacity and transportation	Loss of mechanism for community representation in management process (vacuum), loss of influence, loss of capacity
Vision	Take back control over local natural resources, be able to protect territory and exercise traditional protocols	Make policies for region that benefit communities
Strategies	Document and formalize traditional protocols	Increase salmon abundance; ensure fair allocation; aboriginal comanagement
Actions	Engage in research partnership with universities Maintain planning focus on core values and interests	Planning, research, monitoring, some harvest management; research partnerships

interests and concerns of a particular type of fisherman such as a salmon gillnetter. The cohesiveness of the group lends strength to the values, norms, and rules it produces (Pinkerton 1989).

4. *Existence of mechanisms for conserving and enhancing a fishery that can at the same time conserve and enhance the operation of a cultural system (Pinkerton 1989).* As exemplified especially by the Broughton clam group, rebuilding the traditional cultural system of access and management protocols would automatically produce the sustainable management and best practices that the system was originally designed to achieve.
5. *Existence of legitimate and effective leadership at local and regional levels, i.e., a dedicated person or core group applying consistent pressure to advance the process (Pinkerton 1989).* Leadership in fisheries management from both the UFAWU-UNIFOR and the Native Brotherhood of BC is particularly strong in the north coast group, especially in the form of an energy center with four decades of experience dedicated to pursuing the goals. Leadership at multiple scales is important and may not be consistently present at local scales. The existence of such fisheries leadership is only now beginning to emerge in the Broughton Archipelago.
6. *Achievement of local consensus on the importance of management protocols and traditional territorial rights.* This produces the type of multiparty agreements that Poncelet (2001) and Pinkerton (2007) identified as playing an inherently powerful role in influencing government policy decisions. This goal appears achievable within the Broughton clam group and also among participants in the north coast group. The challenge will be to persuade the other claimants to the salmon and clams to recognize and respect what the clam group's management protocols and the north coast group's approaches have to contribute to sustainable management.
7. *Exertion of management rights in addition to or even instead of access rights.* The north coast member organizations have always exerted access rights and are only recently exerting a wide range of management rights. The Broughton clam group is in the fairly unusual position of currently taking the moral high ground, exerting only management rights, that is, protecting the beaches and practicing protocols,

separately from and not directly tied to access rights. This may help them in asserting the moral legitimacy of their claim.

8. *Ability to articulate a coherent and consistent vision that can be clearly translated to government and the public (Pinkerton 1993).* The ability of these coalitions to persuade other claimants to recognize the legitimacy of the coalitions will be influenced by their ability to clearly explain the value of their vision to government and the local public. In the process, they may be able to build issue networks with government scientists and managers, showing how their coalition can solve specific problems.
9. *Ability to form issue networks.* Hecló (1978) identifies issue networks as a major source of reform pressure for governmental processes that have previously been dominated by a few economic players. Issue networks are formed when experienced government personnel, public sector leaders, academics, independent consultants, and nonaligned scientists familiar with research, technical information, and alternative working models generate a free and lively debate about policy and technical alternatives. The ability of an issue network to combine such diverse resources effectively allows it both to produce and legitimize workable models. The DFO and the north coast group appear to be developing an issue network to a moderate degree, but it is too early for this to happen with the Broughton clam group.
10. *Ability to form coalitions at the local, regional, provincial, national, and international levels.* Coalition formation is happening at different scales in the two regions. Because both the UFAWU-UNIFOR and the Native Brotherhood of BC are province-wide organizations, they are able to form coalitions on the BC north coast and enjoy moral support provincially. As part of the Canadian Council of Professional Fish Harvesters, the UFAWU-UNIFOR and the Native Brotherhood of BC participate in a national coalition, and our partnership research is part of a national research network: the Canadian Fisheries Research Network. The Broughton clam group is just beginning to be part of an international research network on small-scale fisheries called Too Big to Ignore. Both research projects are documenting the value and role of such fisheries in coastal communities, regional economies, and nation states.

Table 8. Resources that support rebuilding local fisheries management institutions: assessment and comparison of north coast and clam groups. DFO = Canadian Department of Fisheries and Oceans.

Resource	North coast group	Clam group
Identification with place	Strong (Doing)	Strong (Doing)
Influential local community values	Strong (Doing)	Strong (Doing)
Cohesive social system	Building	Strong (Doing)
Legitimate and effective leadership in fisheries management	Strong	Building
Historical experience and success with comanagement institutions with DFO	Building	Planning
Capacity for political mobilization around fisheries issues	Strong (Doing)	Planning
External support	Building	Planning
Access to financial, logistical, and technical resources	Building	Planning
Institutional capacity in senior governments (staffing, budgets, function)	Diminishing	Planning
Government representatives trained to work with fishermen and communities	Building	Planning
Statutory, regulatory, or policy support for local interests and values	Building	Building
Motivating crisis	Strong	Strong

11. *Ability to demonstrate that radical reform is necessary and not being addressed (Pinkerton 1992).* The north coast group is demonstrating this to its own membership but not yet to outsiders. The Broughton clam group is raising the issue of salmon farm pollution of clam beaches through its environmental and other aboriginal allies, which may position it to raise other issues.

12. *Capacity for political mobilization.* Submissions to the hearings of the Joint Review Panel on the proposed Enbridge Northern Gateway pipeline and associated tanker transportation to China for Alberta bitumen illustrated the capacity of the north coast group to mobilize local protest around habitat protection issues. The Broughton clam group is not at this stage yet, but may become involved with the Coastal Guardian Watchmen for training and development of monitoring capacity.

13. *Access to public forums of debate and dissemination of opinion.* The north coast group has access to local newspaper and radio forums on the north coast and uses them to a limited extent, but has not yet entered into debate in the public arena. The Broughton clam group uses social media for internal debate among member nations only. A recent challenge by a neighboring aboriginal nation over territorial ownership rights appears to be stimulating greater use of public forums, however, and this may be beneficial in the long run for the public discussion of management protocols.

14. *Access to financial and logistical resources, such as volunteerism and political will, that enable a community or region to organize itself effectively.* Both organizations are financially pressed and surviving through political will and significant volunteerism, especially the north coast group.

15. *Capacity to do asset mapping.* Asset mapping combines all sources of physical, human, social, and cultural capital. The extensive assets held by both organizations, such as place-based knowledge of ecosystems, closely knit kinship networks, past sustainable management skills, and so forth, offer key assets for effective local management. A thorough

appreciation of those assets is helpful in creating the priorities that allow an organization to play to its strengths (Kretzmann and McKnight 1993). Both organizations appear to have this appreciation.

External political conditions

1. *Existence of a crisis pushing people to take action and overcome local differences (Pinkerton 1989).* In both organizations there is a sense of urgency that it is now or never. If they do not take immediate action, the opportunity to rebuild their institutions will be lost. This situation is positive in that external threats can provide a powerful motivation for local factions to unite to protect their mutually held values and interests.

2. *Existence of external support.* Examples include university researchers, nongovernment scientists, credible organizations, and external forums of discussion such as issue networks.

3. *Alliances with stakeholders, nongovernmental organizations, and agencies with complementary resources, especially when these parties form issue networks that generate new technical information and alternative models.* An environmental nongovernmental organization, Ecotrust, is supportive of the north coast group, and a prominent independent scientist, Alexandra Morton, is supportive of the clam group. The clam group is in the process of setting up a meeting with stakeholders in their area to explore common concerns and mutual support.

4. *Access to and use of multiple sources of power.* These sources, including courts, legislatures, public boards, and citizens' initiatives at strategic times, create a spillover effect from one to another. The western Washington treaty tribes were particularly successful in asserting a high level of comanagement rights through access to multiple forms of power (Pinkerton 1992). It is not clear to us that either organization currently has such access.

Conditions in government agencies

1. *Loss of institutional capacity in government resulting from job cuts and reorganization.* This leads to less ability of

Table 9. Activities that support rebuilding local fisheries management institutions: assessment and comparison of Skeena and Broughton regions.

Activity	North coast group	Broughton Clam group
Building coalitions at local and regional levels	Doing	Planning on some issues
Forming alliances with stakeholders, NGOs and agencies with complementary resources	Building	Building on habitat protection; Planning on other issues
Creating issue networks with government and academic experts	Building	Building
Accessing media and public space	Doing	Planning
Accessing multiple sources of power	Building	Building
Identifying and fostering positive feedback loops between ecological and social systems (how cultural system can support institutional rebuilding)	Planning	Doing
Articulating coherent and consistent vision for government and public	Building	Building
Demonstrating necessity for radical reform and absence of progress	Building	Planning
Exerting management rights over and above access rights	Building	Building de facto, planning de jure

government to deal with policy or operational decisions affecting local management. Although a deficit in the short term, this condition may be a blessing in the long term in that it can mobilize local communities to step into the breach. The lack of DFO funding to start a Clam Board in the Broughton Archipelago is a barrier for the clam group but could allow them to take a leadership role regarding regional issues. The north coast group may be able to play a larger management role because of DFO absence from its previous management activities.

2. *Lack of training of government managers to work with fishermen or communities, despite policies to do so.* This lack of training results in the low likelihood that community values or capacity to participate in management will be understood or incorporated into management decisions (Sharp and Lach 2003). This condition exists most strongly in the Broughton area, although it is not absent in the Skeena.
3. *Government's behavioral bias toward the fragmentation of responsibilities and authorities (Yaffee 1997, Pinkerton 2007).* This inhibits the development of the integrated comanagement process that is sought by both organizations.

CONCLUSIONS

Tables 8 and 9 summarize the resources available to the north coast and Broughton clam groups, and the activities each organization is undertaking to achieve its goals in rebuilding its own collapsed institutions or in rebuilding comanagement institutions ("doing" in Tables 8 and 9). Both organizations face the dilemma of deciding whether to focus limited time and resources on acquiring or mobilizing more of the resources they need or to take more actions that are likely to advance their objectives. Both organizations probably have sufficient favorable conditions to achieve their goals but would likely benefit from asset mapping to make difficult decisions about the trade-offs. Although both organizations need financial resources, their need for external support in the form of alliances, issue networks, and sources of power is far greater and finances do not automatically deliver these. Much of the comanagement literature suggests that this strategy can significantly enhance the successful assertion of comanagement rights that enable institutional rebuilding.

Responses to this article can be read online at:
<http://www.ecologyandsociety.org/issues/responses.php/6489>

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