

Citation:

Baker TM, Wilson DR, Mennill DJ (2012) Vocal signals predict attack during aggressive interactions in black-capped chickadees. *Animal Behaviour*, 84: 965–974. doi: 10.1016/j.anbehav.2012.07.022

Vocal signals predict attack during aggressive interactions in black-capped chickadees

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Article history:

Received 28 March 2012

Initial acceptance 27 April 2012

Final acceptance 10 July 2012

Available online xxx

MS. number: A12-00255R

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1 Animals use a variety of aggressive signals to mediate territorial interactions. Often these signals can be
2 sufficient to ward off potential rivals, thus minimizing the chance of injury due to physical encounters.
3 Yet not all behaviours produced during territorial interactions are aggressive signals. In this
4 investigation, we examined the aggressive signals of black-capped chickadees, *Poecile atricapillus*, by
5 determining which signals predict attack on a competitor. We used a recently developed playback
6 protocol involving a loudspeaker and a taxidermic mount to simulate an intruder on males' breeding
7 territories. We examined males' behaviours prior to any physical attack on the mount, both in the
8 minute before attack and the time period preceding this minute. In the minute before attack, we found
9 that gargle calls consistently predicted attack. In the preceding time period, we found that high song
10 rate predicted attack. Surprisingly, we found that attack and the behaviours associated with attack were
11 not significantly correlated with male dominance status. We conclude that song rate and gargle calling
12 behaviour communicate intent to attack during territorial interactions in black-capped chickadees.
13 These results expand our knowledge of aggressive signals during territorial encounters by revealing
14 novel vocalizations used to communicate an animal's probability to attack an opponent.

15

16 *Keywords:*

17 animal communication

18 black-capped chickadee

19 frequency matching

20 gargle call

21 overlapping

22 *Poecile atricapillus*

23 signal reliability

24 song rate

25 Many animals use acoustic signals to defend resources such as breeding and foraging territories.
26 In some cases, acoustic signals are sufficient to deter rivals (Krebs 1977; Krebs et al. 1978; Yasukawa
27 1981; Perrill et al. 1982; Arak 1983), thereby avoiding physical conflict and preventing injury of both
28 signaller and receiver (Maynard Smith & Price 1973). Species as diverse as gibbons (*Hylobates* spp.:
29 Marshall & Marshall 1976), treefrogs (*Hyla* spp.: Wells & Schwartz 1984; Martins & Haddad 1988), field
30 crickets (Gryllidae: Alexander 1961) and birds (Passeriformes: Gil & Gahr 2002) use vocalizations as a
31 primary means of territory defence. Yet not all signals that occur in territory defence can be deemed
32 aggressive because not all signals communicate an intention to escalate aggression (Searcy & Beecher
33 2009).

35 Songbirds present an excellent example of a complex vocal communication system that includes
36 aggressive signals. Many territorial songbird species advertise their presence and willingness to
37 defend a breeding territory by broadcasting loud acoustic signals to potential rivals (reviewed in: Marler
38 & Slabbekoorn 2004; Catchpole & Slater 2008). Experiments where males have been replaced by
39 speakers broadcasting song demonstrate that these vocalizations alone are often sufficient to deter or
40 delay intrusions by territorial rivals (Göransson et al. 1974; Krebs 1977; Krebs et al. 1978; Yasukawa
41 1981). Songbirds can also communicate their level of motivation by using various types of vocalizations
42 in a system of graded signals. For example, song sparrows, *Melospiza melodia*, can produce a
43 nonaggressive vocal signal by singing a song type that does not match their neighbour's songs, a
44 moderately aggressive signal by producing a song type that is shared with their neighbour, a highly
45 aggressive signal by matching the song type that their neighbour just produced, and their most
46 aggressive signal by producing a quiet song that indicates imminent physical attack (Beecher & Campbell
47 2005; Searcy et al. 2006; Searcy & Beecher 2009).

48

49 It can be difficult for behaviourists to distinguish aggressive signals from other signals that occur
50 during the territorial contests of male birds. Searcy & Beecher (2009) suggested three criteria for
51 deciding whether a particular signal should be considered aggressive: (1) the context criterion (i.e. the
52 signal increases in aggressive contexts); (2) the predictive criterion (i.e. the signal predicts attack or
53 aggressive escalation by the signaller); and (3) the response criterion (i.e. the receiver's behaviour
54 changes in response to the signal). Searcy & Beecher (2009) argued that a signal must fulfil all three of
55 these criteria to be deemed an aggressive signal. Examples of signals that fulfil the context and response
56 criteria are those that match the frequency or pattern of an opponent's signal (e.g. tits: Krebs et al.
57 1981; sparrows: Stoddard et al. 1992; chickadees: Shackleton & Ratcliffe 1994), those that overlap an
58 opponent's signal in time (e.g. nightingales: Todt 1981; wrens: Hall et al. 2006; chickadees: Mennill &
59 Ratcliffe 2004a), and those that are produced at low amplitude (e.g. blackbirds: Dabelsteen & Pedersen
60 1990; sparrows: Anderson et al. 2007). Although the context and receiver criteria have been studied
61 frequently, behaviourists have only recently focused on the predictive criterion. Using a signaller's
62 perspective playback designed by Searcy et al. (2006), researchers have recently examined the
63 predictive criterion in four species and found that quiet vocalizations predict attack in these species
64 (song sparrow: Searcy et al. 2006; swamp sparrow, *Melospiza georgiana*: Ballentine et al. 2008; black-
65 throated blue warbler, *Setophaga caerulescens*: Hof & Hazlett 2010; corncrake, *Crex crex*: Ręk & Osiejuk
66 2011).

67

68 The experimental design presented by Searcy et al. (2006) involves looped song playback and a
69 taxidermic mount to simulate a male intruding on another male's territory. This design creates the
70 potential for an aggressive context by providing a simulated rival against which the subject can aggress.
71 This design is noninteractive (i.e. the playback does not vary in response to the signals produced by the
72 subject; Mennill & Ratcliffe 2000), allowing researchers to examine how males behave while producing a

73 vocal signal (i.e. examine vocal behaviour from the signaller's perspective; Vehrencamp et al. 2007). By
74 examining the minute before the subject attacks the model, researchers can explore which of the
75 subject's behaviours predict attack and thereby assess the predictive criterion for aggressive signalling.
76 In all four studies that have used this experimental design to date, quiet songs consistently predicted
77 attack (Searcy et al. 2006; Ballentine et al. 2008; Hof & Hazlett 2010; Ręk & Osiejuk 2011). Additionally,
78 in swamp sparrows, the visual signal of wing waving predicted attack (Ballentine et al. 2008). To date, no
79 other vocal or visual signals have been shown to directly predict attack in birds, in spite of the wide
80 diversity of signalling behaviours associated with territorial interactions (reviewed in Todt & Naguib
81 2000).

82
83 In this study, we explore aggressive signals in the territorial interactions of black-capped
84 chickadees, *Poecile atricapillus*, using the predictive criterion framework. The singing interactions of
85 chickadees are well studied (reviewed in Mennill & Otter 2007). In spring, males defend territories from
86 rivals using their 'fee-bee' song and during these territorial interactions they often produce a variety of
87 vocal behaviours that fulfil the context criteria of aggressive signals, including song frequency matching,
88 when a male adjusts the frequency of his song to match that of his rival; song overlapping, when a male
89 adjusts the timing of his song to overlap his rival's song in time; and producing other nonsong
90 vocalizations, such as the gargle call (Ficken et al. 1978; Shackleton & Ratcliffe 1994; Baker & Gammon
91 2007; Fitzsimmons et al. 2008a). Playback experiments from the receiver's perspective revealed that
92 male chickadees approach the loudspeaker and sing more when presented with overlapping and/or
93 frequency-matched playback compared to nonoverlapping or nonmatching playback (e.g. Otter et al.
94 2002; Mennill & Ratcliffe 2004a, b; Fitzsimmons et al. 2008b; but see Searcy & Beecher 2009).
95 Therefore, in addition to meeting the context criterion, frequency matching and, potentially

96 overlapping, fit the response criterion. However, none of these behaviours have been examined from
97 the predictive criterion.

98

99 We used the playback design developed by Searcy et al. (2006) to examine which behaviours of
100 male black-capped chickadees would fulfil the predictive criterion of aggressive signals. By delivering
101 loop playback in conjunction with a taxidermic mount, we explored behaviours that occurred in the
102 minute preceding attack, as well as behaviours that occurred throughout the remaining portion of the
103 experimental trials. Knowing that social status is an important influence on signalling behaviours in
104 chickadees (reviewed in Mennill & Otter 2007), we also quantified each male's dominance, based on
105 interactions with members of his winter flock, and compared it to his signalling behaviour and
106 propensity to attack. This is the first study to examine countersinging behaviour using the predictive
107 criterion (and the signaller perspective) in this well-studied temperate songbird.

108

109 **METHODS**

110

111 We studied a population of black-capped chickadees at Queen's University Biological Station
112 (44°34'N, 76°19'W), north of Kingston, Ontario, Canada, between January and May 2011. This
113 population of chickadees has been studied annually since the 1980s. For this study we banded birds with
114 unique combinations of coloured leg bands ($N = 97$ birds banded), assessed birds' winter dominance
115 status (details in Ratcliffe et al. 2007), mapped breeding-pair territories when flocks dissolved in early
116 spring (details in Mennill et al. 2004), and examined birds' territorial singing behaviour, following
117 previously established protocols.

118

119 *Dominance*

120
121 Chickadees spend the nonbreeding period in small flocks where interactions follow a stable
122 linear dominance hierarchy (Smith 1991; Ratcliffe et al. 2007). While birds were in winter flocks, we
123 observed pairwise social interactions at 14 feeders, dispersed throughout the study site, and tallied
124 these interactions to determine each bird's relative dominance status (following Smith 1991; Mennill et
125 al. 2004; Ratcliffe et al. 2007). All observations took place between January and early April, between
126 0700 and 1700 hours. During pairwise interactions, we scored a bird as 'dominant' when it supplanted
127 another chickadee, resisted a supplanting by another chickadee, elicited a submissive posture from
128 another chickadee, or fed while another chickadee waited (Smith 1991; Ratcliffe et al. 2007). Dominance
129 data were gathered by a live observer following established protocols (see Mennill et al. 2004). We
130 supplemented these observations with video recordings using small video cameras mounted on tripods
131 placed 2 m from feeders (Flip MinoHD, two Kodak Play Sport Zx3's, and a Sony HDR-XR101 HandyCam;
132 all videos recorded at 1080 pixel resolution). Videos were reviewed by the same observer that collected
133 dominance observations in the field.

134
135 All pairwise dominance interactions observed were used to calculate a numeric rank score
136 following Mennill et al. (2004). There were 13 males for which we had at least 10 dominance
137 interactions and a successful playback trial. For each of these 13 males, we calculated a rank score as the
138 number of wins (number of times the subject was scored as dominant in an interaction), divided by the
139 total number of dominance interactions involving the subject. This generated male rank values between
140 0 and 1, where males with a low rank score (near 0) were more subordinate and males with a high rank
141 score (near 1) were more dominant. Previous research confirmed that this numeric rank score provides
142 a continuous metric that is strongly related to the nominal rank classes that have been used in previous
143 chickadee studies (Mennill et al. 2004).

144

145 *Playback with a Taxidermic Mount*

146

147 In mid-April, after flocks had split up and males had begun defending breeding territories, we
148 simulated territory intrusions using looped song playback and a taxidermic mount of a male black-
149 capped chickadee. Some trials ($N = 18$) involved playback to banded males, including the 13 males
150 whose dominance status was known. We conducted additional trials ($N = 20$) on unbanded males
151 outside of our core study area. To ensure we sampled a unique individual for each trial involving an
152 unbanded male, we used a minimum distance of 400 m between each playback site (territories in this
153 study population are, on average, 135 m across; Mennill et al. 2004).

154

155 Playback stimuli were created from focal recordings collected in 1999 of 10 males from the
156 study population. None of the stimulus males had been observed in our population since 2001; given
157 the average chickadee life span of 2.5 years (Smith 1991), none of the stimulus male songs should have
158 been familiar to the subjects. We standardized the 10 song stimuli to reduce variation between stimuli
159 and remove differences in song characters that may be related to dominance. Using Audition software
160 (Adobe Systems, San Jose, CA, U.S.A.), we separated the 'fee' and 'bee' notes from each of the 10
161 stimulus males and then recombined them to make 100 different stimulus songs. The fee notes were
162 normalized to -6 dBFS (decibels relative to full scale), and the bee notes were normalized to -8 dBFS, a
163 typical amplitude relationship for this species. The fee and bee notes were adjusted by inserting small
164 intervals of silence between the two syllables and by using the 'stretch' function to achieve population-
165 typical internote durations (0.124 s) and frequency intervals (fee beginning at 3814 Hz and ending at
166 3609 Hz; bee beginning at 3183 Hz and ending at 3295 Hz) following the population-typical values
167 presented in Weismann et al. (1990) and Christie et al. (2004), so that all playback stimuli had the same

168 frequency and temporal characteristics. We selected a different stimulus song for each trial and
169 repeated the same two-note song stimulus at a rate of 14 songs per minute, which is comparable to the
170 song rates observed in this population (Otter et al. 1997). At the start of each trial, playback amplitude
171 was adjusted to 80 dB SPL using a 3183 Hz test tone broadcast for up to 30 s, calibrated to match the
172 peak amplitude of the bee portion of the song stimuli. Amplitude was measured at 1 m from the speaker
173 using an analogue sound level meter (RadioShack 33-4050; C-weighting, fast response). This amplitude
174 approximates the natural amplitude of male song, evaluated by ear by two observers during pilot trials.

175

176 Five taxidermic mounts were created from specimens found in Ontario that were collected after
177 window-kills or natural death. Only adult males were used because of subtle sex-based variation in
178 plumage features (Mennill et al. 2003b); sex was confirmed by the presence of testes during specimen
179 preparation. Since the specimens were collected opportunistically, the dominance status of each
180 specimen was unknown. All models were positioned in the same realistic posture, perched on a birch
181 branch that we attached to the speaker apparatus.

182

183 Following the protocol developed by Searcy et al. (2006), we played back songs at a fixed rate in
184 conjunction with presentation of a conspecific taxidermic mount. This protocol allowed us to evaluate
185 subjects' signalling behaviour as they approached the taxidermic mount, and to determine which signals
186 predicted attack. The singing behaviour and territorial countersinging interactions of chickadees are
187 different from the song sparrows studied by Searcy et al. (2006), so we modified the protocol slightly.
188 Searcy et al. (2006) used a period of lure song, followed by a period of silence, followed by another
189 period of playback song when the model was exposed. Our experience working with chickadees,
190 combined with pilot trials, taught us that chickadees react to playback by singing, rapidly approaching
191 the speaker, and sometimes landing directly on the speaker or playback apparatus. However, when

192 playback is paused, chickadees quickly stop interacting with the loudspeaker and depart the playback
193 area. Therefore, we exposed the taxidermic mount at the start of the trial so that subjects would not
194 make contact with the loudspeaker before the mount was exposed. We also eliminated the silent period
195 so that birds would not exit the playback area. Song sparrows live in open environments, so Searcy et
196 al.'s (2006) taxidermic model had to be hidden at the start of playback. Chickadees live in visually
197 occluded forested areas, so we ensured that subjects were out of visual range before placing the mount
198 and commencing playback. In only one trial, the subject arrived after the mount was placed and before
199 the playback was started, so we cancelled this trial and repeated it on another day.

200
201 The loudspeaker and taxidermic mount were set on a tripod at the approximate centre of each
202 subject's territory. Each of the 100 fee-bee song stimuli was randomly paired with one of the five
203 mounts, and then the stimulus/mount combinations were selected just prior to the start of each trial
204 following a randomized list with no repetition. If a trial had to be repeated because we failed to attract a
205 male to the site, a new stimulus/mount combination was used for the next trial. After scanning the area
206 around the playback set-up and confirming that no chickadees were present, we started playback with
207 the mount revealed. Playback of looped song continued for up to 20 min or until the subject attacked
208 the mount, whichever came first. We considered attack to be any contact the subject made with the
209 taxidermic mount. All attacks had a rapid onset, but contact was usually very clear, with subjects
210 typically landing on the mount's head or shoulders and pecking vigorously at its head and/or eyes. We
211 placed a video camera 2 m from the mount and used recordings to confirm the initial time of attack that
212 was dictated in the field; field data and video data matched in all cases.

213
214 During playback, two observers sat 8.5 m from the mount and speaker. Using a directional
215 microphone (Audio-technica AT8015; 40–20 000 Hz frequency response) and a solid-state digital

216 recorder (Marantz PMD-660; WAVE format, 44.1 kHz sampling rate, 16-bit encoding), one observer
217 recorded the subject's vocalizations and quietly dictated the subject's physical behaviours, including
218 their distance to the mount at each perching site, each time they passed over the loudspeaker, and
219 whether or not they attacked the mount. The other observer helped locate the subject, ensure timing of
220 attack was correctly assessed, and swiftly removed the mount after attack to reduce any undue stress
221 on the subject. Flags placed at 1 m, 2 m, 5 m and 10 m on either side of the mount, and 5 m behind the
222 mount, aided the observers in judging a bird's distance to the mount.

223

224 *Analysing Playback Recordings*

225

226 We quantified the behaviours, detailed below, in all subjects' trials and compared birds that
227 attacked the taxidermic mount (hereafter 'attackers') to birds that did not attack the taxidermic mount
228 (hereafter 'nonattackers') during three time periods. First, we explored behaviours in the minute before
229 birds attacked the mount, and a parallel minute in nonattackers. To select a parallel minute in each
230 nonattacker, we selected the same minute relative to the subject's first song as we did for a randomly
231 selected attacker. This selection procedure is similar to that used in Searcy et al.'s (2006) experiment
232 and in subsequent experiments using their protocol. Second, we examined the entire trial preceding this
233 minute-before-attack for both attackers and nonattackers. In one case, our method of selecting the time
234 period of analysis in nonattackers gave rise to a time period that extended beyond the length of the
235 nonattacker's trial; for this bird we shortened the comparison period to the period when the bird was
236 actually interacting with the simulated intruder. Therefore, the parallel minute in this nonattacker
237 became the 19th minute of the 20 min trial. Finally, we quantified all behaviours that occurred
238 throughout a subject's full trial, from the first song to the end of the minute before attack in attackers,
239 or a parallel time period in nonattackers.

240

241 To examine each subject's behaviour during playback, we viewed spectrograms of the
242 recordings collected during the trials using Syrinx-PC sound analysis software (J. Burt, Seattle, WA,
243 U.S.A.; 1024 point FFT, Blackman window type, resulting in 43 Hz frequency resolution and 15 ms time
244 resolution). For each trial we tallied the following variables separately during the minute before attack,
245 the period preceding that minute, and throughout the whole trial: (1) number of fee-bee songs; (2)
246 number of gargle calls; (3) number of times the subject's song overlapped the playback in time; (4) the
247 number of frequency shifts (≥ 80 Hz difference, after Horn et al. 1992) from the subject's previous song;
248 (5) the number of times the subject's song frequency-matched the playback (≤ 50 Hz, after behaviours
249 observed in Otter et al. 2002); and (6) number of passes over the mount. Number of songs, gargles and
250 passes over the mount (variables 1, 2 and 6) were standardized by dividing them by the duration of the
251 analysis period. We standardized our measures of overlapping and frequency matching, variables 3 and
252 5, by dividing the number of overlapping and frequency-matching events by the number of
253 opportunities the subject had to overlap or frequency-match the playback (i.e. the number of subject
254 songs). Frequency shifting, variable 4, could occur for each song the subject produced, excluding the
255 first, and therefore we divided this variable by the number of subject songs minus one.

256

257 Previous studies using the experimental design of Searcy et al. (2006) have included quiet song
258 as a response variable. We did not include quiet song as a variable because it was never heard during
259 playback trials. This species is capable of producing quiet song (known as the faint fee-bee, Ficken et al.
260 1978), but it is produced when breeding pairs are communicating at the nest cavity, not during
261 aggressive encounters (Smith 1991).

262

263 All methods involving animals were approved by the University of Windsor Animal Care
264 Committee (AUPP number 09-06).

265

266 *Statistical Analyses*

267

268 We used multiple logistic regression with forward stepwise selection of variables (with the *P*-to-
269 enter set at $P = 0.05$, and *P*-to-remove set at $P = 0.10$) to determine which of the 6 behavioural variables
270 predicted attack (after Ballentine et al. 2008; Hof & Hazlett 2010). One regression was performed for the
271 minute before attack and another for the time period preceding this minute. Because we had rank data
272 for only a subset of males ($N = 13$), we conducted a separate logistic regression analysis to test whether
273 rank predicted attack in those males.

274

275 To describe the sequence of events that preceded attack, including a minute-by-minute
276 comparison of all of our response variables, we plotted the subjects' behaviour for 10 min prior to attack
277 (this included all minutes where more than five males sang). These analyses are descriptive only; no
278 additional statistical analyses were performed.

279

280 We examined the effect of rank on the six putative aggressive behavioural variables by
281 performing six univariate Spearman rank correlations. We used a Bonferroni correction for multiple
282 comparisons ($\alpha = 0.0083$ for six tests). These tests were performed on behaviours that occurred during
283 the full trial, to include as many data points as possible. Given that rank and propensity to attack the
284 mount were not statistically associated (see Results), we considered it appropriate to examine the
285 entirety of the playback trials in conjunction with dominance rank.

286

287 All statistical analyses were two tailed and conducted in PASW v18 (IBM Inc., Armonk, NY,
288 U.S.A.). All values are presented with mean \pm SE.

289

290 RESULTS

291

292 We attracted territorial male black-capped chickadees to within visual range of the observer in
293 38 trials. Of the 38 responding males, 21 males attacked the taxidermic model within 20 min of the start
294 of playback, whereas 17 males did not. Thirteen of the 38 males were colour-banded animals of known
295 dominance status (i.e. we had gathered ≥ 10 dominance interactions during winter dominance
296 observations).

297

298 *Up to One Minute before Attack*

299

300 In the time preceding the minute before attack, a high song rate predicted whether chickadees
301 would later attack the taxidermic mount (attacks occurred on average 7.09 ± 1.05 min from the start of
302 playback; range 1.53–17.5 min; Fig. 1). The number of songs per minute was the only variable of the six
303 that we measured to enter into the stepwise logistic regression model, and it significantly predicted
304 whether the subject attacked (logistic regression: $\chi^2_1 = 5.0$, $P = 0.025$). In a jackknifed procedure, songs
305 per minute correctly classified 81% of attackers and 58.8% of nonattackers (71% of all cases correctly
306 classified).

307

308 *One Minute before Attack*

309

310 In the minute before birds attacked the taxidermic mount, the number of gargle calls predicted
311 whether or not black-capped chickadees would attack the mount (logistic regression: $\chi^2_1 = 52.3$, $P <$
312 0.0001 ; Fig. 2). Indeed, gargle calls were a perfect predictor of attack; all males that produced a gargle
313 call subsequently attacked the simulated intruder, whereas nonattackers never produced gargle calls.

314

315 *Sequence of Behaviours in Attackers*

316

317 A descriptive analysis of the sequence of behaviours that preceded attack revealed several
318 interesting patterns (Fig. 3; data shown for $N = 21$ attackers). The singing behaviour of black-capped
319 chickadees (e.g. song rate, overlapping, pitch shifts, frequency-matching and passes) showed different
320 patterns during the trials. The number of gargle calls (Fig. 3b) and passes over the taxidermic mount (Fig.
321 3f) showed a peak only in the final minutes before attack. Song rate (Fig. 3a) and overlaps (Fig. 3c)
322 increased slowly in the minutes preceding attack. Number of pitch shifts and frequency matches were
323 higher 7–9 min before attack (Fig. 3 d, e).

324

325 *Dominance Rank and Playback Response*

326

327 The propensity for males to attack the taxidermic mount was not predicted by rank (logistic
328 regression: $\chi^2_1 = 0.15$, $N = 13$ males whose dominance status was known, $P = 0.70$; Fig. 4). We compared
329 the subjects' behaviour throughout the playback trials to their dominance rank score. Males with higher
330 dominance status overlapped the playback more often (Spearman rank correlation: $r_s = 0.64$, $N = 13$, $P =$
331 0.018 ; Fig. 5). This trend, however, did not remain significant following correction for multiple
332 comparisons (i.e. $\alpha = 0.0085$). The remaining five behaviours showed no relationship with dominance
333 rank (all $r_s < 0.38$, $N = 13$, all $P_s > 0.19$).

334

335 **DISCUSSION**

336

337 Male black-capped chickadees showed strong territorial responses to loop playback paired with
338 a taxidermic mount. Males sang and approached the playback area and, in 55% of the examined trials,
339 they physically attacked the taxidermic mount. The gargle call, a nonsong vocalization, was a perfect
340 predictor of attack; all birds that attacked the taxidermic mount produced gargle calls in the minute
341 before attack, and nonattackers never produced gargle calls. Song rate in the time period preceding the
342 minute-before-attack was also a significant predictor of attack; song rate was higher for attackers than
343 nonattackers. Interestingly, neither gargle calls nor song rate were associated with dominance rank.
344 Only one of the measured behaviours, song overlapping, showed a relationship with dominance rank,
345 yet this relationship did not remain significant following correction for multiple comparisons. Based on
346 the results of this experiment, gargle calls and song rate fulfil the predictive criterion of being an
347 aggressive signal (*sensu* Searcy & Beecher 2009).

348

349 Overlapping, frequency matching, high song rate and gargle calls have all been documented in
350 aggressive contexts in black-capped chickadees (Ficken et al. 1978; Mennill & Ratcliffe 2004b;
351 Fitzsimmons et al. 2008a) and, therefore, fulfil the context criterion for being aggressive signals (Searcy
352 & Beecher 2009). Overlapping and frequency matching are noted for occurring during diurnal song
353 contests between neighbouring male chickadees (Fitzsimmons et al. 2008a), but did not predict attack in
354 this study. In several bird species, males increase their song rate in response to agonistic playback (e.g.
355 superb fairy-wrens, *Malurus cyaneus*: Cooney & Cockburn 1995; black-capped chickadees: Mennill &
356 Ratcliffe 2004b; stripe-headed sparrows, *Peucaea ruficauda*: Illes & Yunes-Jimenez 2009; indigo
357 buntings, *Passerina cyanea*: Beckett & Ritchison 2010; vermilion flycatchers, *Pyrocephalus rubinus*:

358 Rivera-Cáceres et al. 2011), although it is not a universal rule (see Yasukawa 1978; Molles &
359 Vehrencamp 1999; Osiejuk et al. 2007). The gargle call is important in close-range interactions during
360 dominance hierarchy establishment and often occurs immediately prior to aggressive supplants in
361 winter flocks (Ficken et al. 1978, 1987; Baker et al. 1991). Gargle calls have also been noted for occurring
362 when breeding males interact at their territory boundaries (Ficken et al. 1987; Baker & Gammon 2007).
363 A recent report provides evidence of a fight between two high-ranking male black-capped chickadees
364 that ended in one fatality; this mortal combat was preceded by a period of gargle calls (Hof & Hazlet, in
365 press), further implicating this vocalization as a highly aggressive signal during aggressive chickadee
366 encounters. Our playback study shows that both song rate and gargle calls are significantly higher during
367 aggressive interactions preceding attack, adding to the evidence that these two behaviours are
368 associated with escalated aggressive interactions.

369
370 These two behaviours, therefore, fit Searcy & Beecher's (2009) predictive criterion for
371 aggressive signals, with both high song rate and gargle calls predicting subsequent attack. In our study,
372 as in other studies that have followed a model presentation design, only a subset of the measured
373 behaviours predicted attack (Searcy et al. 2006; Ballentine et al. 2008; Hof & Hazlett 2010; Ręk & Osiejuk
374 2011). Ballentine et al. (2008) found that soft songs and wing-waving behaviour predicted attack in
375 swamp sparrows, whereas song matching and song type switching did not. Song matching and type
376 switching are analogues of the frequency matching and frequency switching measures we report here,
377 and therefore, our results agree with theirs. Ballentine et al. (2008) and Hof & Hazlett (2010) also tested
378 nonsong vocalizations (wheezes and rasps for swamp sparrows; ctuks and sputters for black-throated
379 blue warblers) but found that soft songs were the only vocalization that predicted attack. Therefore,
380 black-capped chickadees are the first passerine species examined with Searcy et al.'s (2006)
381 experimental approach where a nonsong vocalization is a signal of aggression, rather than a quiet

382 version of male song. Moreover, black-capped chickadees stand apart from these previously studied
383 songbirds because song rate predicted attack in our analyses but not in any other birds examined.
384 Where the four prior studies found similar results with low-amplitude vocalizations fulfilling the
385 predictive criterion for aggressive signals, our results suggest that other behaviours can also satisfy this
386 criterion.

387

388 Interestingly, the two behaviours that predicted attack in chickadees did not occur in the same
389 time period. Our evaluation of changes in behaviour over time reveals that song rate is high throughout
390 the playback-induced interactions, while gargle calls occur only in the minute before attack on the
391 taxidermic mount (Fig. 3). We also know from our analyses that song rate only predicts attack in the
392 period preceding the minute before attack, not during the minute before attack, and that gargle calling
393 only predicts attack in the minute before attack, not during the preceding time period. This ordered
394 sequence of behaviours may indicate that chickadees have a graded signalling system, where
395 heightened song rate is an initial signal of aggression, and production of gargle calls is an escalated
396 signal of aggression. A graded signalling system also occurs in song sparrows, involving three different
397 types of signal matching (reviewed in Beecher & Campbell 2005). Receiver perspective and
398 observational studies of chickadees had previously suggested that chickadees use a graded signalling
399 system (Otter et al. 2002; Fitzsimmons et al. 2008a), but these studies suggested that the graded signals
400 were overlapping and then frequency matching. Our analysis of these signals in the framework of the
401 predictive criterion (Searcy & Beecher 2009) do not support the idea that overlapping and matching are
402 aggressive signals, although these behaviours may have other functions in agonistic signalling
403 interactions.

404

405 Black-capped chickadees provide an interesting study system, in part because signalling
406 behaviour can be related to male dominance status, a trait known to be a sexually selected target of
407 female choice (Ramsay et al. 2000; Ratcliffe et al. 2007). We were surprised to find that rank was
408 unrelated to a male's propensity to attack the taxidermic mount and his signalling behaviours,
409 particularly since previous studies have revealed relationships between male dominance rank and male
410 territorial singing behaviour (e.g. Ficken et al. 1987; Otter et al. 1997; Mennill et al. 2002, 2003a; Christie
411 et al. 2004; Mennill & Ratcliffe 2004b). In our study, song overlap showed a nonsignificant tendency to
412 be related to the dominance status of the singing male. Therefore, song overlap may signal dominance
413 status, with higher-ranking males overlapping more playback songs than lower-ranking males.
414 Overlapping, as well as frequency matching, also occurs during chickadee vocal interactions (Shackleton
415 & Ratcliffe 1994; Otter et al. 2002; Mennill & Ratcliffe 2004b; Fitzsimmons et al. 2008a) but may have
416 other nonaggressive signalling functions, such as signalling dominance status or directing competition
417 towards a specific rival. Since the sample size was small for this part of our analyses ($N = 13$ males with
418 known dominance status that interacted with the playback-simulated intruder), more research is
419 necessary to examine the relationship between dominance status and singing behaviour.

420

421 Gargle calling and song rate fit both the context and predictive criteria for being aggressive
422 signals in chickadees; however, receiver-based studies have only been performed on gargle calls. Baker
423 et al. (1991) used playback to examine gargle calling in the nonbreeding season (i.e. a feeding context
424 rather than a breeding context). They found that responses to gargle calls appeared dependent upon
425 physical proximity of the opponent and familiarity with the gargle call that was played back. The
426 infrequently heard, unfamiliar gargle calls of subordinates made dominant males averse to feeding; the
427 proximity of a dominant male coupled with his familiar call made subordinates more averse to feeding
428 (Baker et al. 1991). These reactions constitute a receiver response and, therefore, gargle calls satisfy

429 Searcy & Beecher's (2009) three criterion, confirming that they are an aggressive signal. Song rate has
430 not been examined using receiver-based studies, although it is often seen to increase in response to
431 playback in agonistic situations (Mennill et al. 2004). Also, receivers show a greater response to a
432 simulated chick-a-dee call playback when a greater proportion of the simulation is vocalization versus
433 silence (i.e. simulated signallers have higher duty cycle calls; Wilson & Mennill 2011). Further studies on
434 song rate must be performed to confirm whether song rate fits the response criterion of an aggressive
435 signal.

436

437 Gargle calling fits Searcy & Beecher's (2009) three criteria of aggressive signals and is a perfect
438 predictor of attack; therefore, it can be called an aggressive signal in black-capped chickadees. Here we
439 also demonstrated that song rate fits the context and predictive criterion of an aggressive signal and it is
440 elevated prior to the minute before attack. These findings contribute to our overall understanding of
441 how animals use signals to communicate aggression and, ultimately, resolve conflict without necessarily
442 resorting to physical confrontation. Among birds, for example, there is now an emergent pattern
443 whereby males use acoustic signals, such as soft song and gargle calls, to communicate their readiness
444 to fight during territorial disputes (see Introduction). Similar patterns exist among other taxonomic
445 groups. For example, many male lizards communicate aggression through visual signals, such as arm
446 waves, pushups and headbobs (Ord et al. 2001; Van Dyk & Evans 2008), while certain male fish
447 communicate aggression by prolonging their opercular displays (Evans 1985). Male anurans
448 communicate aggression through a graded series of acoustic signals (Wagner 1989), and male primates
449 accomplish this through facial expressions and gestures (Setchell & Wickings 2005). Together, these
450 examples show that aggressive signalling has evolved in a wide range of taxonomic groups, probably as a
451 mechanism for avoiding direct physical confrontation and the associated risk of injury or death
452 (Maynard Smith & Price 1973).

453

454 Future research on black-capped chickadees should focus on examining song rate from the
455 receiver's perspective to examine the response criterion and explore the role of gargle calls during the
456 breeding season. These signals may function as a graded signalling system, and this idea merits further
457 investigation. Future studies should also explore the association between these two behaviours in
458 naturally occurring countersinging interactions. Other signals that we examined here, including the
459 number of song overlaps and frequency matching, may function to communicate status or to direct
460 signals towards a particular opponent during agonistic song contests. By exploring chickadee signal
461 functions through further research, we can expand our understanding of signals that occur in aggressive
462 signalling interactions.

463

464 **Acknowledgments**

465

466 We thank M. Battiston and S. Kamtaeja for field assistance, V. Rohwer for skilfully crafting the
467 taxidermic mounts, the Curtis, Lundell, Warren, Weatherhead-Metz and Zink families for access to their
468 properties, the Cataraqui Region Conservation Authority for maintenance of the public trails where
469 some of our trials took place, Queen's University Biological Station for logistic support, L. Ratcliffe for
470 ongoing collaborative research on this study population, B. Searcy and two anonymous referees for their
471 helpful feedback on the manuscript, and the Natural Sciences and Engineering Research Council of
472 Canada (NSERC), the Canada Foundation for Innovation, the Government of Ontario and the University
473 of Windsor for financial support.

474

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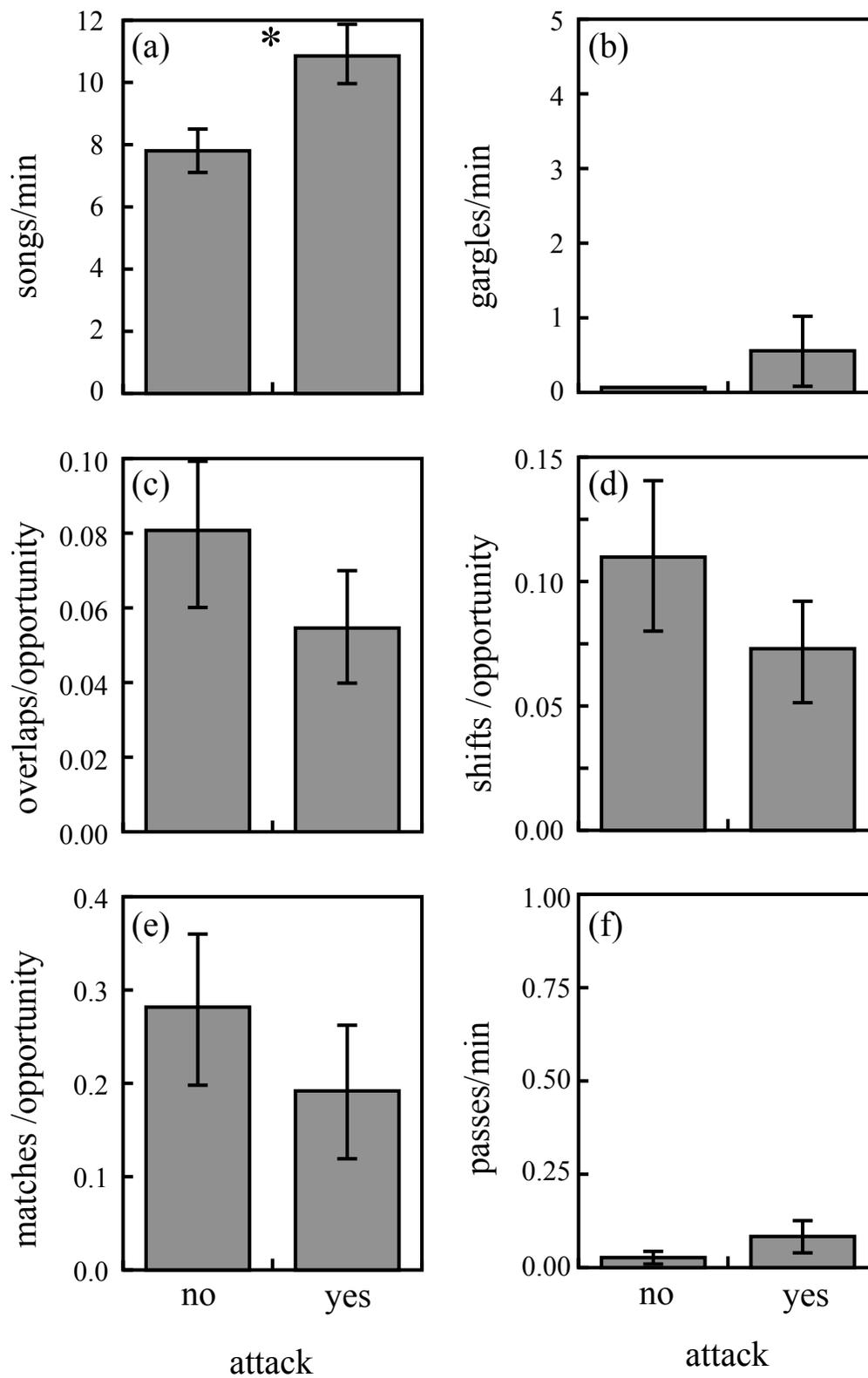
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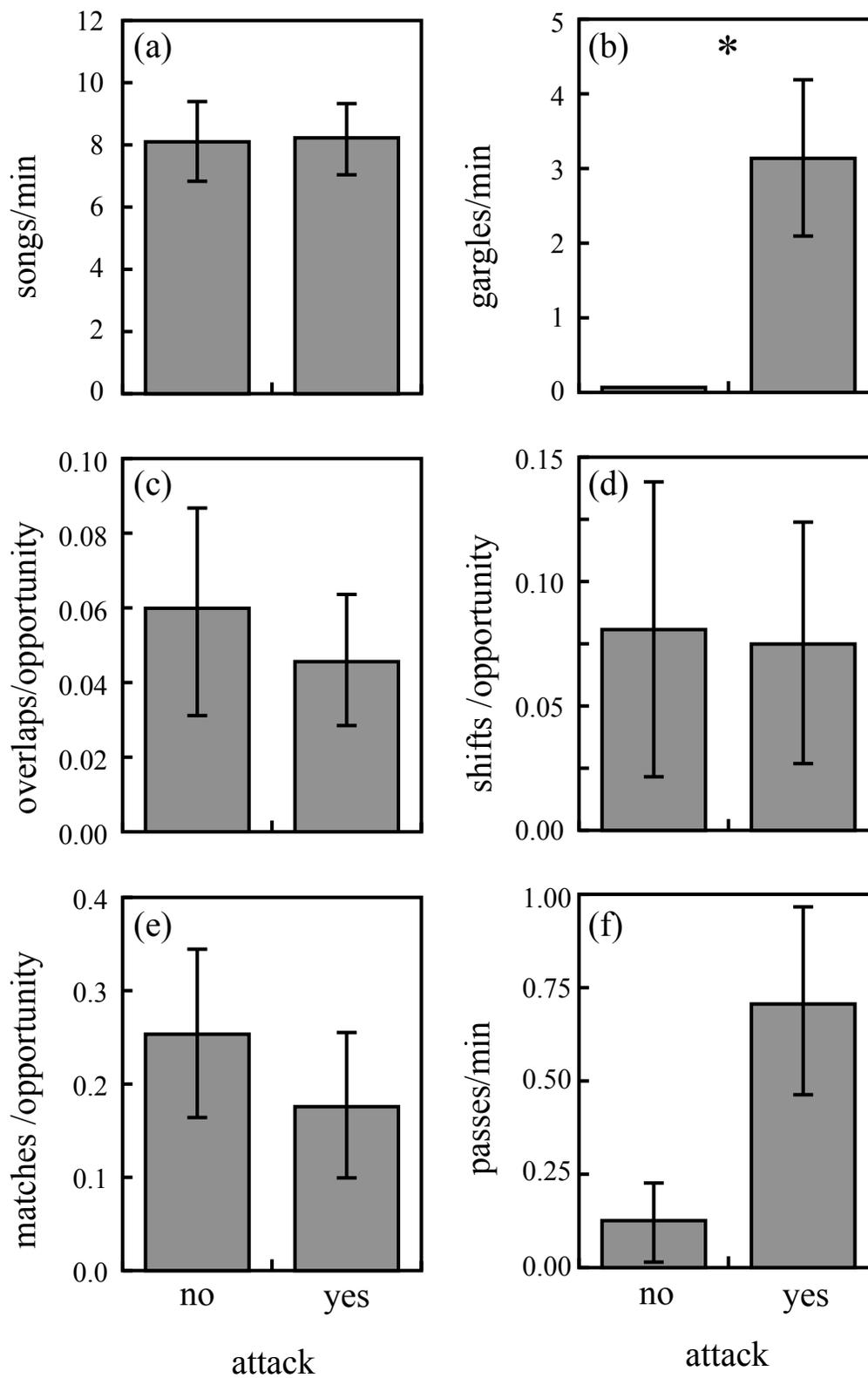
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684 **Figure 1.** Behavioural responses of male black-capped chickadees to playback coupled with a taxidermic
685 mount, comparing birds that attacked the mount ($N = 21$) to birds that did not attack ($N = 17$). Data
686 show the first portion of the playback trial, up to 1 min before birds attacked the taxidermic mount, and
687 a parallel time period for nonattackers. Means \pm SE are shown for (a) number of songs per minute, (b)
688 number of gargle calls per minute, (c) number of overlapping songs per opportunity to overlap, (d)
689 number of pitch shifts (≥ 80 Hz) per opportunity to shift, (e) number of frequency matches (≤ 50 Hz) per
690 opportunity to match, and (f) number of passes over the taxidermic mount per minute. $*P < 0.05$.

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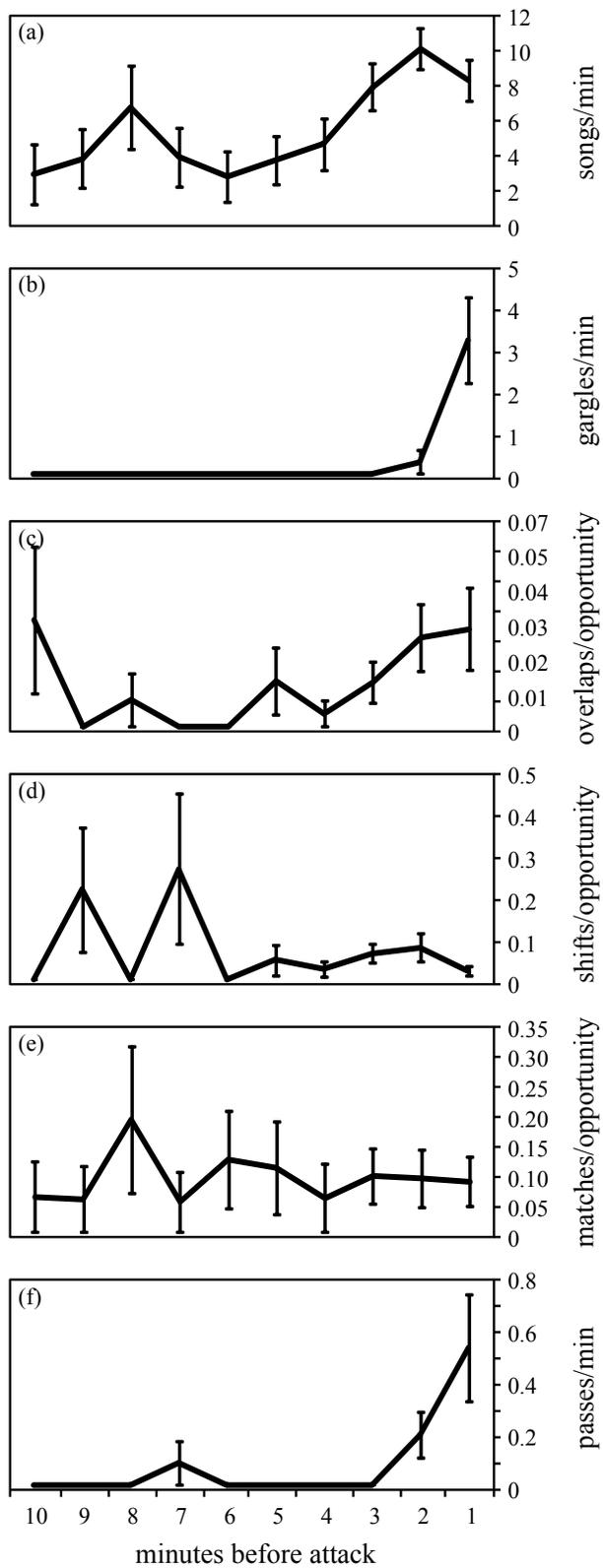


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693

694 **Figure 2.** Behavioural responses of male black-capped chickadees to playback coupled with a taxidermic
695 mount, comparing birds that attacked the mount ($N = 21$) to birds that did not attack ($N = 17$). Data
696 show the minute before attack, or a parallel minute in nonattackers. Means \pm SE are shown for (a)
697 number of songs per minute, (b) number of gargle calls per minute, (c) number of overlapping songs per
698 opportunity to overlap, (d) number of pitch shifts (≥ 80 Hz) per opportunity to shift, (e) number of
699 frequency matches (≤ 50 Hz) per opportunity to match, and (f) number of passes over the taxidermic
700 mount per minute. $*P < 0.05$.

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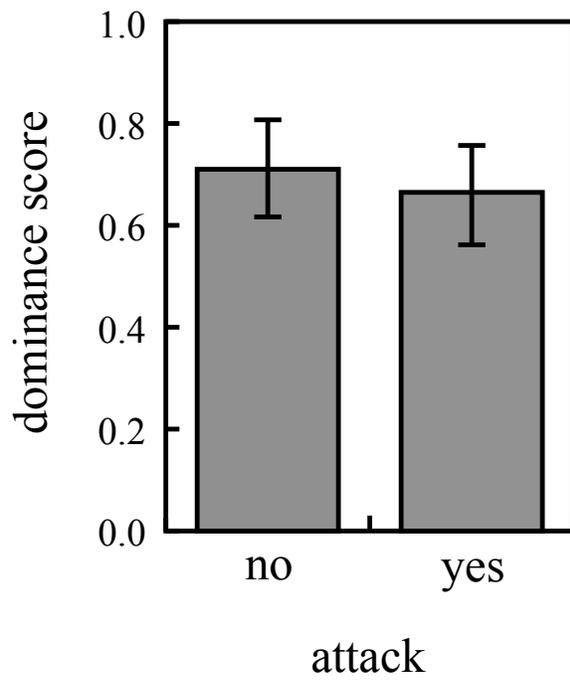


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704 **Figure 3.** Six behaviours of male black-capped chickadees in response to playback coupled with a
705 taxidermic mount, shown as a time course for the minutes preceding attack on a taxidermic mount ($N =$
706 21; values are means \pm SE). From top to bottom: (a) number of songs per minute, (b) number of gargle
707 calls per minute, (c) number of overlapping songs per opportunity to overlap, (d) number of pitch shifts
708 (≥ 80 Hz) per opportunity to shift, (e) number of frequency matches (≤ 50 Hz) per opportunity to match,
709 and (f) number of passes over the taxidermic mount per minute. This is a descriptive depiction of the
710 sequence of behaviours preceding attack.

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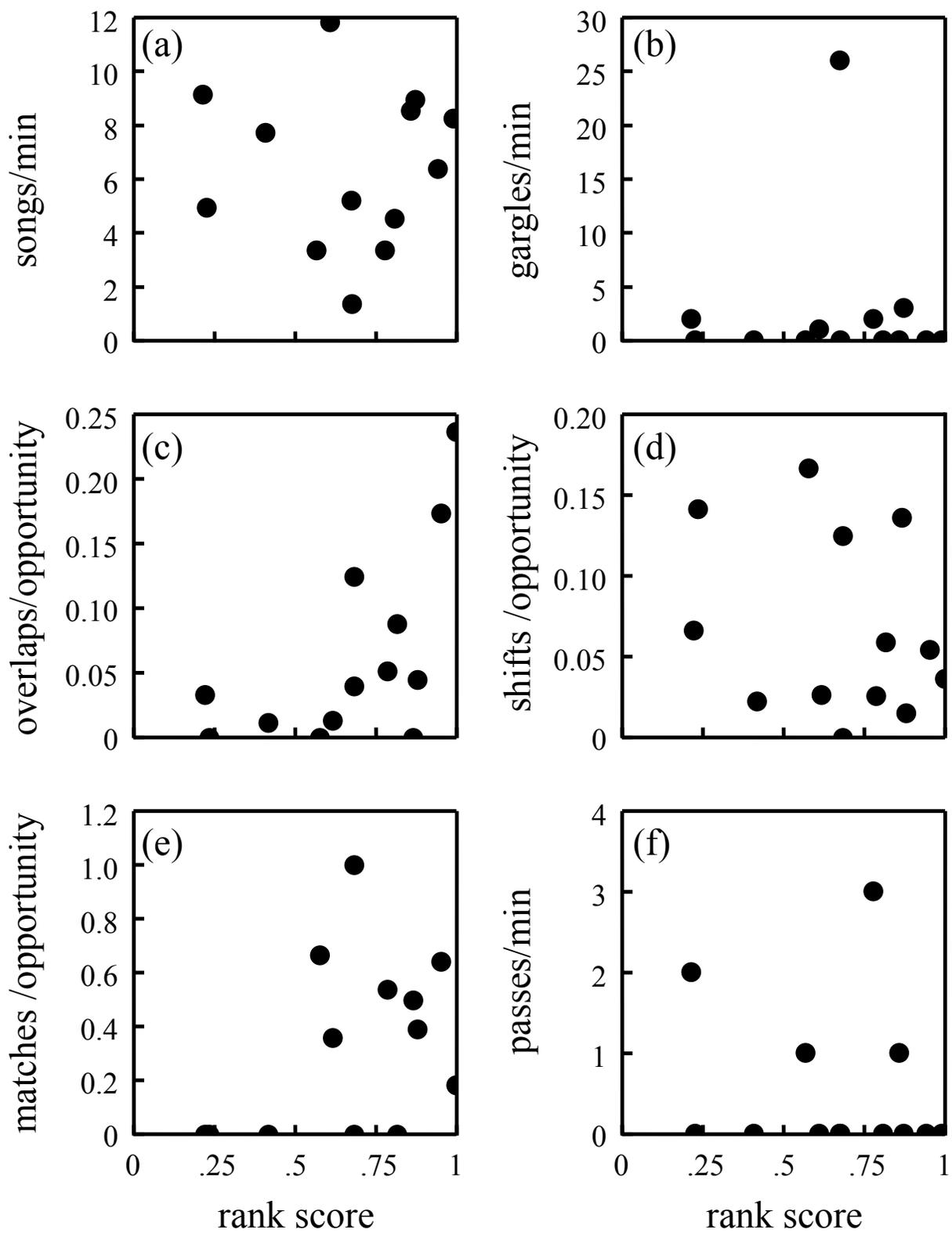
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714 **Figure 4.** Dominance rank of male black-capped chickadees that attacked or did not attack a taxidermic

715 mount coupled with playback ($N = 13$, see text).

716



717

718

719 **Figure 5.** Relationships between the dominance rank of male black-capped chickadees and their
720 behaviour during the full playback trial. Comparisons are shown for (a) number of songs per minute, (b)
721 number of gargle calls per minute, (c) number of overlapping songs per opportunity to overlap, (d)
722 number of pitch shifts (≥ 80 Hz) per opportunity to shift, (e) number of frequency matches (≤ 50 Hz) per
723 opportunity to match, and (f) number of passes over the taxidermic mount per minute.